

# Neutrino Oscillation Experiments

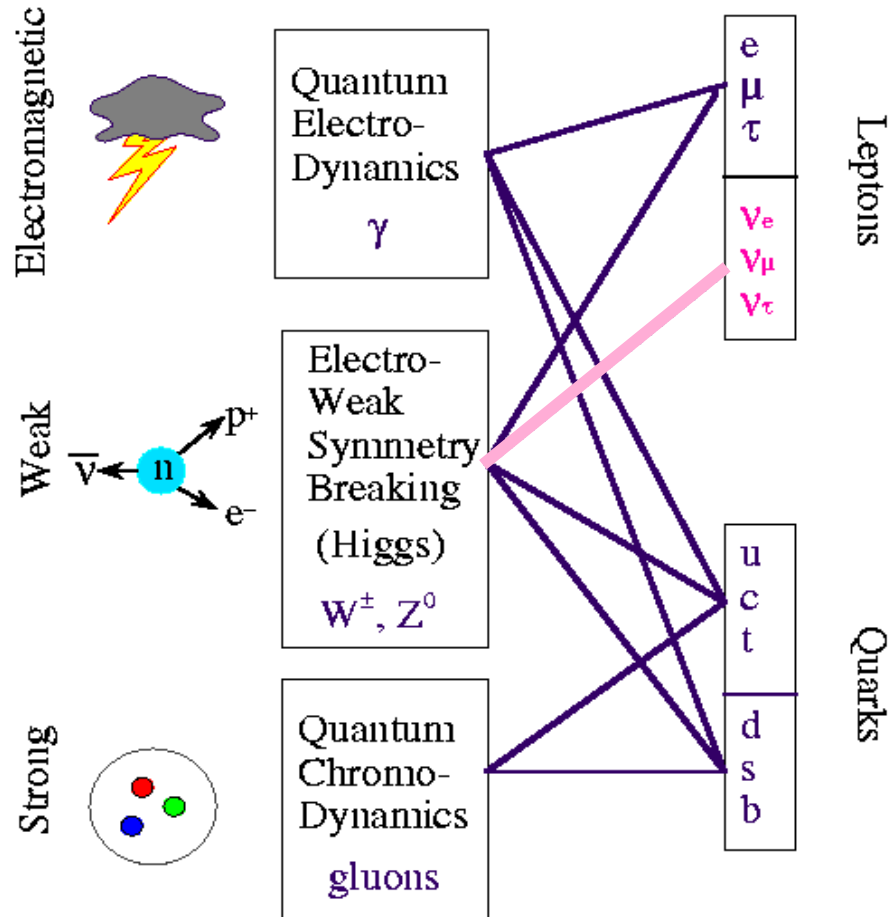
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# Talk Outline

- Introduction to Standard Model and Neutrinos
- Neutrino Oscillations
- Neutrino Oscillation Experiments and Results
- Recent Measurement Mixing Angle  $\theta_{13}$
- Current Accelerator Neutrino Experiments
- Future Measurements
- Summary

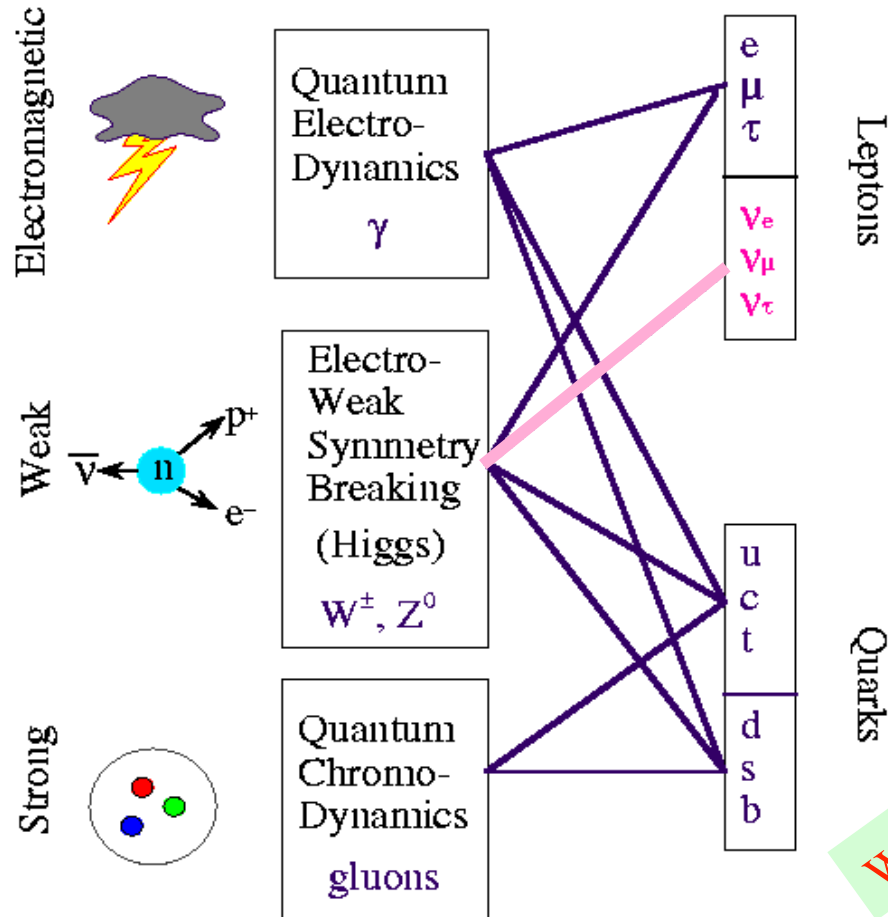


# Standard Model of Particle Physics



- Neutrinos are the only fundamental fermions with no electric charge
- Neutrinos only interact through the “weak force”
- Neutrinos are massless
- Neutrino interaction through  $W$  and  $Z$  bosons is (V-A)
  - Neutrinos are left-handed (Antineutrinos are right-handed)
- Neutrinos have three types
  - Electron  $\nu_e \rightarrow e$
  - Muon  $\nu_\mu \rightarrow \mu$
  - Tau  $\nu_\tau \rightarrow \tau$

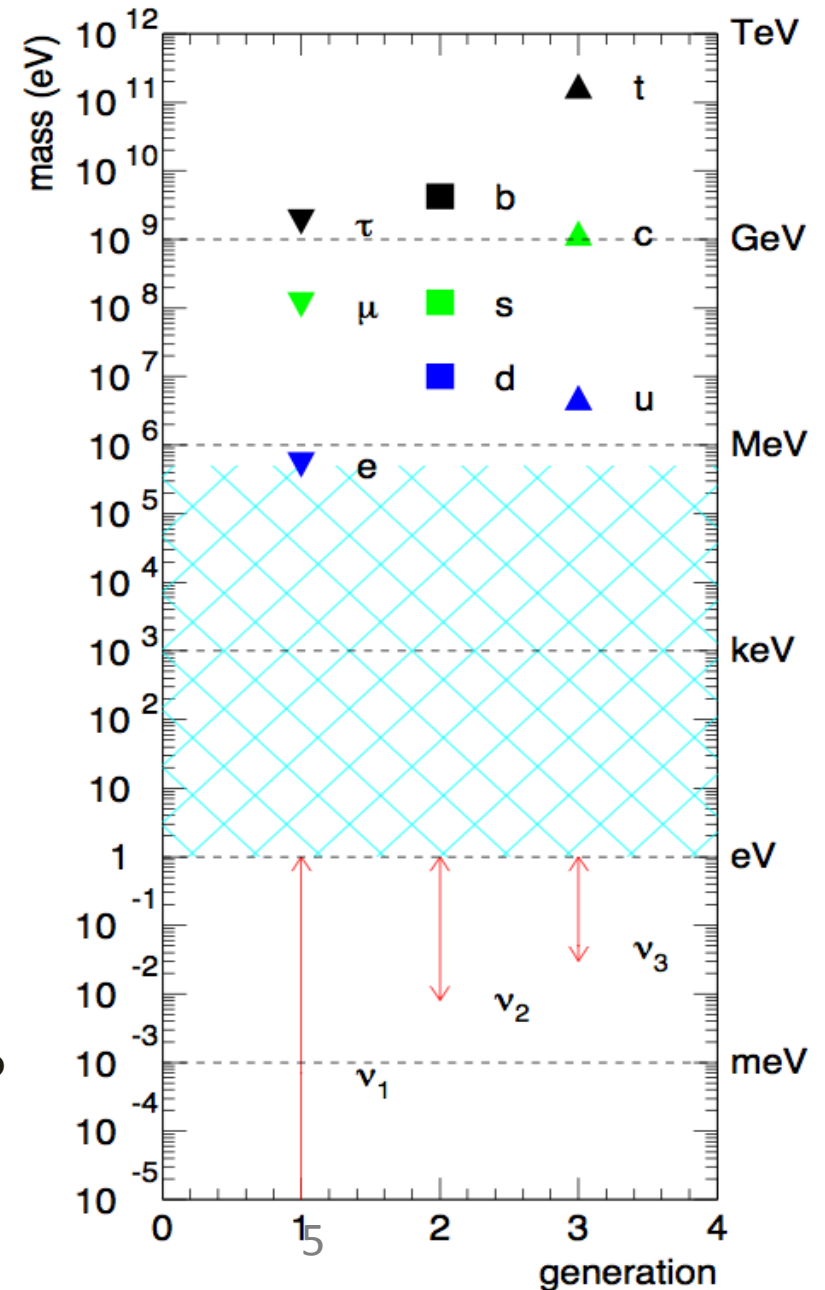
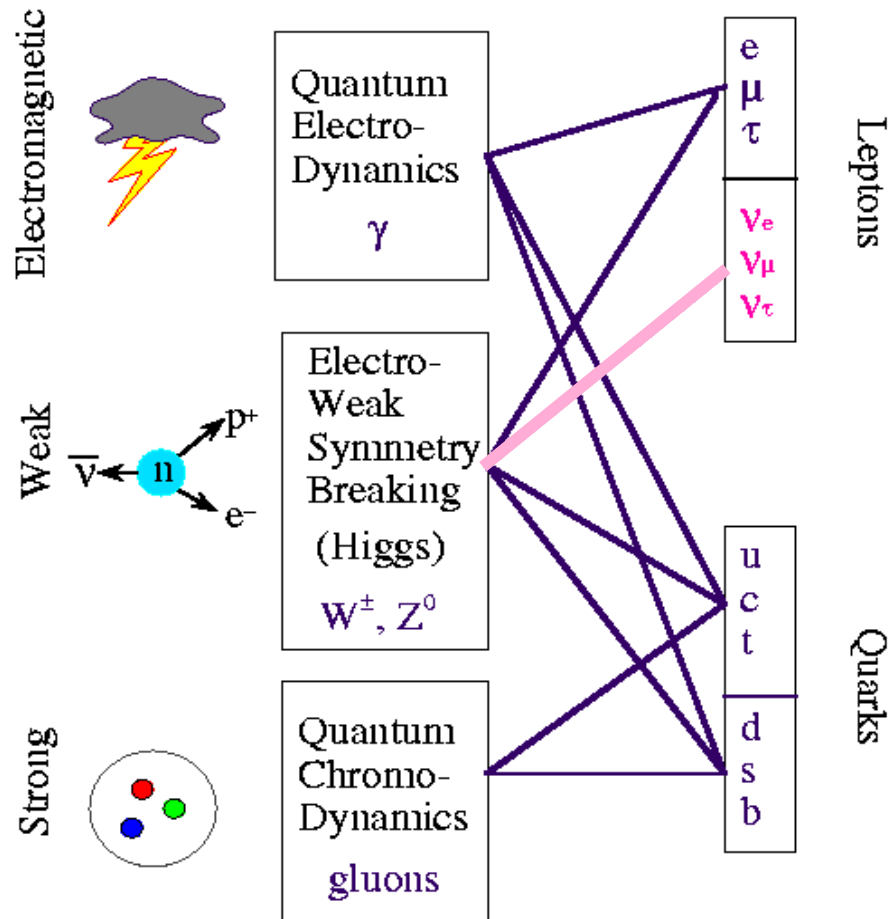
# Standard Model of Particle Physics



- Neutrinos are the only fundamental fermions with no electric charge
- Neutrinos only interact through the “weak force”
- Neutrinos are massless
- Neutrino interactions are through  $W$  and  $Z$  bosons (e.g.  $\nu_e + n \rightarrow e^- + p^+$ )
  - Neutrinos are left-handed (Antineutrinos are right-handed)
- Neutrinos have three types
  - Electron  $\nu_e \rightarrow e$
  - Muon  $\nu_\mu \rightarrow \mu$
  - Tau  $\nu_\tau \rightarrow \tau$

*We have learned that neutrino are not massless particles!*

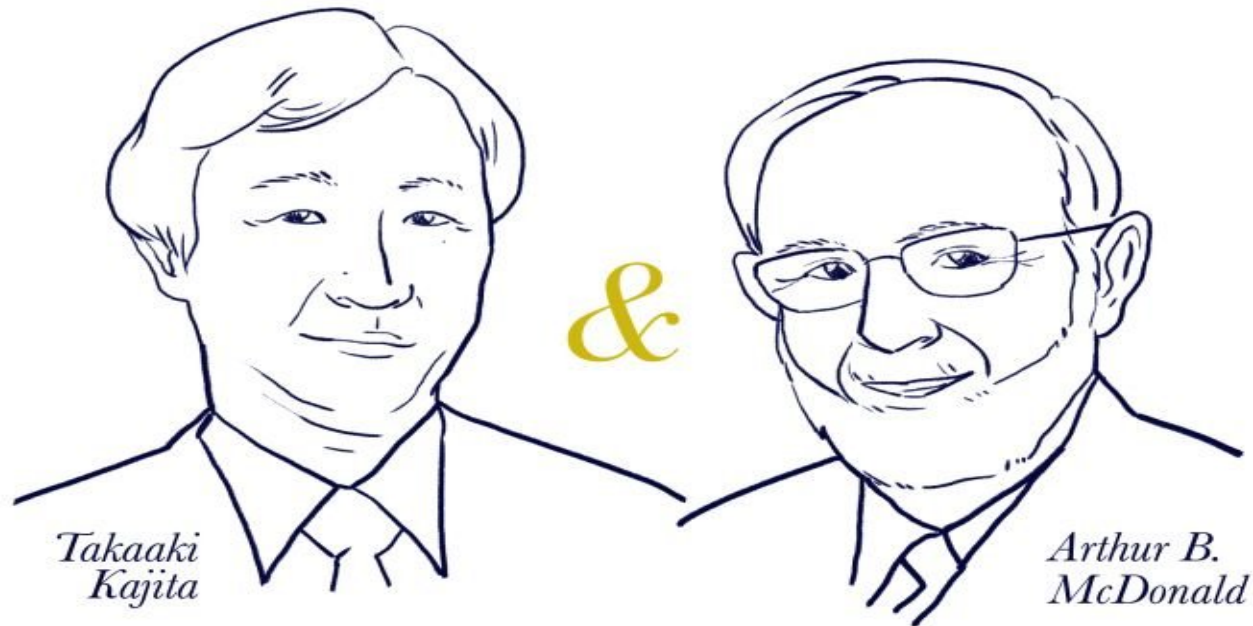
# Standard Model of Particle Physics



-Unlike the mass of a quark or charged lepton, neutrino mass is unlikely to be coming from a linear coupling between the particle and the Higgs boson field.



# 2015 Nobel Prize in Physics



## NEUTRINO OSCILLATIONS

The discovery of these oscillations shows that neutrinos have mass.

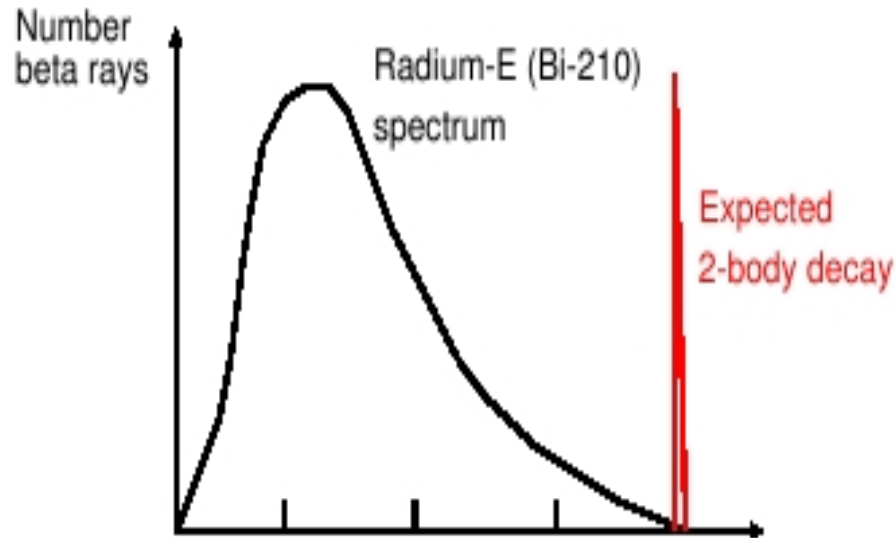
Image by Abigail Malate



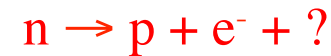
- The Nobel Prize awarded to T. Kajita and A. McDonald for “**the discovery of neutrino oscillations, which shows that neutrinos have mass**” was a result of more than fifty years efforts of many experimental and theoretical physicists.



# How we started with neutrinos?



- Continuous beta spectrum was the first hint that there is an extra particle in the beta decay reaction:



4th December 1930

- W. Pauli explained:

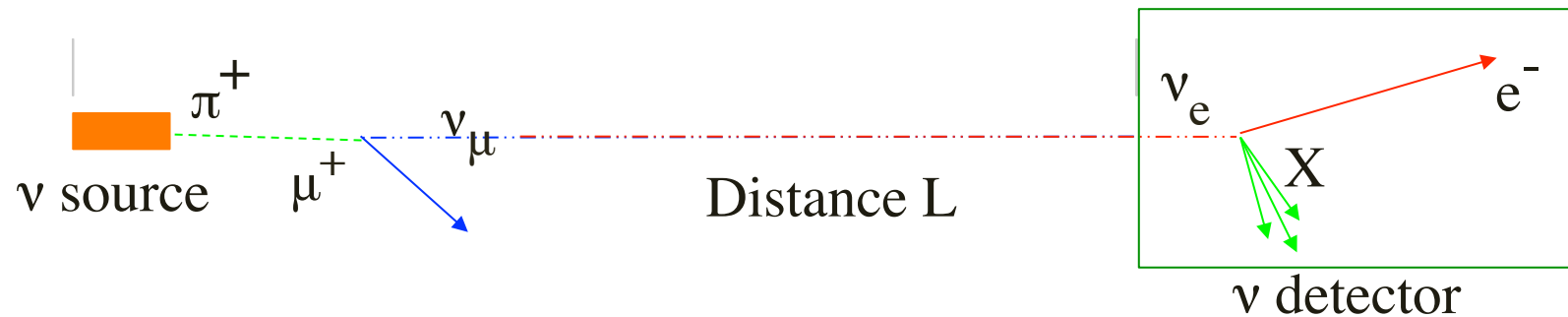


Dear Radioactive Ladies and Gentlemen,

As the bearer of these lines, to whom I graciously ask you to listen, will explain to you in more detail, how because of the "wrong" statistics of the N and  $\text{Li}^6$  nuclei and the continuous beta spectrum, I have hit upon a desperate remedy to save the "exchange theorem" of statistics and the law of conservation of energy. Namely, the possibility that there could exist in the nuclei electrically neutral particles, that I wish to call neutrons, which have spin 1/2 and obey the exclusion principle and which further differ from light quanta in that they do not travel with the velocity of light. The mass of the neutrons should be of the same order of magnitude as the electron mass and in any event not larger than 0.01 proton masses. The continuous beta spectrum would then become understandable by the assumption that in beta decay a neutron is emitted in addition to the electron such that the sum of the energies of the neutron and the electron is constant...

# Neutrino Oscillations

-It has been observed that neutrinos change a flavor when travelling over a distance.



-Such behavior may be explained by quantum mechanics, if the flavor states ( $\nu_e, \nu_\mu, \nu_\tau$ ) are a linear combinations of the mass states ( $\nu_1, \nu_2, \nu_3$ ).

$$|\nu_\alpha\rangle = \sum_{k=1}^n U_{\alpha k} |\nu_k\rangle \quad (\alpha = e, \mu, \tau)$$

Production of neutrino flavors: example  $\pi^+ \rightarrow \mu^+ \nu_\mu$

$$|\nu_\mu\rangle = U_{\mu 1} |\nu_1\rangle + U_{\mu 2} |\nu_2\rangle + U_{\mu 3} |\nu_3\rangle$$

Propagation of neutrino over distance (ie time) in mass states depends on energy

$$|\nu_\mu(t)\rangle = U_{\mu 1} |\nu_1\rangle e^{iE_1 t} + U_{\mu 2} |\nu_2\rangle e^{iE_2 t} + U_{\mu 3} |\nu_3\rangle e^{iE_3 t}$$

Probability of neutrino transformation in  $L/E$  (proper time)

$$P(\nu_\mu \rightarrow \nu_e) = |\langle \nu_e | \nu_\mu(t) \rangle|^2$$

Detection of neutrino through corresponding lepton

$$\begin{aligned} \nu_\mu N &\rightarrow \mu^- X \\ \nu_e N &\rightarrow e^- X \\ \nu_\tau N &\rightarrow \tau^- X \end{aligned}$$

Need to choose parametrization for mixing elements  $U_{ij}$

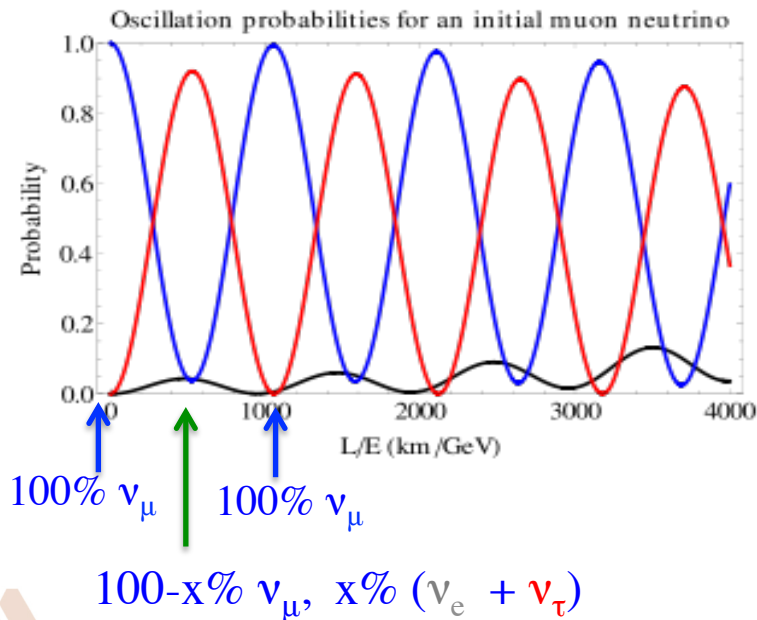


# Neutrino Oscillations

Simplified Model: only two neutrino mix

$$\begin{matrix} \text{Weak state} \\ \begin{pmatrix} \nu_e \\ \nu_\mu \end{pmatrix} \end{matrix} = \begin{pmatrix} \cos \theta & \sin \theta \\ -\sin \theta & \cos \theta \end{pmatrix} \begin{matrix} \text{Mass state} \\ \begin{pmatrix} \nu_1 \\ \nu_2 \end{pmatrix} \end{matrix}$$

Spontaneous change of neutrino flavor is what we call a neutrino oscillation.



Oscillation Probability

$$|\nu_\mu(t)\rangle = -\sin \theta |\nu_1\rangle + \cos \theta |\nu_2\rangle$$

$$e^{-iE_1 t}$$

$$e^{-iE_2 t}$$

$\Delta m^2$  is the mass squared difference between the two neutrino states

Distance from point of creation of neutrino beam to detection point

$$P_{\text{osc}} = |\langle \nu_e | \nu_\mu(t) \rangle|^2 = \sin^2 2\theta \sin^2 1.27 \left[ \frac{\Delta m^2 L}{E} \right]$$

$\theta$  is the mixing angle

$E$  is the energy of the neutrino beam

$$\Delta m_{32}^2 = m_3^2 - m_2^2$$

$$P(\nu_\mu \rightarrow \nu_\tau) = \sin^2(2\theta) \sin^2 \left( \frac{1.27 \Delta m_{23}^2 L}{E_\nu} \right)$$

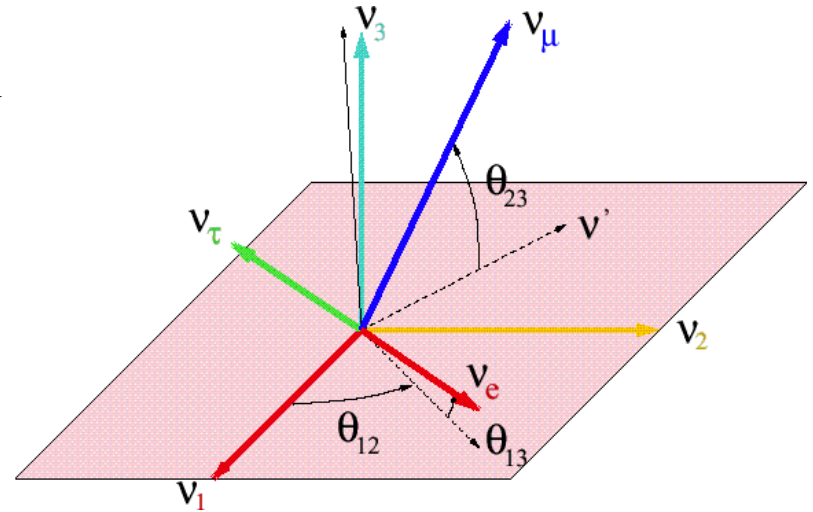
-As a consequence there is a non-zero probability to observe the original neutrino as a different flavor when detected over the distance  $L$ .

-Such experimental observation implies that neutrinos have mass, and that neutrinos mix.

# Neutrino Oscillations

- We know there are at least three neutrinos out there.
- With three known neutrinos the mixing of flavor and mass eigenstates is written in a form of so-called PMNS (Pontecorvo–Maki–Nakagawa–Sakata) matrix:

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu1} & U_{\mu2} & U_{\mu3} \\ U_{\tau1} & U_{\tau2} & U_{\tau3} \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$



- Parametrized matrix

$$U = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu1} & U_{\mu2} & U_{\mu3} \\ U_{\tau1} & U_{\tau2} & U_{\tau3} \end{pmatrix} = \begin{pmatrix} \text{Big} & \text{Big} & \text{Small?} \\ \text{Big} & \text{Big} & \text{Big} \\ \text{Big} & \text{Big} & \text{Big} \end{pmatrix}$$

$$= \begin{pmatrix} \cos \theta_{12} & \sin \theta_{12} & 0 \\ -\sin \theta_{12} & \cos \theta_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix} \times \begin{pmatrix} \cos \theta_{13} & 0 & e^{-i\delta_{CP}} \sin \theta_{13} \\ 0 & 1 & 0 \\ -e^{i\delta_{CP}} \sin \theta_{13} & 0 & \cos \theta_{13} \end{pmatrix} \times \begin{pmatrix} 1 & 0 & 0 \\ 0 & \cos \theta_{23} & \sin \theta_{23} \\ 0 & -\sin \theta_{23} & \cos \theta_{23} \end{pmatrix}$$

# Neutrino Oscillations

- The three neutrino mixing:

$$U = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu 1} & U_{\mu 2} & U_{\mu 3} \\ U_{\tau 1} & U_{\tau 2} & U_{\tau 3} \end{pmatrix} = \begin{pmatrix} \text{Big} & \text{Big} & \text{Small} \\ \text{Big} & \text{Big} & \text{Big} \\ \text{Big} & \text{Big} & \text{Big} \end{pmatrix}$$

$$= \begin{pmatrix} \cos \theta_{12} & \sin \theta_{12} & 0 \\ -\sin \theta_{12} & \cos \theta_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix} \times \begin{pmatrix} \cos \theta_{13} & 0 & e^{-i\delta_{CP}} \sin \theta_{13} \\ 0 & 1 & 0 \\ -e^{i\delta_{CP}} \sin \theta_{13} & 0 & \cos \theta_{13} \end{pmatrix} \times \begin{pmatrix} 1 & 0 & 0 \\ 0 & \cos \theta_{23} & \sin \theta_{23} \\ 0 & -\sin \theta_{23} & \cos \theta_{23} \end{pmatrix}$$

$\theta_{12}$  measured from  $P(\bar{\nu}_e \rightarrow \bar{\nu}_x)$  by reactor  $\bar{\nu}_e$  and solar  $\nu_e$ .

$\theta_{13}$  measured from  $P(\bar{\nu}_e \rightarrow \bar{\nu}_e)$  by reactor  $\bar{\nu}_e$ .  
 $\theta_{13}$  and  $\delta$  measured from  $P(\bar{\nu}_\mu \rightarrow \bar{\nu}_e)$  by accelerator  $\nu_\mu$ .

$\theta_{23}$  measured from  $P(\bar{\nu}_\mu \rightarrow \bar{\nu}_\mu)$  by atmospheric  $\nu_\mu$  and accelerator  $\nu_\mu$ .

- Neutrino oscillation parameters:

PMNS matrix: 3 mixing angles:  $\theta_{12}, \theta_{23}, \theta_{13}$   
 1 phase:  $\delta \Rightarrow$  CP-violation in  $\nu$ -sector

Mass differences: 2 mass difference scales:  $\Delta m_{12}^2, \Delta m_{23}^2$ .

$$\Delta m_{ij}^2 = m_i^2 - m_j^2$$



# Neutrino Sources

- Neutrinos come from “everywhere”

Nuclear Reactors



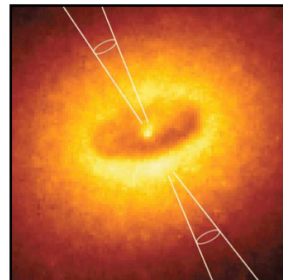
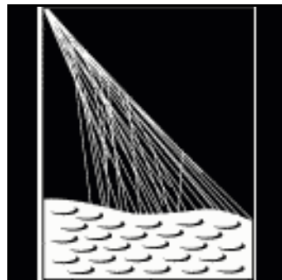
Sun

Particle Accelerators



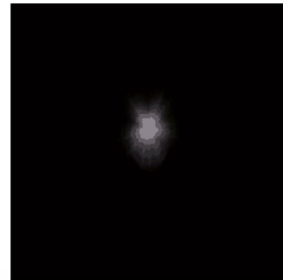
Supernovae  
(star collapse)

Earth's Atmosphere  
(Cosmic Rays)



Astrophysical Sources

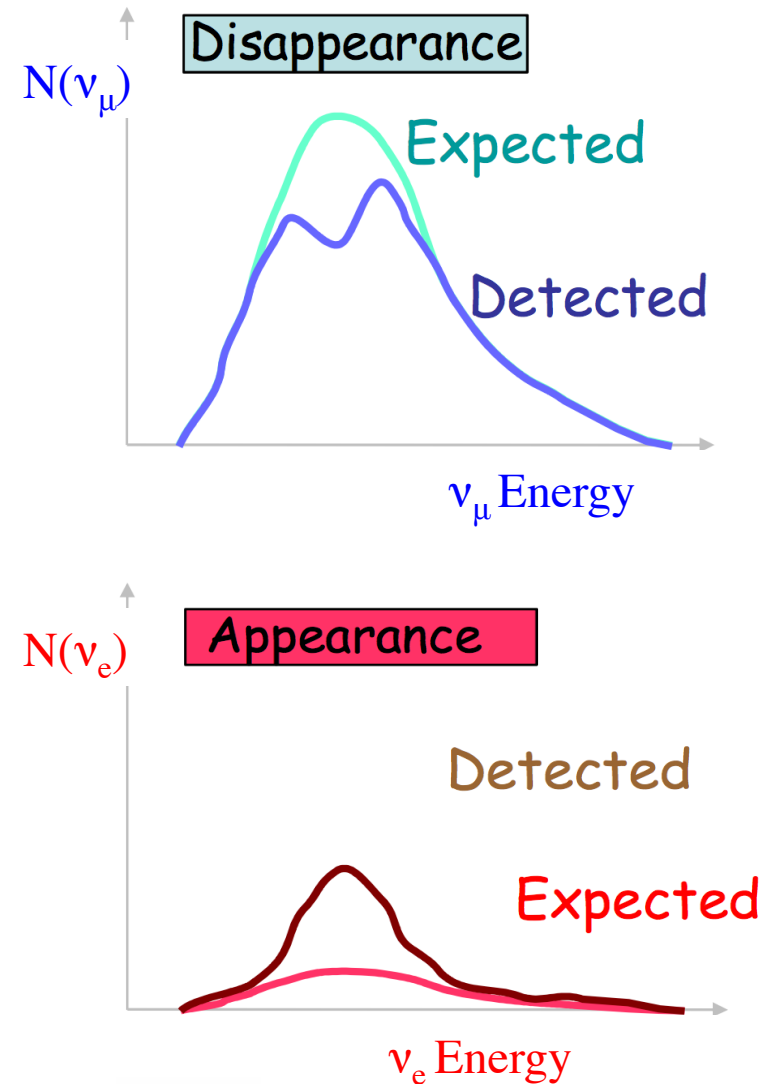
Earth's Crust  
(Natural Radioactivity)



Big Bang  
( $330 \nu/\text{cm}^3$ )

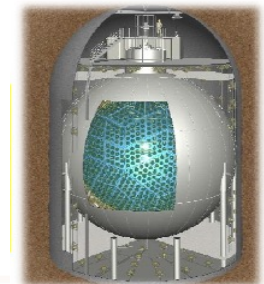
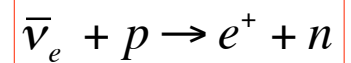
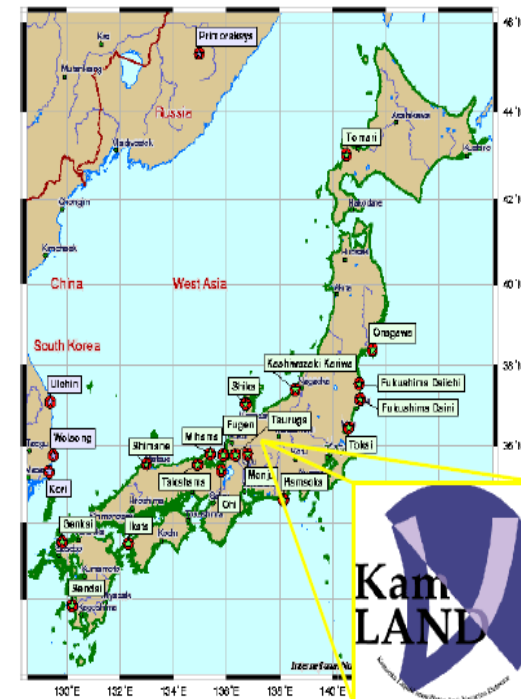
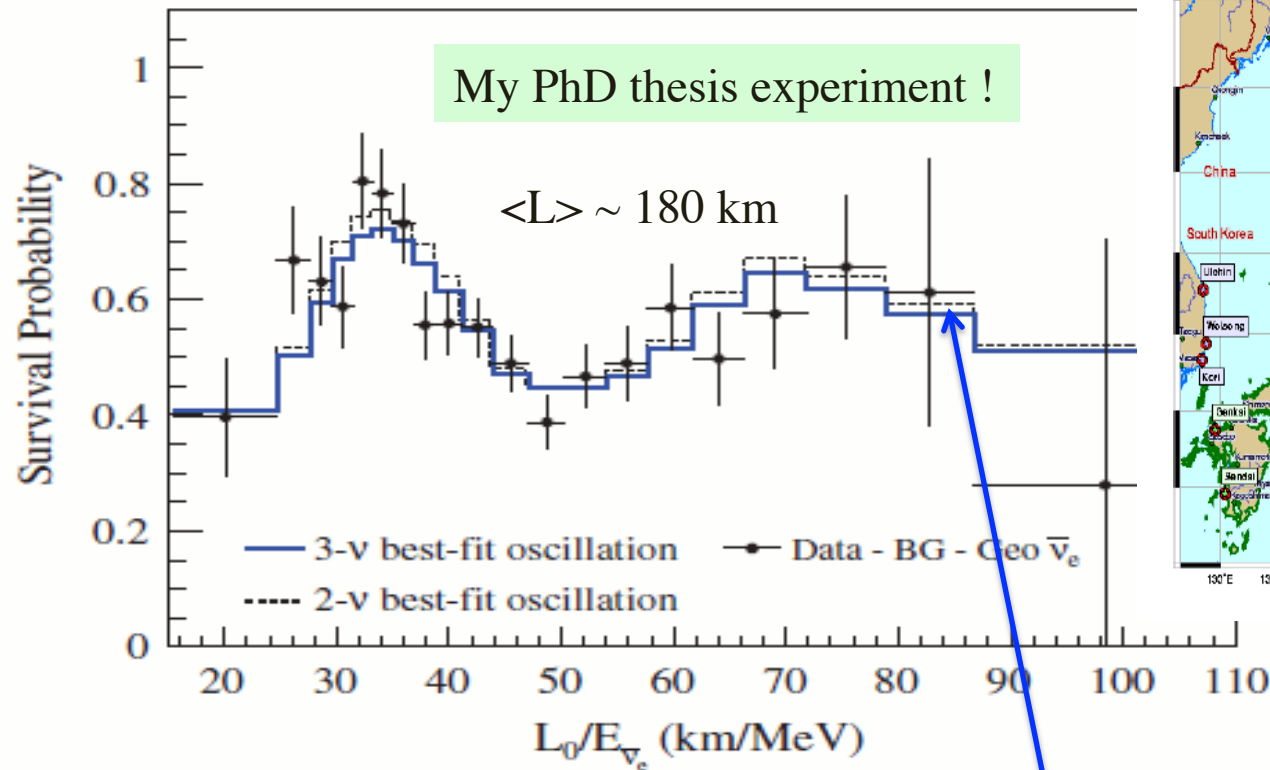
# Detecting Neutrino Oscillation

- Appearance vs disappearance experiments  
Example: consider searching for  $\nu_\mu \rightarrow \nu_e$  oscillation
- Disappearance:
  - Detect fewer  $\nu_\mu$  events than expected.
  - Should have a characteristic energy signature – oscillation probability depends on E.
- Appearance:
  - Detect more  $\nu_e$  events than expected.
  - Oscillation depends on E: the events that disappeared in the blue plot are related to those appearing in the red plot.
- Goal: Determine  $\Delta m^2, \theta$



# Example of Neutrino Experiment

- KamLAND experiment: reactor anti-neutrino disappearance experiment  
-demonstrated neutrino mixing and provided the most-precise measurement of  $\Delta m_{21}^2$  up-to-date.



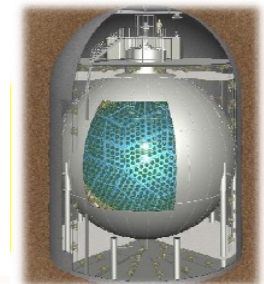
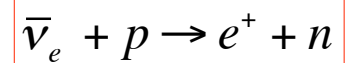
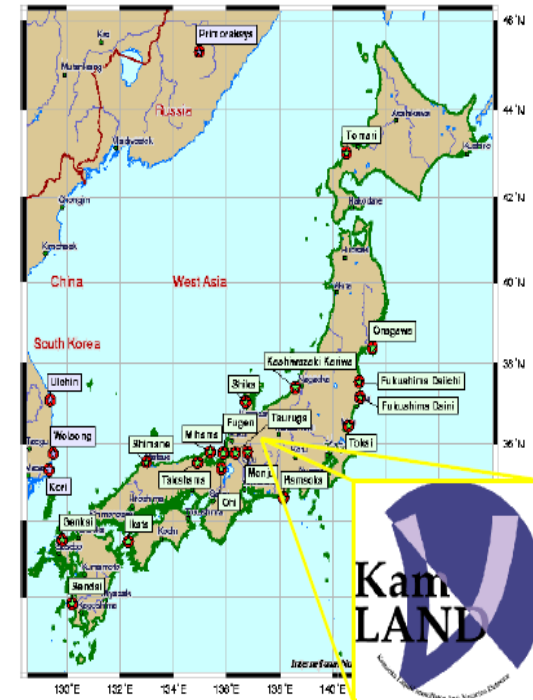
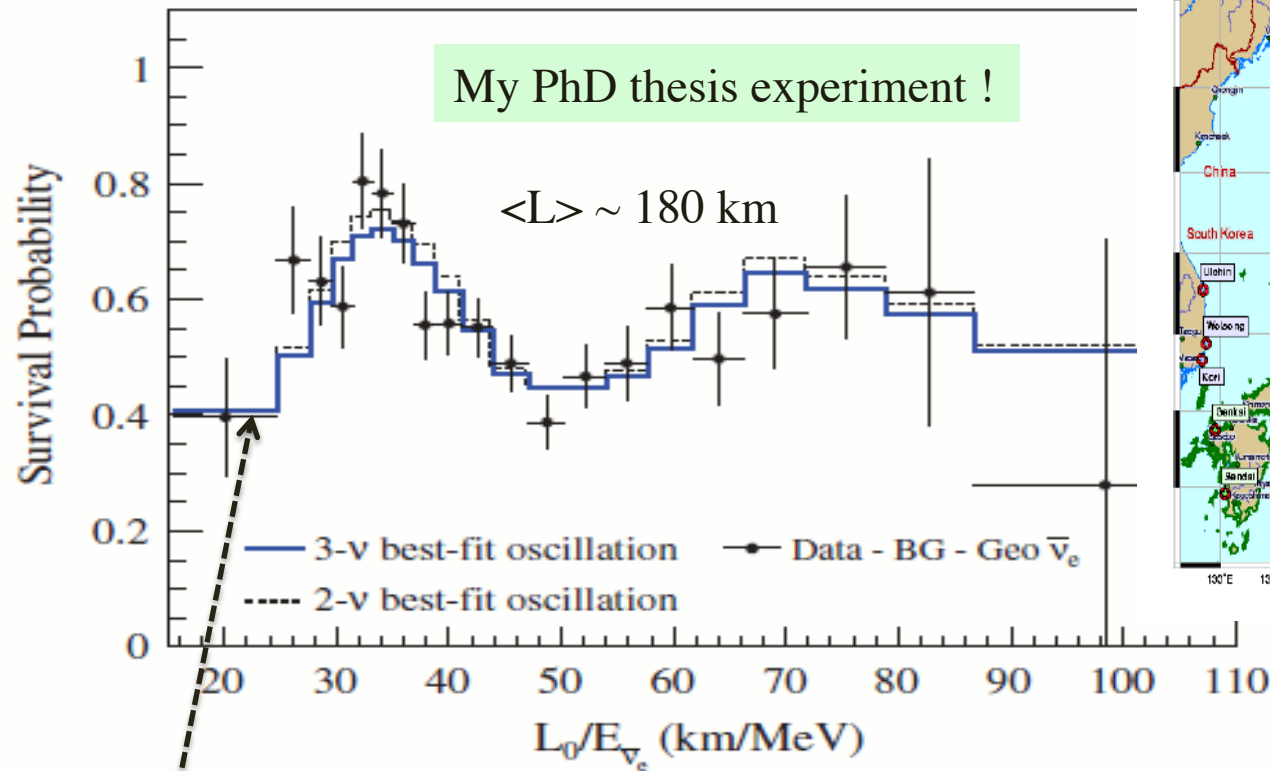
$$P(\bar{\nu}_e \rightarrow \bar{\nu}_e) = 1 - \cos^4 \theta_{13} \sin^2 2\theta_{12} \sin^2 \Delta_{21} - \sin^2 2\theta_{13} (\cos^2 \theta_{12} \sin^2 \Delta_{31} + \sin^2 \theta_{12} \sin^2 \Delta_{32})$$

(becomes 2-v oscillation if  $\theta_{13} = 0!$ )



# Example of Neutrino Experiment

- KamLAND experiment: reactor anti-neutrino disappearance experiment  
-demonstrated neutrino mixing and provided the most-precise measurement of  $\Delta m_{21}^2$  up-to-date.



$$P(\bar{\nu}_e \rightarrow \bar{\nu}_e) = 1 - \sin^2 2\theta_{12} \sin^2(1.27 \Delta m_{21}^2 L[m] / E_\nu[MeV])$$

(if  $\theta_{13} = 0$ )

# Neutrino Oscillation Results

- Current understanding

- Mass squared differences:

$$\Delta m_{21}^2 \approx 7.5 \times 10^{-5} \text{eV}^2$$

$$|\Delta m_{32}^2| \approx 2.5 \times 10^{-3} \text{eV}^2$$

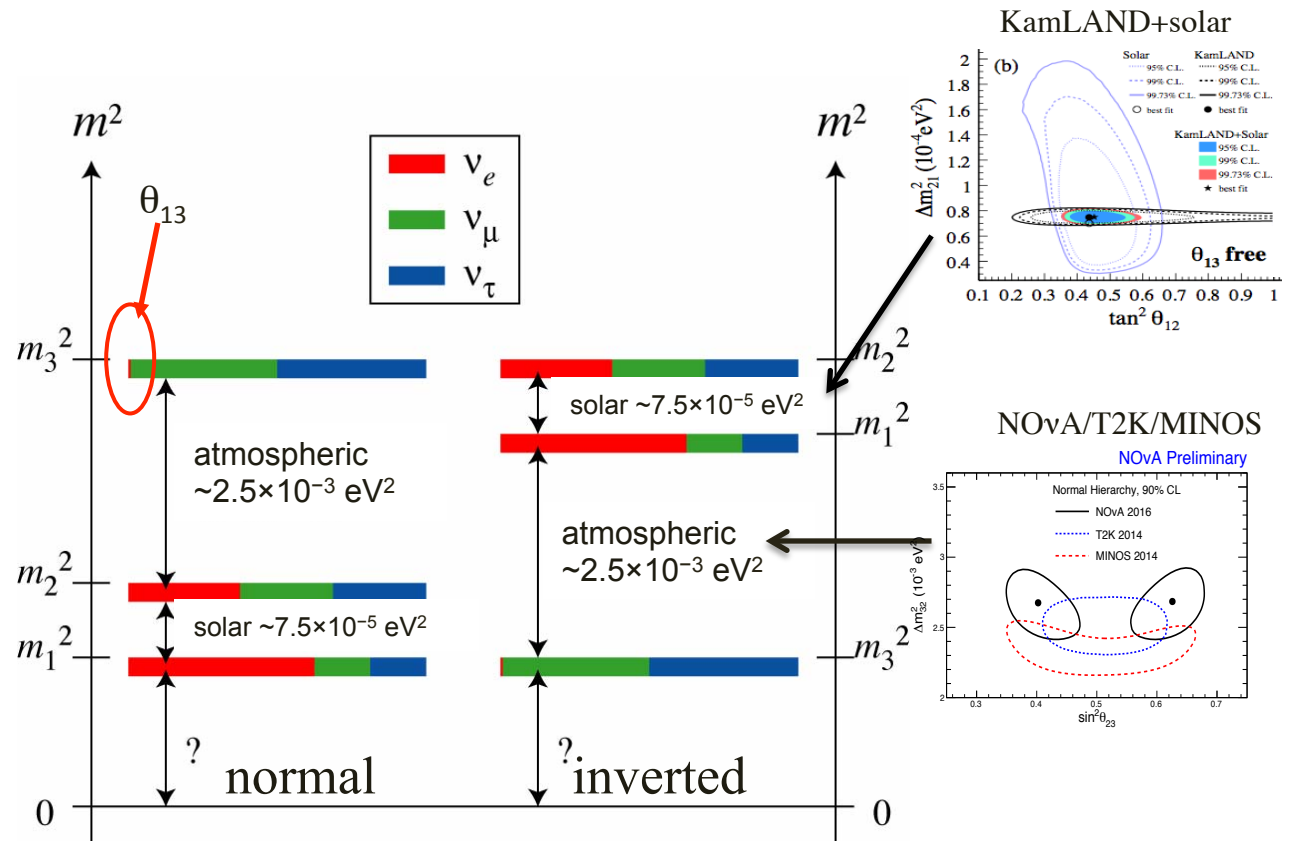
- Mixing angles:

$$\sin^2 \theta_{12} \approx 0.31$$

$$\sin^2 \theta_{23} \approx 0.45 - 0.55$$

$$\sin^2 \theta_{13} \approx 0.02 \text{ (measured recently)}$$

- Absolute mass scale is unknown.

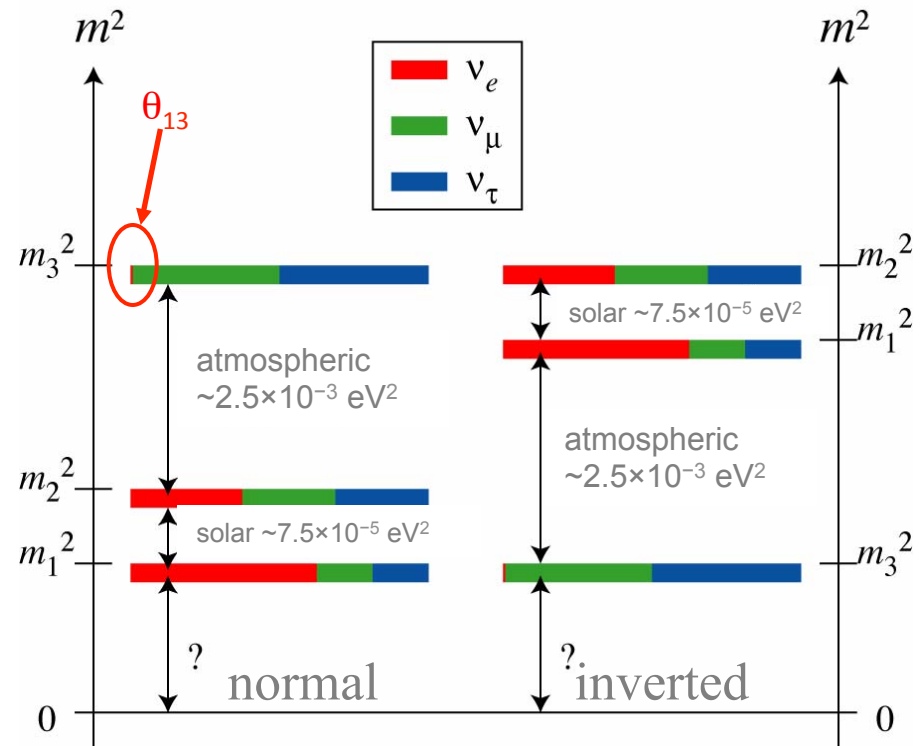


# Neutrino Oscillation Questions

Recently measured what is  $\nu_e$  component in the  $\nu_3$  mass eigenstate, i.e.  $\theta_{13}$ .

Missing information in 3x3 mixing scheme:

1. Is the  $\mu - \tau$  mixing maximal?  
-Only know  $\sin^2\theta_{23} \approx 0.45 - 0.55$
2. What is the mass hierarchy?  
-Normal or inverted?
3. Do neutrinos exhibit CP violation, i.e. is  $\delta_{CP} \neq 0$ ?



$$P(\nu_\mu \rightarrow \nu_e) - P(\bar{\nu}_\mu \rightarrow \bar{\nu}_e) = -16s_{12}c_{12}s_{13}^2c_{13}^2s_{23}c_{23}\sin\delta\sin\left(\frac{\Delta m_{12}^2 L}{4E}\right)\sin\left(\frac{\Delta m_{13}^2 L}{4E}\right)\sin\left(\frac{\Delta m_{23}^2 L}{4E}\right)$$

4. Why are quark and neutrino mixing matrices so different?

$$U_{MNSP} \sim \begin{pmatrix} \text{Big} & \text{Big} & \text{Small} \\ \text{Big} & \text{Big} & \text{Big} \\ \text{Big} & \text{Big} & \text{Big} \end{pmatrix} \quad \text{vs.} \quad V_{CKM} \sim \begin{pmatrix} 1 & \text{Small} & \text{Small} \\ \text{Small} & 1 & \text{Small} \\ \text{Small} & \text{Small} & 1 \end{pmatrix}$$

# Different neutrino experiments

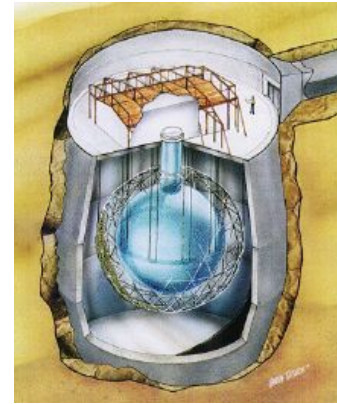
Solar: BOREXINO, SNO...

Atmospheric: Super-K...

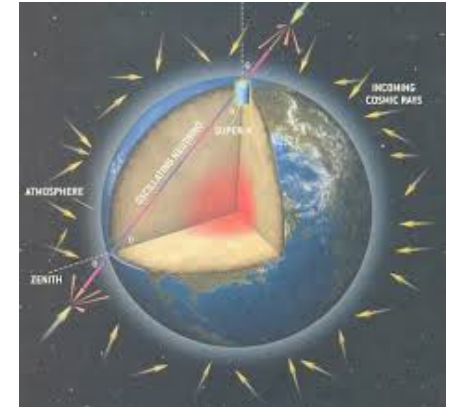
Accelerator: MINOS, NOvA, T2K...

Reactor: Daya Bay, Double Chooz, RENO, KamLAND...

Cosmic: IceCube ...



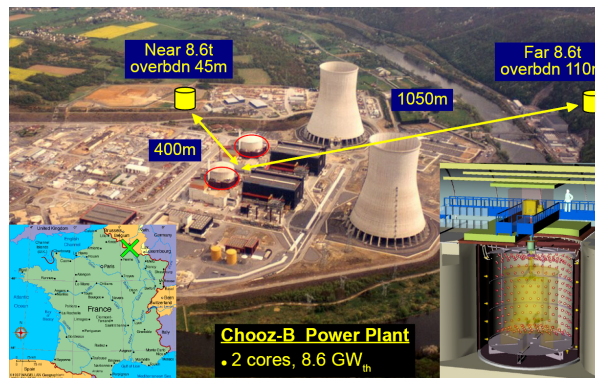
SNO ( $\nu_e \rightarrow \nu_{\mu,\tau}$ )



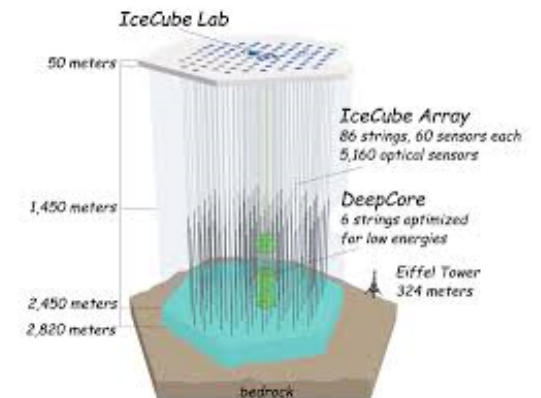
Super-K ( $\nu_\mu \rightarrow \nu_\tau$ ,  $\nu_e \rightarrow \nu_{\mu,\tau}$ )



NOvA



Double Chooz ( $\bar{\nu}_e \rightarrow \bar{\nu}_e$ )

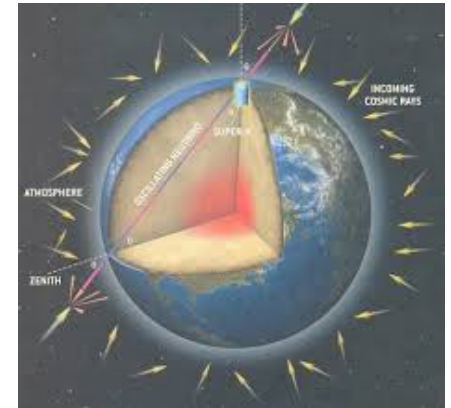


Ice Cube

# Different neutrino experiments

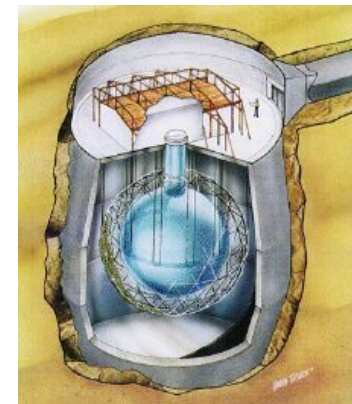
- First ideas of neutrino oscillations were pioneered in 1957-58 by Bruno Pontecorvo

- First model independent evidence in favor of disappearance of atmospheric  $\nu_\mu$ 's was obtained in 1998 by Super-Kamiokande collaboration
  - but there was model-dependent evidence comes from multiple Solar- neutrino experiments



Super-K( $\nu_\mu \rightarrow \nu_\tau$ ,  $\nu_e \rightarrow \nu_{\mu,\tau}$ )

- First model independent evidence of the disappearance of solar  $\nu_e$ 's was obtained by the SNO collaboration in 2001
- First model independent evidence of the disappearance of reactor  $\bar{\nu}_e$ 's was obtained by the KamLAND collaboration in 2002



- The discovery of neutrino oscillations was confirmed by many experiments( K2K, MINOS, T2K, DayaBay, RENO, Double Chooz, NOvA)

SNO ( $\nu_e \rightarrow \nu_{\mu,\tau}$ )

# Experimental Methods to measure $\theta_{13}$

- Long-Baseline Accelerators: Appearance ( $\nu_\mu \rightarrow \nu_e$ ) at  $\Delta m^2 \approx 2.5 \times 10^{-3} \text{ eV}^2$ 
  - Look for appearance of  $\nu_e$  in a pure  $\nu_\mu$  beam vs. L and E
    - Use near detector to measure background  $\nu_e$ 's (beam and misid)

NOvA:

$\langle E_\nu \rangle \approx 2 \text{ GeV}$

L = 810 km



T2K:

$\langle E_\nu \rangle = 0.7 \text{ GeV}$

L = 295 km



- Reactors: Disappearance ( $\bar{\nu}_e \rightarrow \bar{\nu}_e$ ) at  $\Delta m^2 \approx 2.5 \times 10^{-3} \text{ eV}^2$ 
  - Look for a change in  $\nu_e$  flux as a function of L and E
    - Look for a non-  $1/r^2$  behavior of the  $\nu_e$  rate
    - Use near detector to measure the un-oscillated flux

Double Chooz:

$\langle E_\nu \rangle = 3.5 \text{ MeV}$

L = 1100 m



# Long Baseline Accelerator Appearance Experiments

$-\theta_{13}$  probed by measuring electron neutrino appearance from accelerator produced muon neutrinos:

$$\begin{aligned}
 P(\nu_\mu \rightarrow \nu_e) = & \sin^2 \theta_{23} \sin^2 2\theta_{13} \frac{\sin^2(\Delta_{31} - aL)}{(\Delta_{31} - aL)^2} \Delta_{31}^2 \\
 & + \cos \delta \sin 2\theta_{23} \sin 2\theta_{12} \sin 2\theta_{13} \cos \Delta_{32} \left( \frac{\sin(\Delta_{31} - aL)}{(\Delta_{31} - aL)} \Delta_{31} \right) \left( \frac{\sin(aL)}{(aL)} \Delta_{21} \right) \\
 & - \sin \delta \sin 2\theta_{23} \sin 2\theta_{12} \sin 2\theta_{13} \sin \Delta_{32} \left( \frac{\sin(\Delta_{31} - aL)}{(\Delta_{31} - aL)} \Delta_{31} \right) \left( \frac{\sin(aL)}{(aL)} \Delta_{21} \right) \\
 & + \cos^2 \theta_{23} \sin^2 2\theta_{12} \frac{\sin^2(aL)}{(aL)^2} \Delta_{21}^2,
 \end{aligned}$$

-The oscillation probability is complicated and dependent not only on  $\theta_{13}$  but also on

CP-violation parameter ( $\delta_{CP}$ ),  
 Mass hierarchy (sign of  $\Delta m_{31}^2$ ),  
 Size of  $\sin^2 \theta_{23}$ .

-Therefore any attempt to measure CP-violation and the mass hierarchy would be greatly simplified if  $\theta_{13}$  was measured independently.



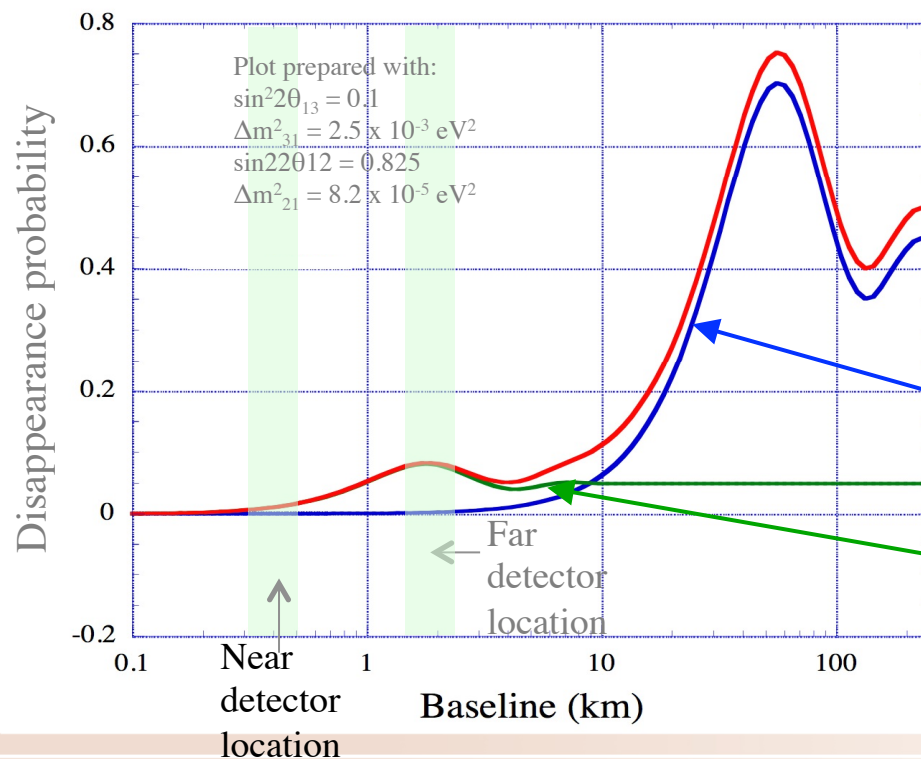
# Reactor Disappearance Experiments

- A clean measurement of  $\theta_{13}$  can be performed by observing the disappearance of electron antineutrinos.
- In general, the electron antineutrino survival probability is given by

$$P(\bar{\nu}_e \rightarrow \bar{\nu}_e) = 1 - \cos^4 \theta_{13} \sin^2 2\theta_{12} \sin^2 \Delta_{21} - \sin^2 2\theta_{13} (\cos^2 \theta_{12} \sin^2 \Delta_{31} + \sin^2 \theta_{12} \sin^2 \Delta_{32})$$

- At distances 1-2 km from a reactor source this further simplifies to:

$$P(\bar{\nu}_e \rightarrow \bar{\nu}_e) \approx 1 - \sin^2 2\theta_{13} \sin^2 \frac{\Delta m_{31}^2 L}{4E}$$



- No dependence on:  
 CP-violation parameter ( $\delta_{CP}$ ),  
 Mass hierarchy (sign of  $\Delta m_{31}^2$ ),  
 Size of  $\sin^2 \theta_{23}$ .

Large amplitude oscillation due to  $\theta_{12}$ .

Small-amplitude oscillation due to  $\theta_{13}$  integrated over E.

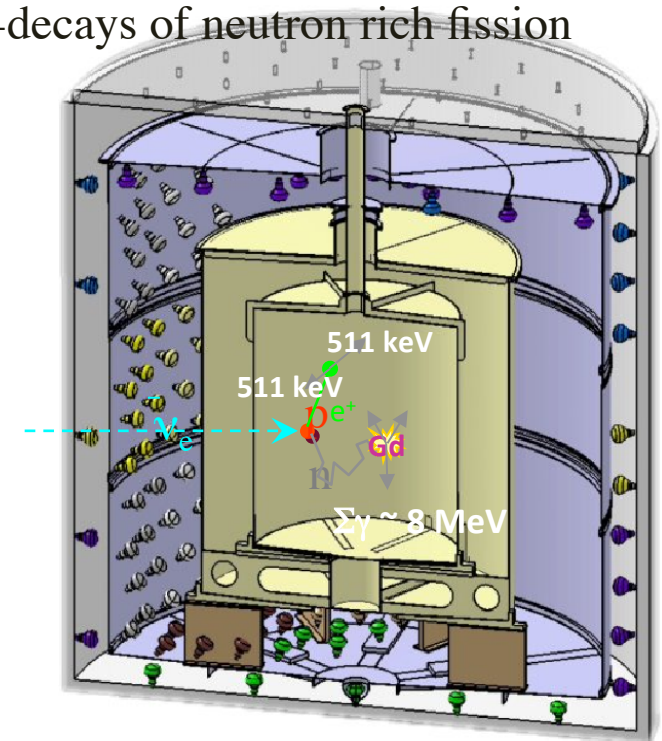
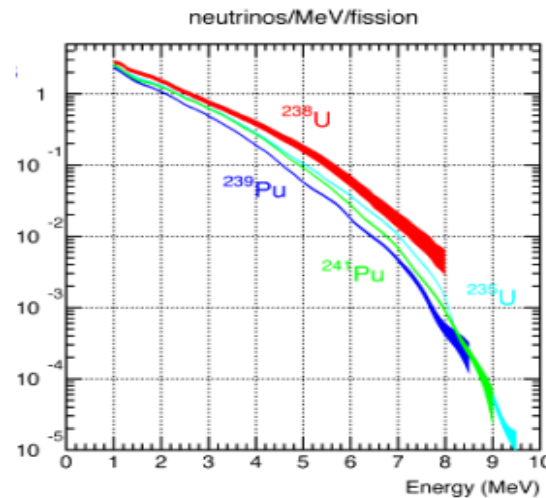


# Reactor Disappearance Experiments



# Neutrino Source and Detector

- Nuclear reactor is a pure source of  $\bar{\nu}_e$ :  $\bar{\nu}_e$  originate from  $\beta$ -decays of neutron rich fission products of U, Pu.



-A modern nuclear power reactor may have a thermal power of  $P_{\text{therm}} = 3.8 \text{ GW}$ .

-About 200 MeV / fission of energy is released in fission of  $^{235}\text{U}$ ,  $^{239}\text{Pu}$ ,  $^{238}\text{U}$ , and  $^{241}\text{Pu}$ .

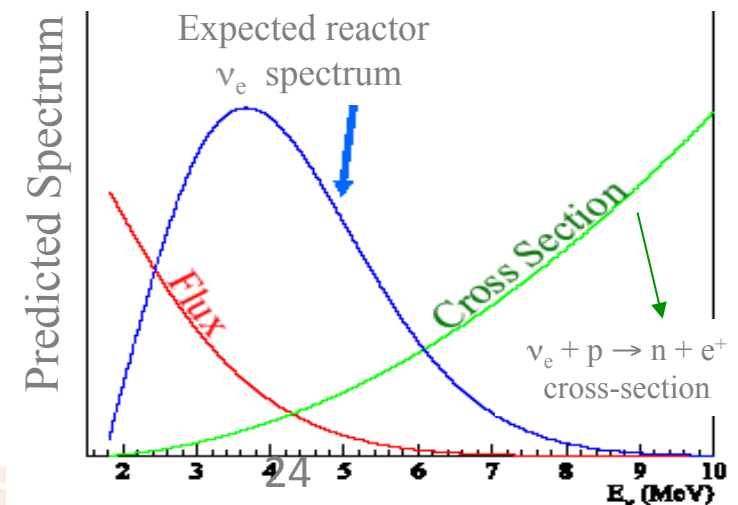
-The resulting fission rate,  $f$ , is thus:  
 $f = 1.2 \times 10^{20} \text{ fissions/s}$

-At  $6\bar{\nu}_e$  / fission the resulting yield is:  
 $7.1 \times 10^{20} \bar{\nu}_e / \text{s}$ .

>99.9% of  $\bar{\nu}_e$  produced by fissions of  $^{235}\text{U}$ ,  $^{238}\text{U}$ ,  $^{239}\text{Pu}$ , and  $^{241}\text{Pu}$ .

-Flux is known to at a few percent level.

Signal = Positron  
 signal + Neutron  
 Signal (within a  
 few capture times)

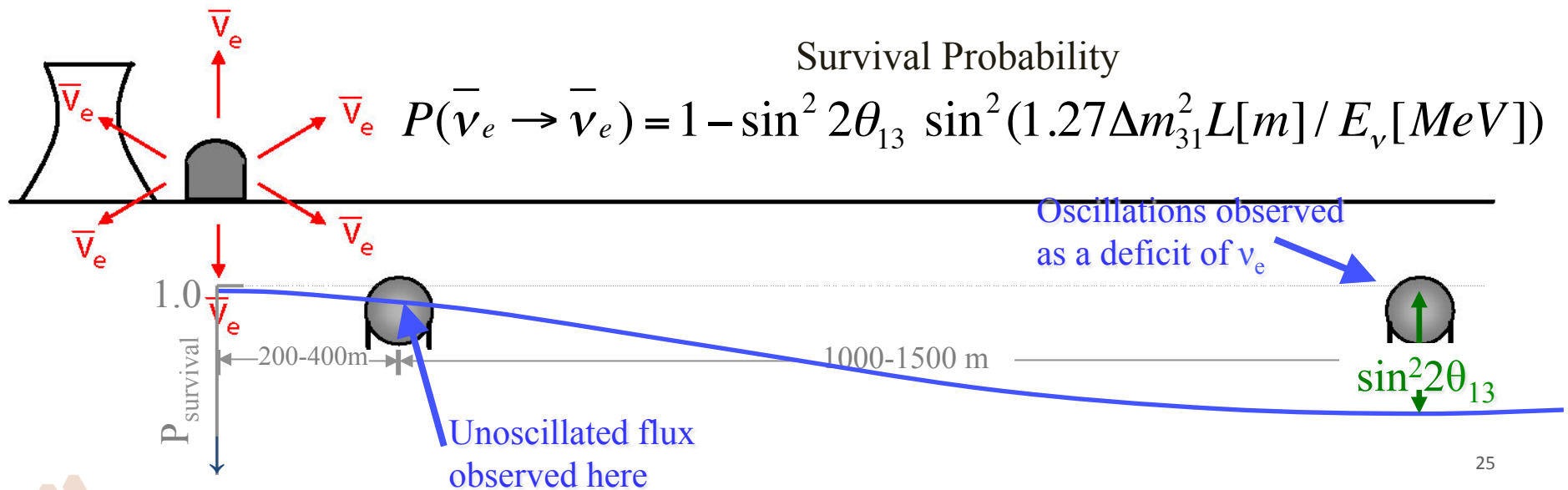


-Mean energy of  $\bar{\nu}_e$  is  $\sim 3.5 \text{ MeV}$ : only  $\bar{\nu}_e$  disappearance experiments are possible.



# Experimental Technique to measure $\theta_{13}$

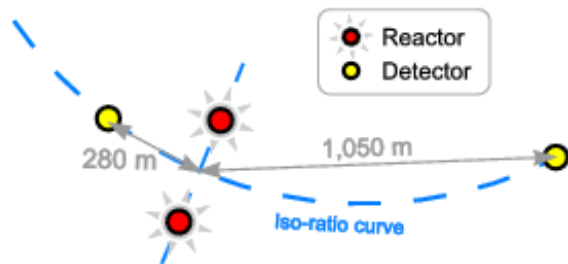
- Add an identical near detector → eliminate dependence on reactor flux.
  - Optimize baseline → near detector close to reactors, far detector at oscillation maximum.
  - Use large detectors with reduced systematics uncertainties → large data statistics, minimize systematics.
- High power reactor sites → improved statistics.  
Reduce backgrounds → go deeper and use active veto systems.  
Stable scintillator → eliminate potential aging effects.



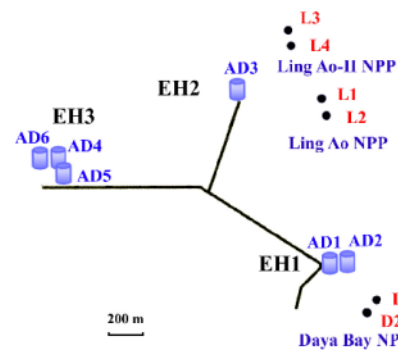
# Three current $\theta_{13}$ reactor measurements

-Three recent  $\theta_{13}$  reactor measurements from three experiments

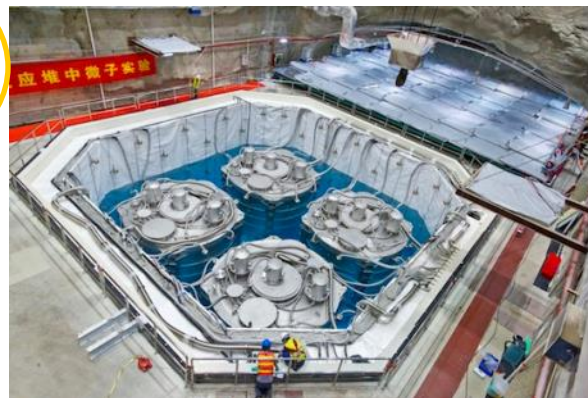
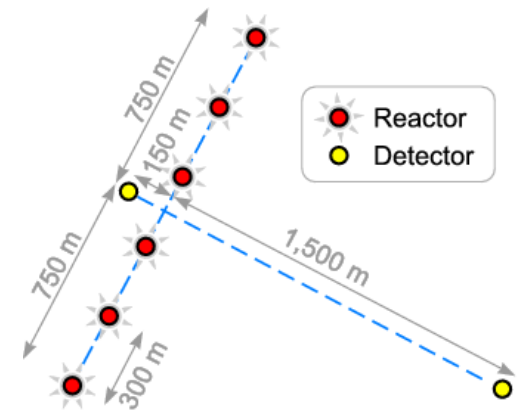
## Double Chooz (France)



## Daya Bay (China)



## RENO (S. Korea)



“The Big Bang Theory” wrt first Double Chooz  $\theta_{13}$  Results

# Three current $\theta_{13}$ reactor measurements

-Three recent  $\theta_{13}$  reactor measurements from three experiments

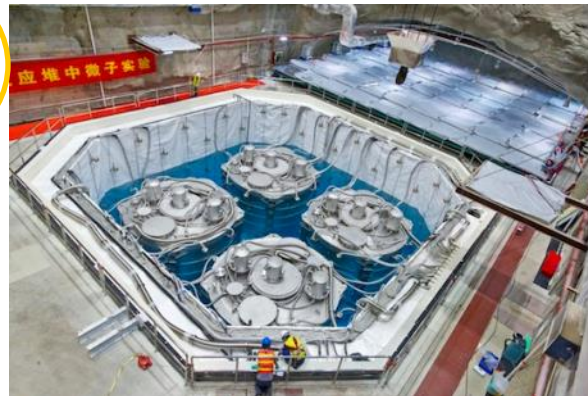
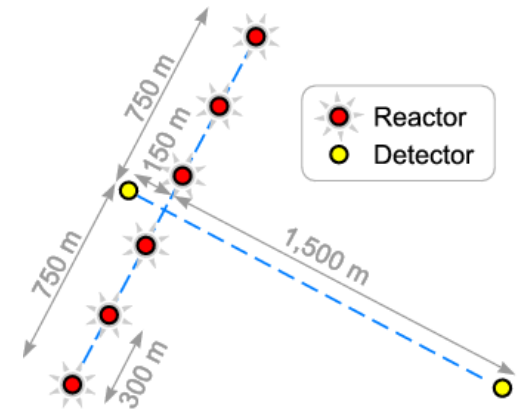
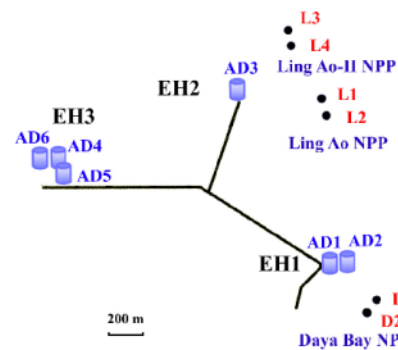
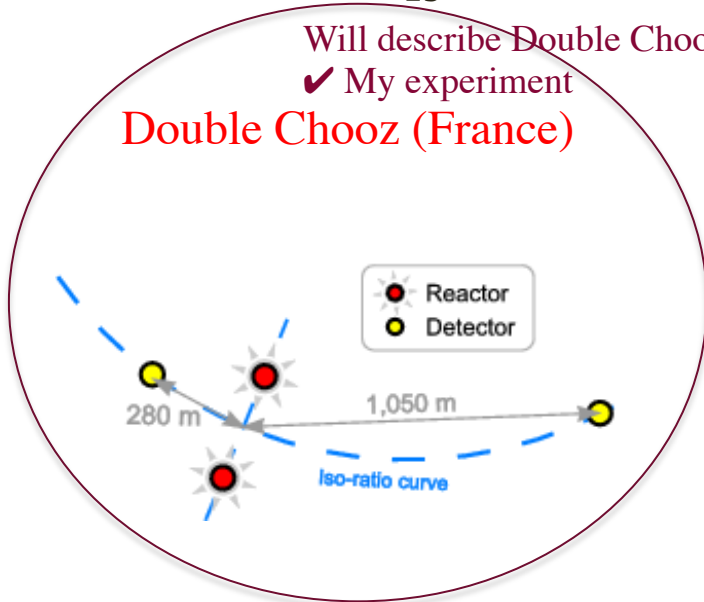
Will describe Double Chooz

✓ My experiment

Double Chooz (France)

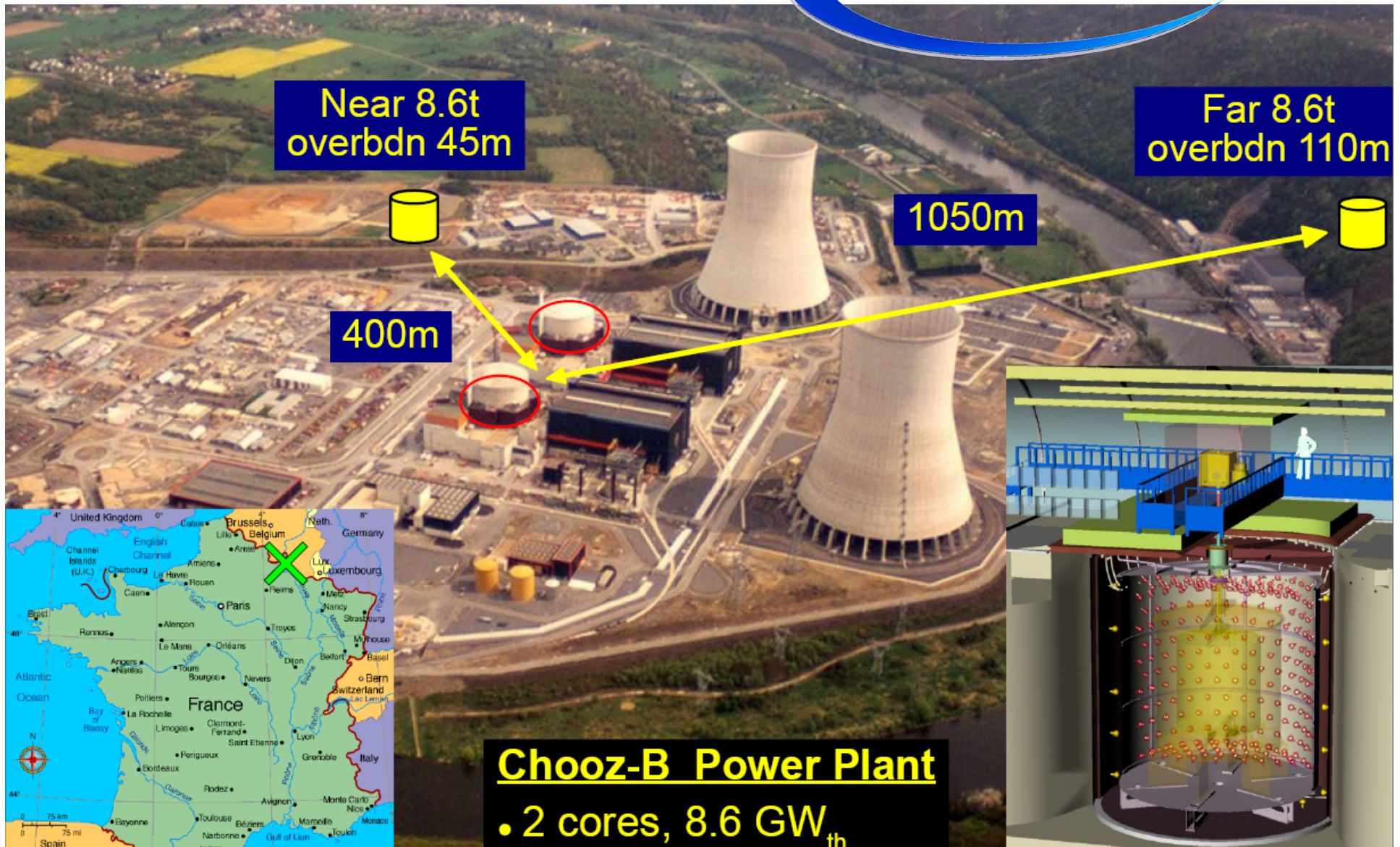
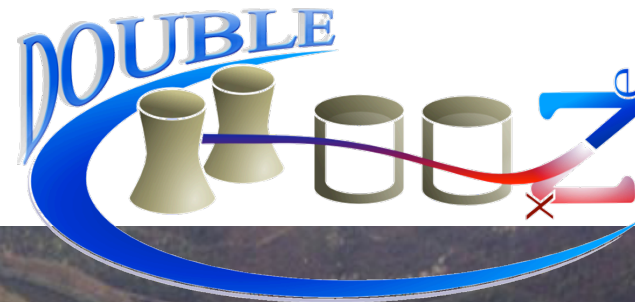
Daya Bay (China)

RENO (S. Korea)



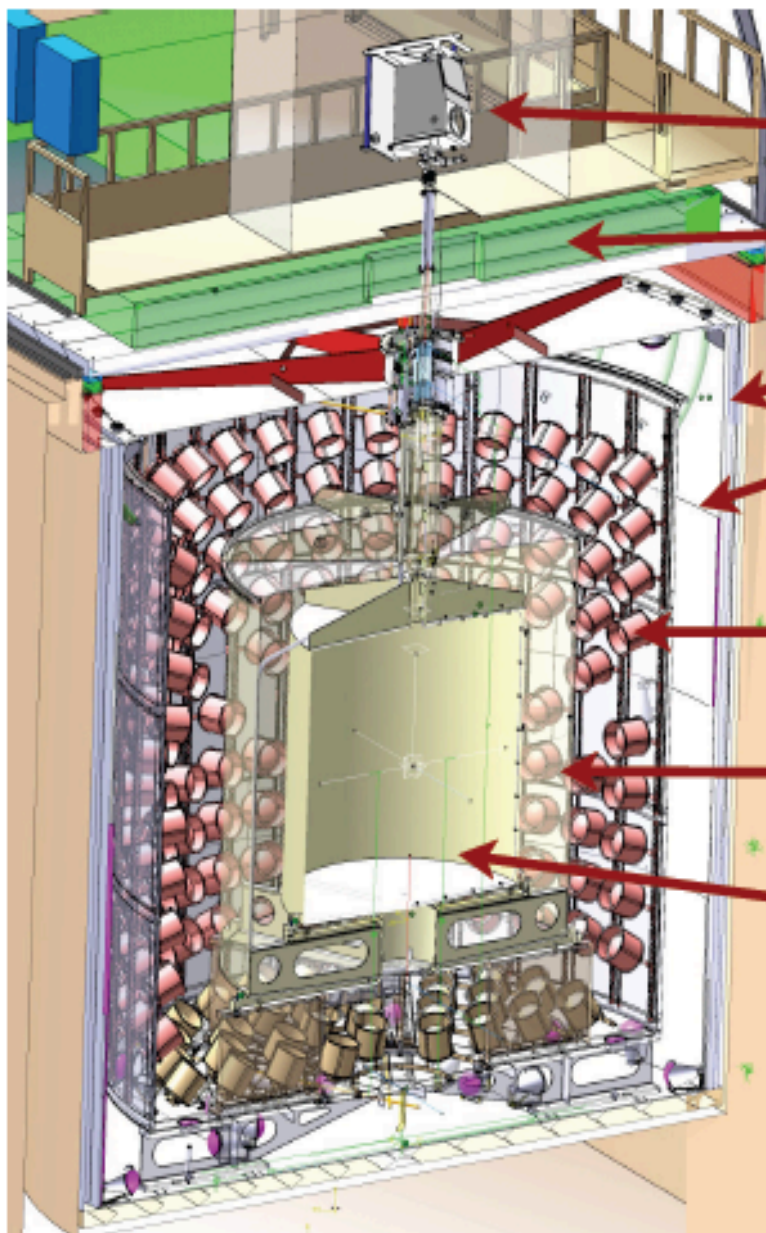
“The Big Bang Theory” wrt first Double Chooz  $\theta_{13}$  Results

# Double Chooz Experiment



## Double Chooz Detector Design

- Two identical detectors called “Far” detector and “Near” detector



Calibration glove box

Outer Veto: plastic scintillator strips

Shielding: steel 15 cm thick

Inner Veto: 90m<sup>3</sup> of liquid scintillator  
78 8" PMTs

Buffer: 110m<sup>3</sup> of non-scintillating  
mineral oil  
390 10" PMTs

Gamma-Catcher: 22.3m<sup>3</sup> of liquid  
scintillator

Target: 10.3m<sup>3</sup> of liquid scintillator  
doped with 1g/L of Gd

# Anti-Neutrino Detection

## ► Inverse Beta Decay (IBD):

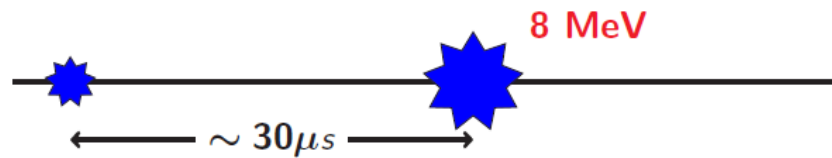
$$\bar{\nu}_e + p \rightarrow n + e^+$$

► **Prompt signal:** positron energy  
+ annihilation  $\gamma$ 's (1 ~ 9 MeV)

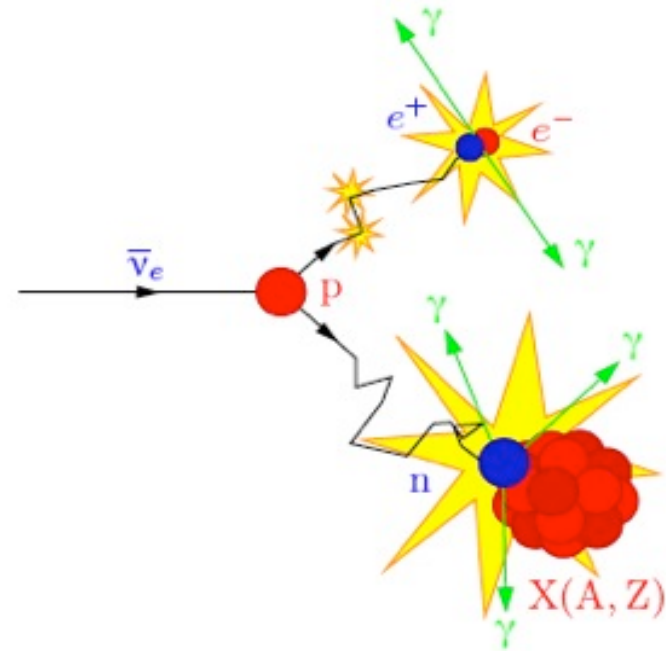
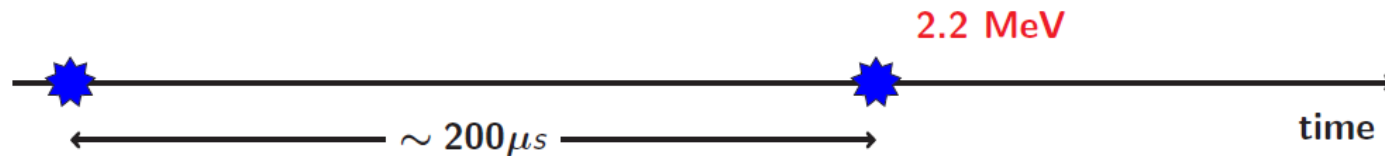
► **Delayed signal:**  $\gamma$ 's from neutron  
capture on Gd or H

► **Delayed coincidence**

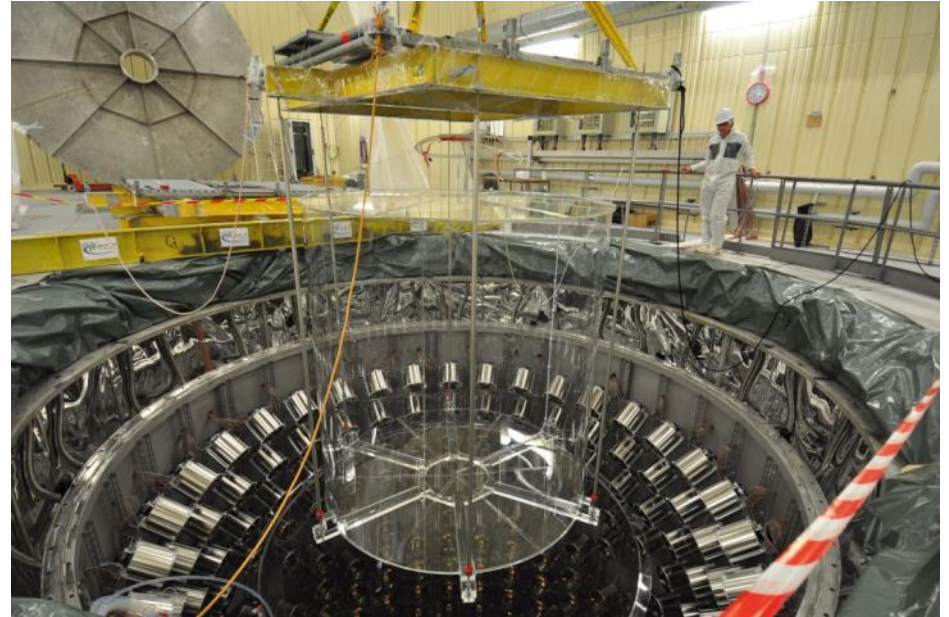
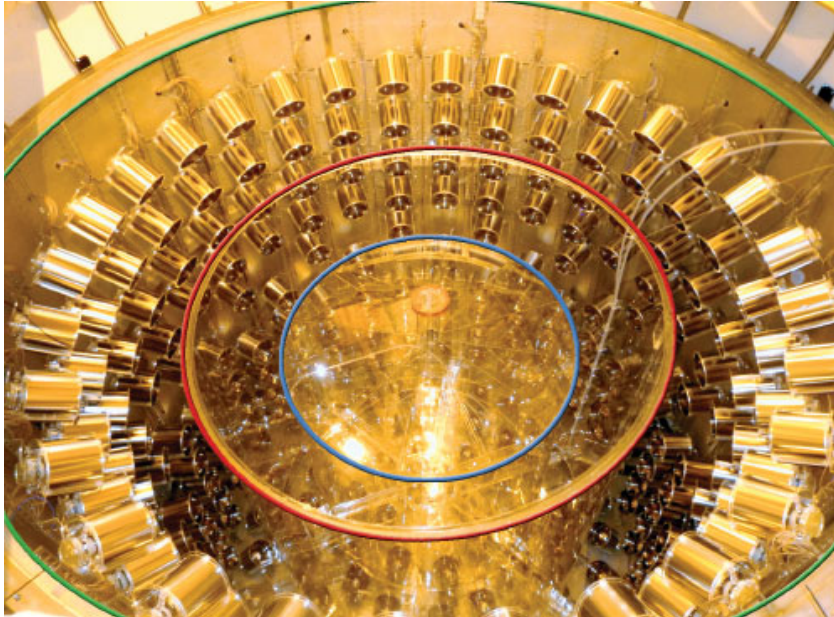
Gd channel



H channel



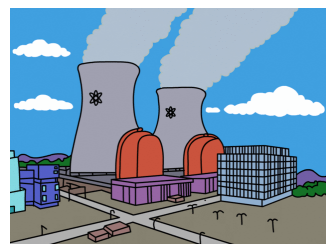
# Double Chooz Timeline



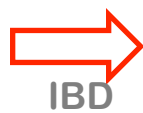
- 2010: Constructed and filled the single Far detector.
- April 2011: Far detector started data taking.
- November 2011: First data analysis complete
- 2014: Constructed and filled the Near detector.
- Jan. 2015: Near detector started data taking.
- **March 2016: First two detector oscillation results.**
- **September 2016: Updated two detector oscillation results.**

# Data Analysis Process

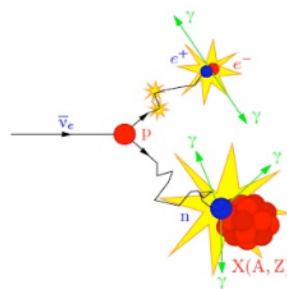
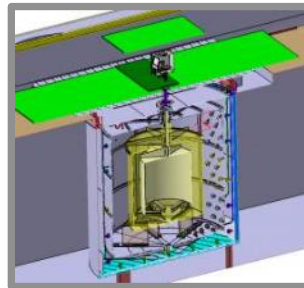
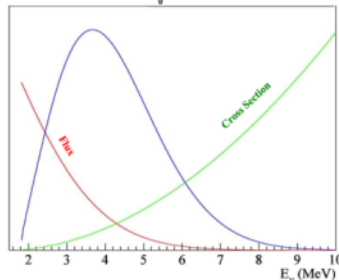
- Data flow and analysis chain



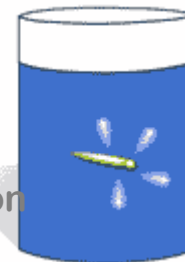
$2 \times 10^{17}$  per MW



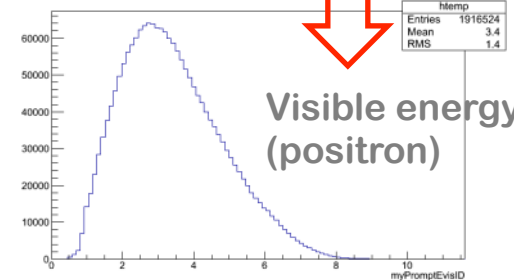
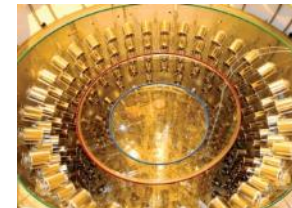
IBD



Scintillation



PMT



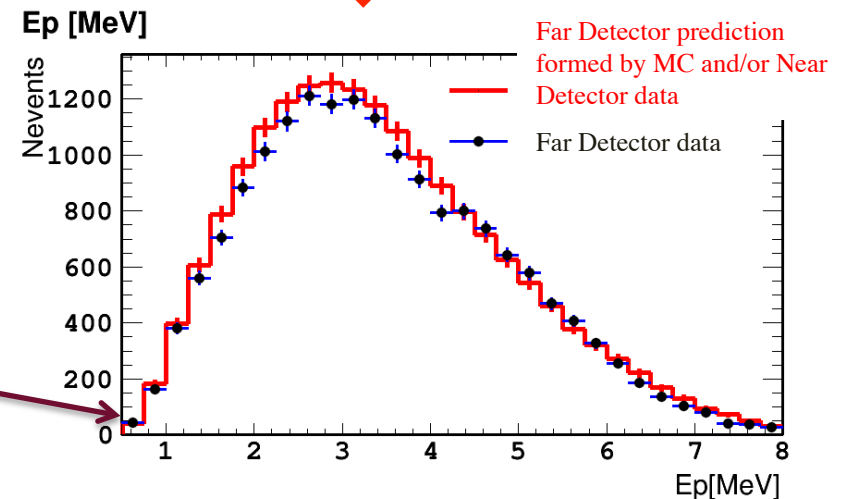
- At the Far Detector there is difference between the prediction and collected data due to the neutrino oscillation, proportional to the size of  $\theta_{13}$ .

$$P = 1 - \sin^2(2\theta_{13}) \sin^2 \left( \frac{1.27 \Delta m_{31}^2 L}{E_\nu} \right)$$

Input from long-baseline Accelerator neutrino exps. →  $\Delta m_{31}^2$

Fixed in Double Chooz →  $L$

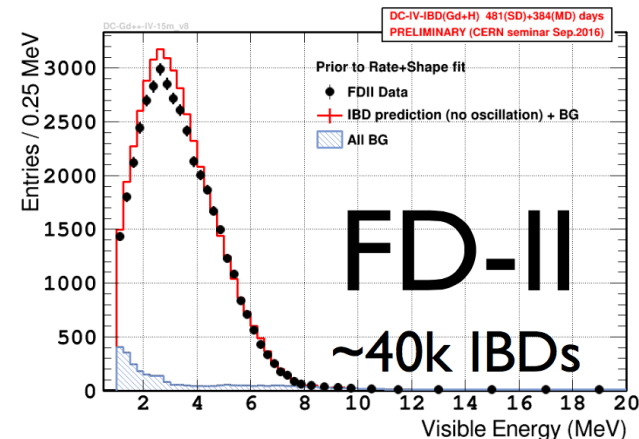
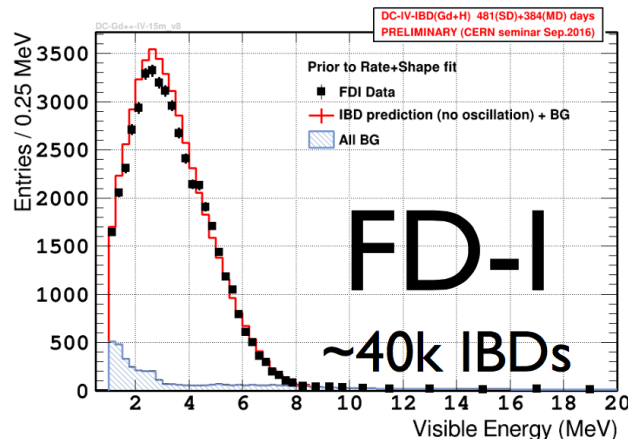
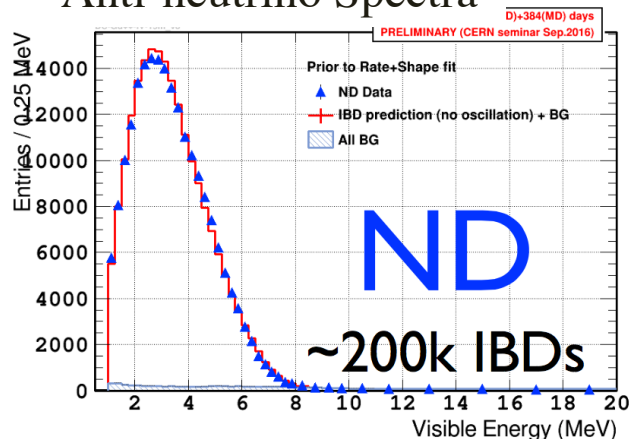
?



Anti-neutrino oscillation survival probability measured by ratio of Data/Prediction as a function of E

# Double Chooz $\theta_{13}$ Results

## Anti-neutrino Spectra



This Results:  $\sin^2 2\theta_{13} \text{ (R+S)} = (0.119 \pm 0.016)$

(marginalized over  $\Delta m^2 = (2.44 \pm 0.09) \text{eV}^2$   
Parke et al. arXiv:1601.07464)

## Summary of current $\theta_{13}$ Results

**Double Chooz**  
JHEP 1410, 086 (2014)

**Preliminary**  
(CERN seminar 2016)  
 $\sin^2(2\theta_{13}) = (0.119 \pm 0.016)$

**Daya Bay**  
PRL 115, 111802 (2015)

**RENO**  
PRL 116 211801(2016)

**T2K**  
PRD 91, 072010 (2015)

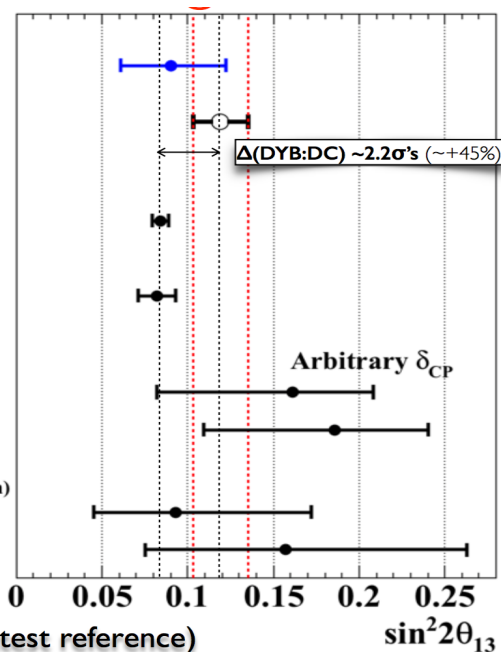
**NOvA**  
Preliminary (private communication)

$\Delta m_{32}^2 > 0$

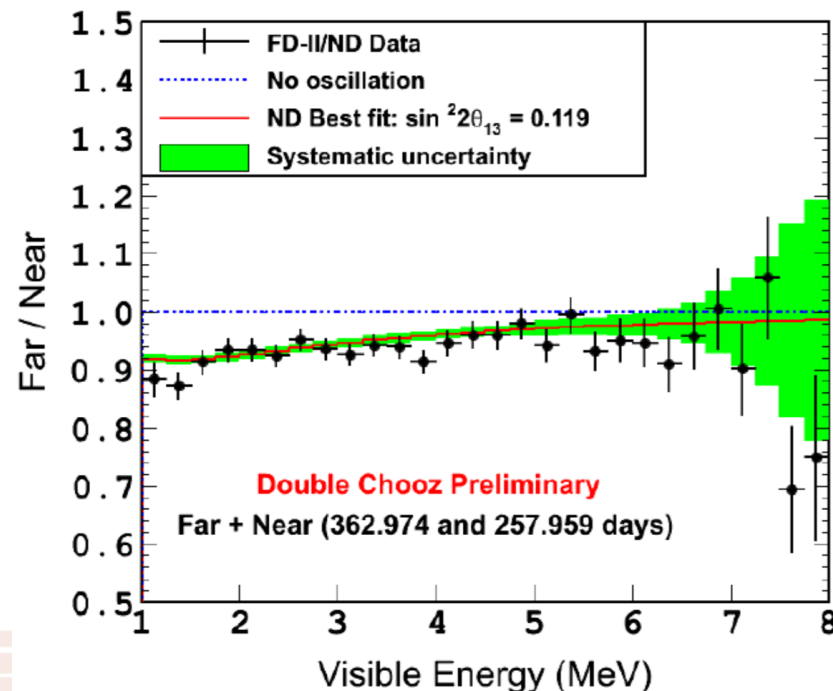
$\Delta m_{32}^2 < 0$

$\Delta m_{32}^2 > 0$

$\Delta m_{32}^2 < 0$



## Ratio Plot (Far Detector/Near Detector) Data



(Many thanks to NOvA: latest reference)

## Why is this important?

- Just about a five years ago the  $\theta_{13}$  was the last unmeasured neutrino mixing angle.
  - Recently it become the most precise measured mixing angle.
  - All experiments, both reactor and accelerator, show a very consistent results.
  - The value of  $\theta_{13}$  is not zero i.e.  $\theta_{13} \approx 9^\circ$ , or  $\sin^2 2\theta_{13} \approx 0.095$ .
- This successful determination of  $\theta_{13}$  positioned us to aim at measurement of CP-violation and the mass hierarchy with long-baseline oscillation experiments

$$P(\nu_\mu \rightarrow \nu_e) - P(\bar{\nu}_\mu \rightarrow \bar{\nu}_e) = -16s_{12}c_{12}s_{13}c_{13}^2s_{23}c_{23}\sin\delta\sin\left(\frac{\Delta m_{12}^2}{4E}L\right)\sin\left(\frac{\Delta m_{13}^2}{4E}L\right)\sin\left(\frac{\Delta m_{23}^2}{4E}L\right)$$

- If the value turned out to be  $\theta_{13} = 0$  it would not rule the possible existence of leptonic CP-violation which could help explain dominance of matter over anti-matter.
  - However  $\theta_{13} = 0$  would make leptonic CP-violation impossible to measure through a neutrino oscillation measurement.

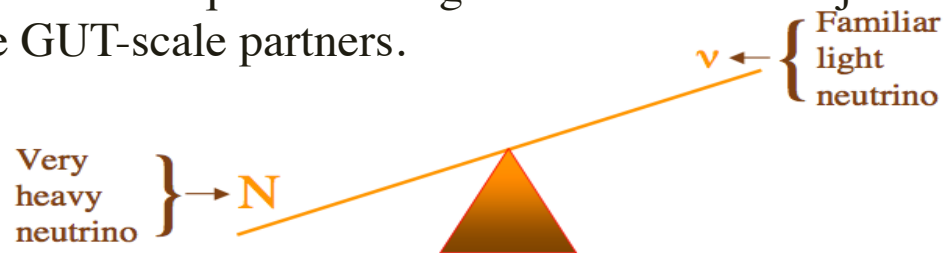


# Why is CP-violation (i.e. $\delta_{CP} \neq 0$ ) with neutrinos so important?

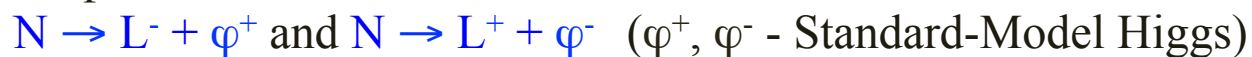
-Striking feature of the Universe: only matter, virtually no anti-matter!

-Observation of CP-violation would make it more likely that the baryon-antibaryon asymmetry of the universe arose through leptogenesis.

-The theory of leptogenesis is linked to the see-saw theory and as a consequence the light neutrinos are Majorana and have GUT-scale partners.



-The matter-antimatter asymmetry of the universe may be explained through CP-violating decays of the heavy partners, producing a state with unequal numbers of Standard Model leptons and antileptons.



-The Standard Model processes convert such a state into the world around us with an unequal number of baryons and antibaryons.

-It is thought that CP-violation would be very unlikely to appear in the heavy sector without happening in light neutrinos.

Big Bang produced slightly different amounts of matter and anti-matter, with some tiny asymmetry?

10,000,000,001

MATTER

10,000,000,000

ANTI MATTER

Then matter and anti-matter annihilated leaving just us?

us

1

MATTER

ANTI MATTER

# Long Baseline Accelerator Experiments

(will focus on NOvA Experiment that I currently work on)

NOvA:

$\langle E_\nu \rangle \approx 2 \text{ GeV}$

$L = 810 \text{ km}$



T2K:

$\langle E_\nu \rangle = 0.7 \text{ GeV}$

$L = 295 \text{ km}$



# Long Baseline Accelerator Experiments

(will focus on NOvA Experiment that I currently work on)

NOvA:

$\langle E_\nu \rangle \approx 2 \text{ GeV}$

$L = 810 \text{ km}$

Will describe NOvA

✓ My experiment



T2K:

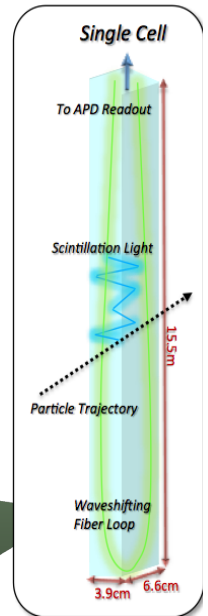
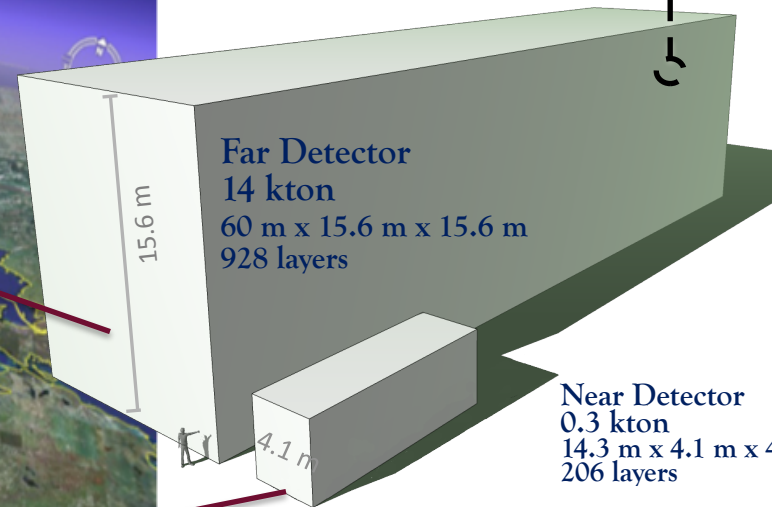
$\langle E_\nu \rangle = 0.7 \text{ GeV}$

$L = 295 \text{ km}$



# NOvA (NuMI Off-axis $\nu_e$ Appearance Experiment)

- The long-baseline off-axis neutrino oscillation experiment with functionally identical Near and Far Detectors.
- Data taking with complete detectors started in November 2014.
- **First Results Announced on August 6, 2015.**
- **New Results Announced on July 4, 2016.**



- Low-Z tracking calorimeters
- High power NuMI beam
  - upgraded for NOvA to take the power 350 – 700 kW
  - this result:  $6.05 \times 10^{20}$  POT, 700 kW peak intensity.
- Detectors are 14 mrad off-axis. 38

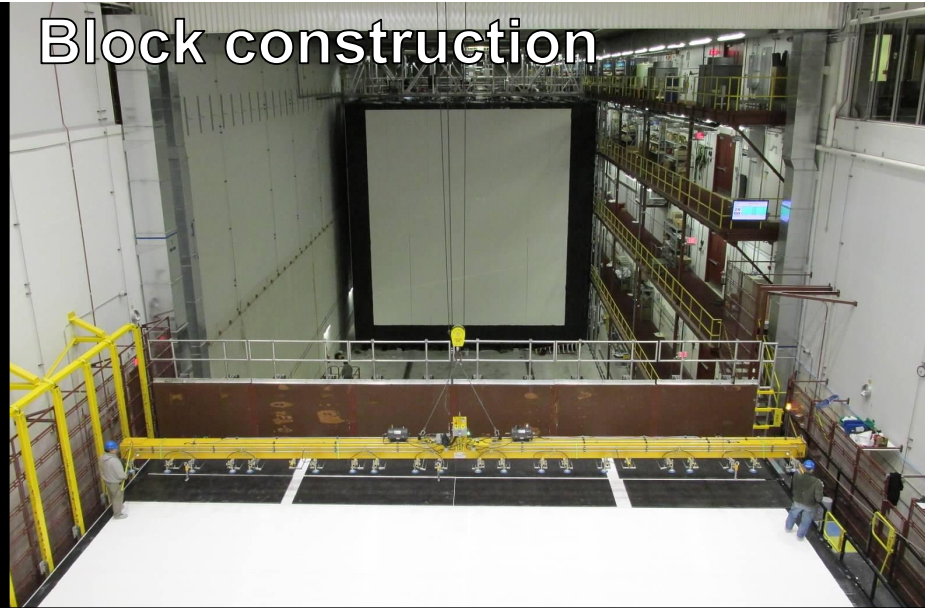


# NOvA Detectors

Far Detector site



Block construction



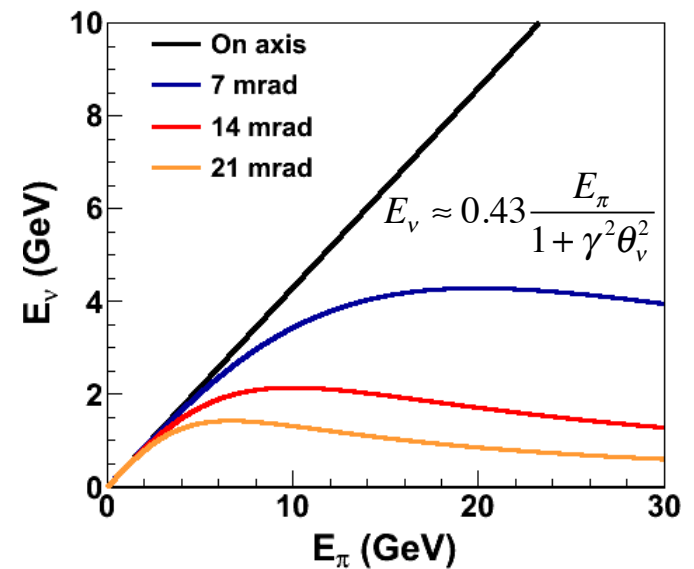
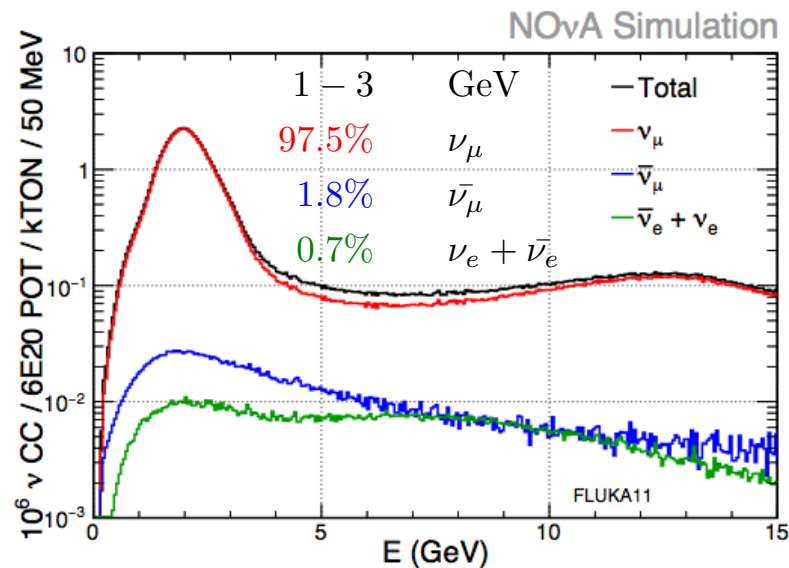
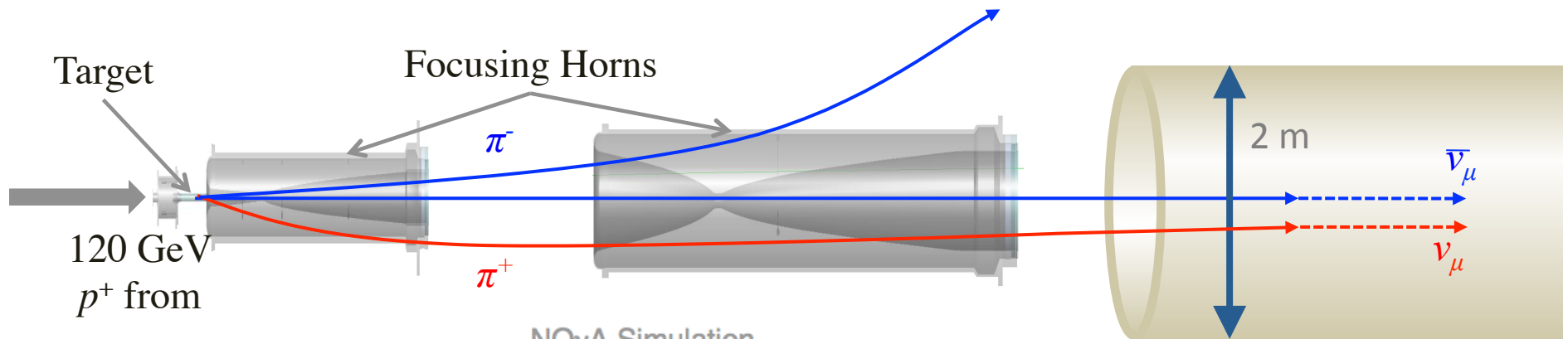
Outfitted Far Detector



Near Detector



# NOvA Off-axis Neutrino Beam

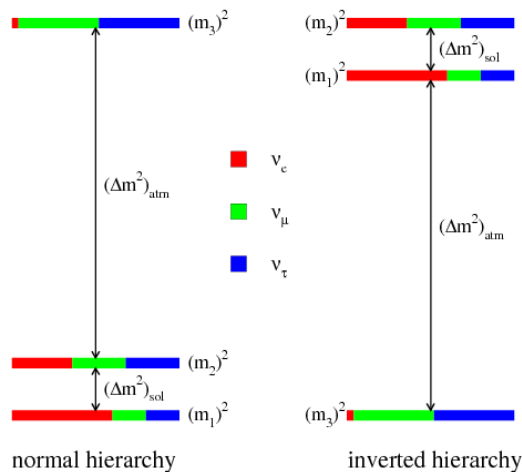


- At 14 mrad off-axis, narrow band beam peaked at 2 GeV
  - Near oscillation maximum
  - Few high energy NC background events

# The Goals of NOvA Experiment

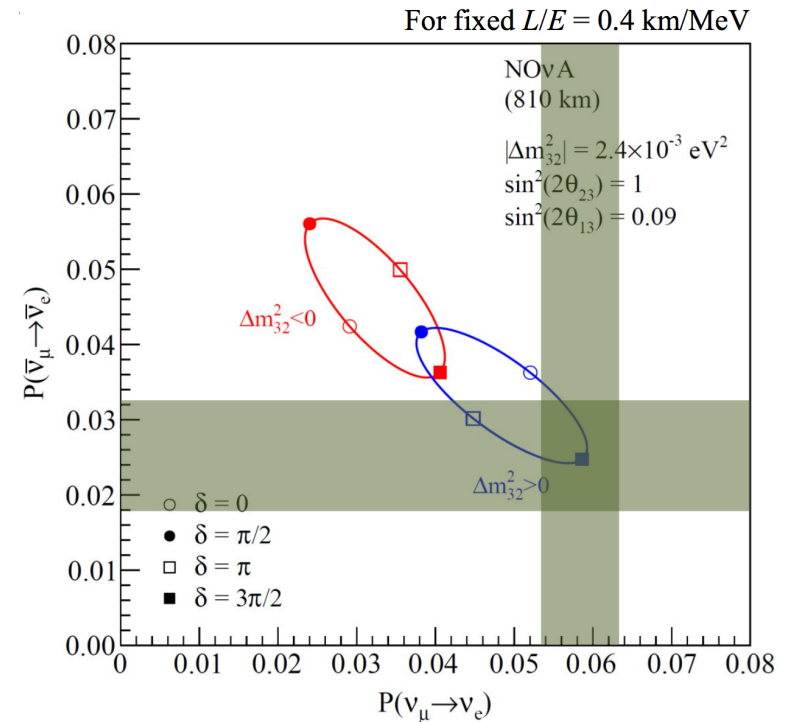
- Measure the oscillation probabilities of
  - appearance channels:  $\nu_\mu \rightarrow \nu_e$  and  $\bar{\nu}_\mu \rightarrow \bar{\nu}_e$
  - disappearance channels:  $\nu_\mu \rightarrow \nu_\mu$  and  $\bar{\nu}_\mu \rightarrow \bar{\nu}_\mu$

- Precision measurements of  $\theta_{13}$ ,  $\Delta m_{32}^2$ ,  $\theta_{23}$
- Probe the neutrino mass hierarchy
- Study the CP violation parameter  $\delta$

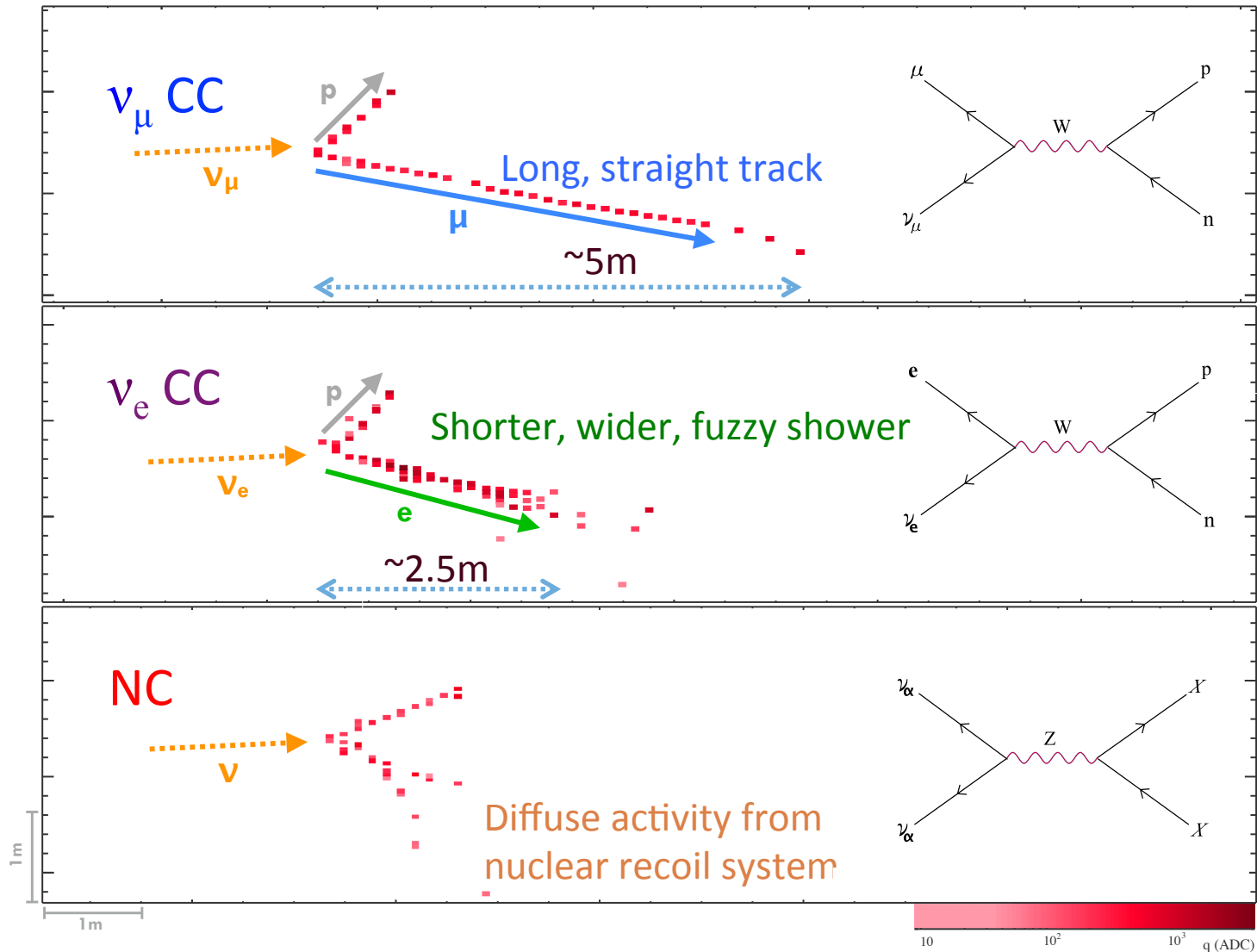


- Additional Physics Goals:
  - Neutrino cross-sections and interaction physics
  - Sterile Neutrinos
  - Supernovae and Exotic Searches

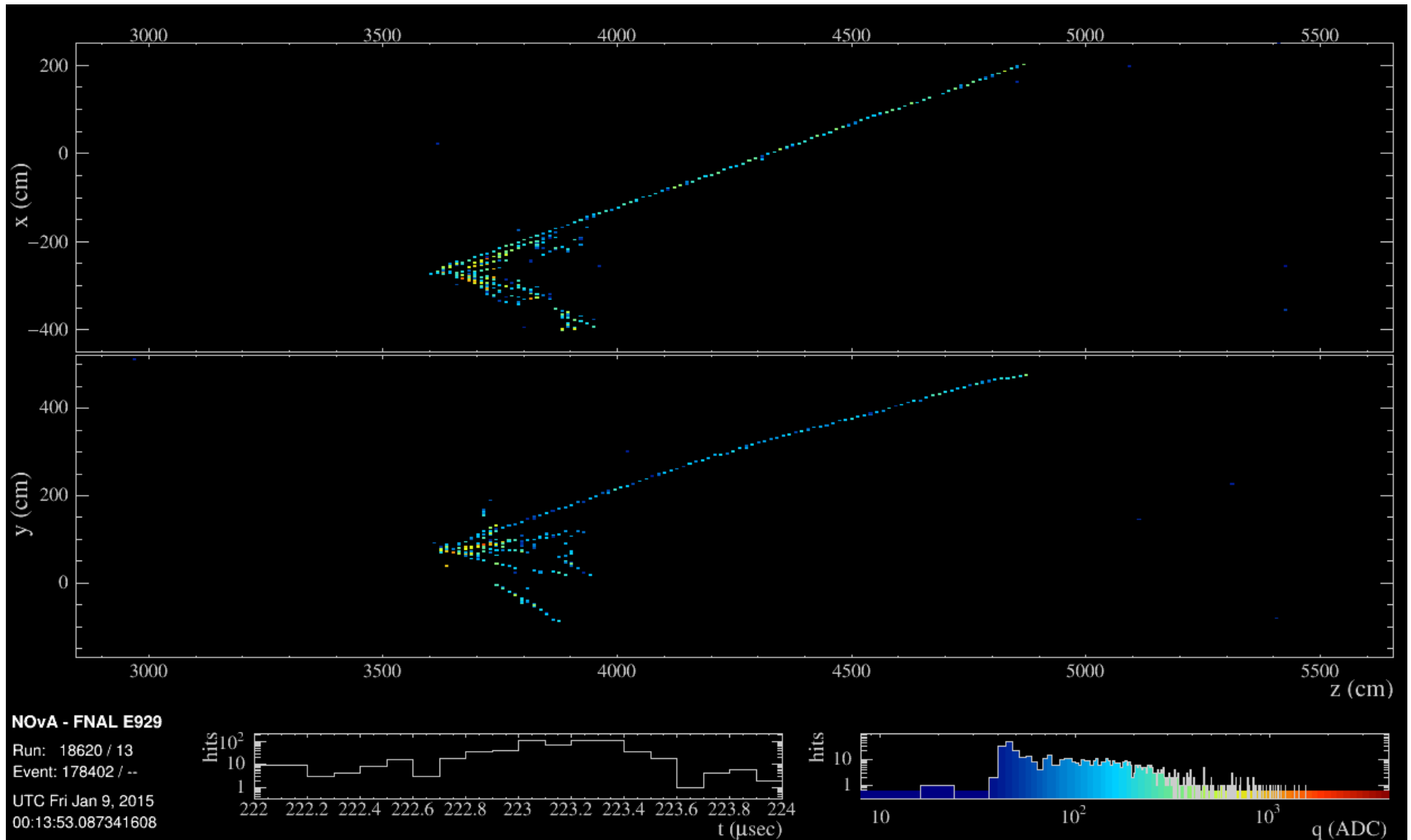
$$P(\nu_\alpha \rightarrow \nu_\beta) \neq P(\bar{\nu}_\alpha \rightarrow \bar{\nu}_\beta) ?$$



# NOvA Event Topologies

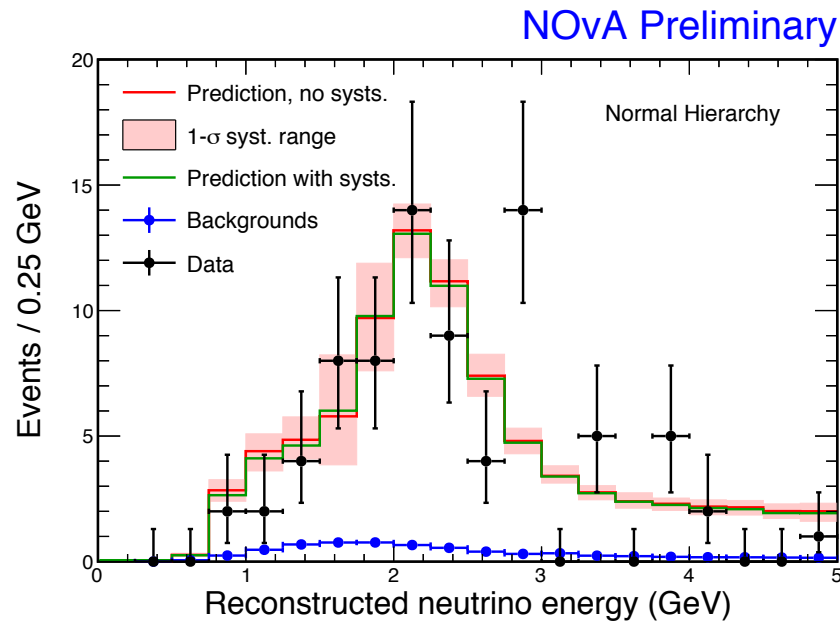


# Neutrino Interaction the NOvA Far Detector



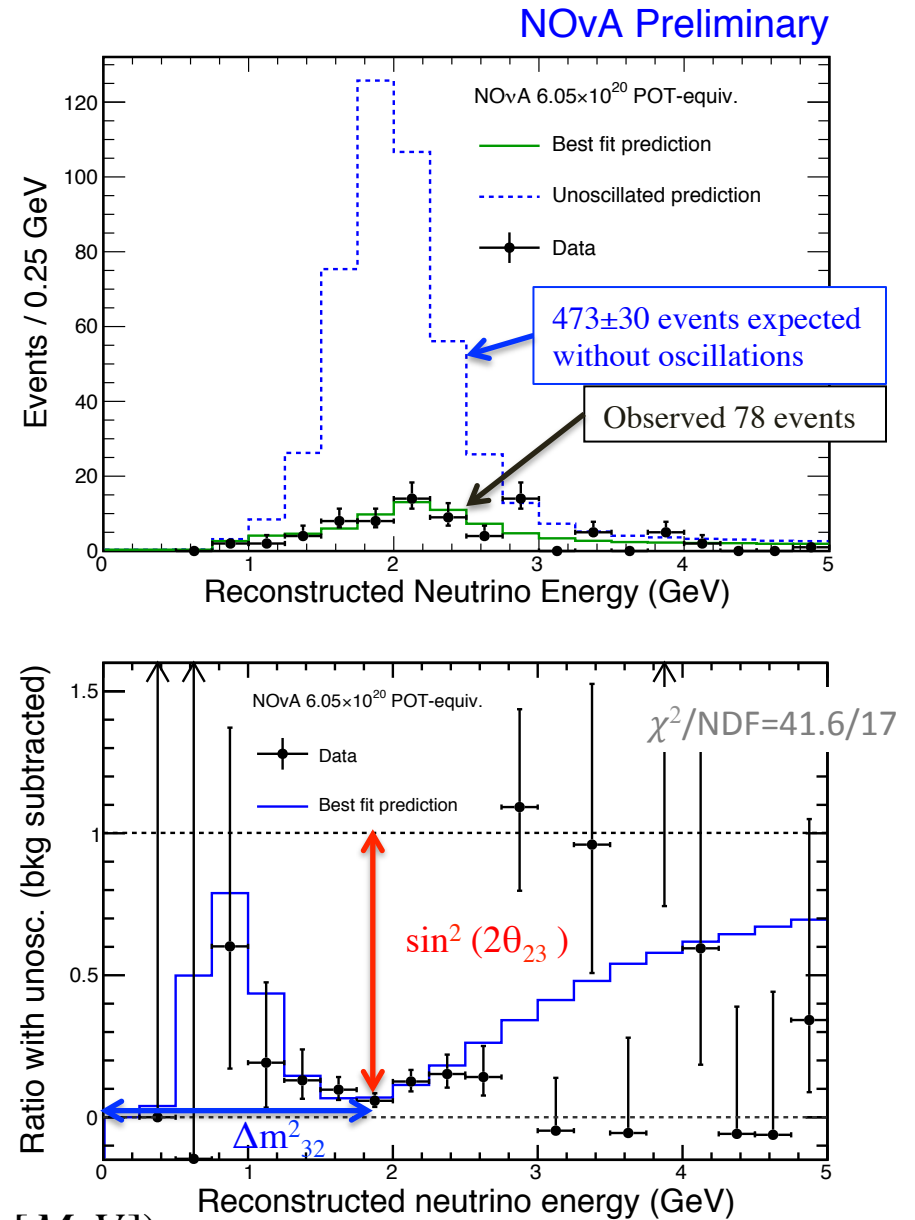
# NOvA Far Detector $\nu_\mu$ Disappearance

- Identify contained  $\nu_\mu$  CC events in both Near and Far Detector
- Measure Energy
- Extract oscillation information from differences between the Far and Near energy spectra



- Spectrum is well matched by oscillation fit for  $\Delta m^2$  and  $\theta_{23}$ .

$$P(\nu_\mu \rightarrow \nu_\mu) = 1 - \sin^2 2\theta_{23} \sin^2(1.27 \Delta m_{32}^2 L[m] / E_\nu[MeV])$$



# NOvA $\nu_\mu$ Disappearance Result

(need oscillation formula)

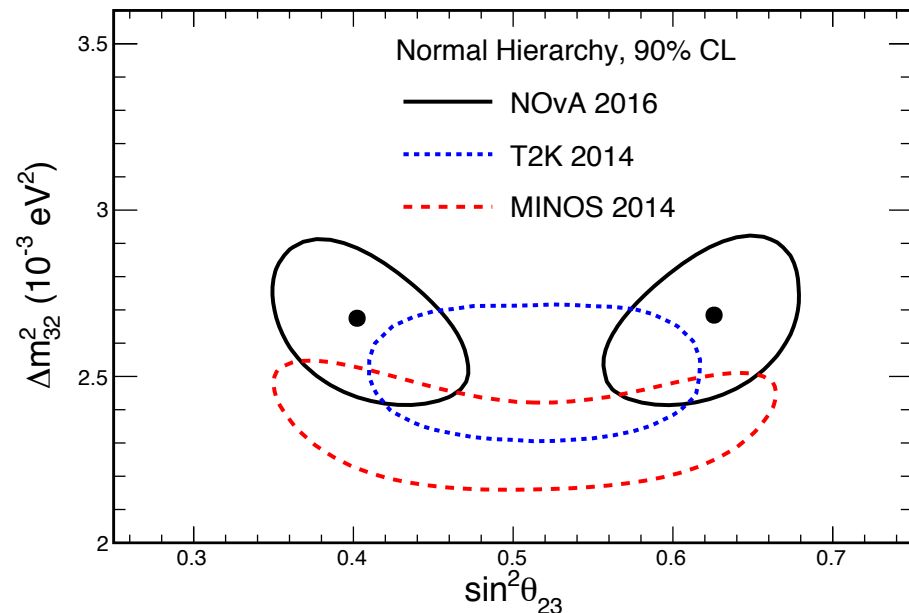
- NOvA allowed region in  $(\Delta m^2, \sin^2 \theta_{23})$
- Best Fit Result (in NH):

$$|\Delta m_{32}^2| = 2.67 \pm 0.12 \times 10^{-3} \text{eV}^2$$
$$\sin^2 \theta_{23} = 0.40^{+0.03}_{-0.02} (0.63^{+0.02}_{-0.03})$$

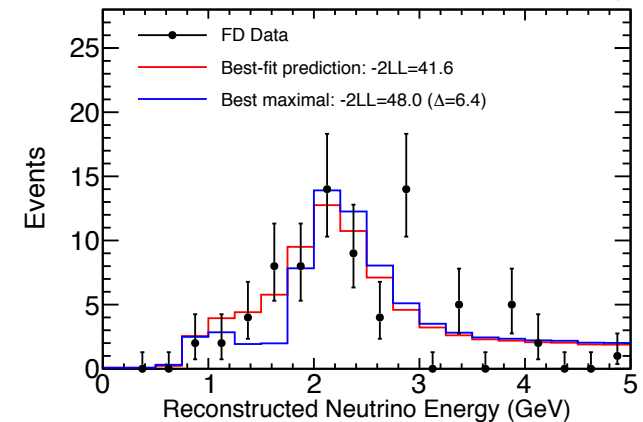
Maximal mixing excluded at  $2.5\sigma$

Contours compared

NOvA Preliminary



NOvA Preliminary



# $\nu_e$ Appearance Search

- Let's talk about NOvA electron-neutrino appearance search
- Remember we now measure  $\nu_\mu \rightarrow \nu_e$  oscillation:

$$\begin{aligned}
 P(\nu_\mu \rightarrow \nu_e) = & \sin^2 \theta_{23} \sin^2 2\theta_{13} \frac{\sin^2(\Delta_{31} - aL)}{(\Delta_{31} - aL)^2} \Delta_{31}^2 \\
 & + \cos \delta \sin 2\theta_{23} \sin 2\theta_{12} \sin 2\theta_{13} \cos \Delta_{32} \left( \frac{\sin(\Delta_{31} - aL)}{(\Delta_{31} - aL)} \Delta_{31} \right) \left( \frac{\sin(aL)}{aL} \Delta_{21} \right) \\
 & - \sin \delta \sin 2\theta_{23} \sin 2\theta_{12} \sin 2\theta_{13} \sin \Delta_{32} \left( \frac{\sin(\Delta_{31} - aL)}{(\Delta_{31} - aL)} \Delta_{31} \right) \left( \frac{\sin(aL)}{aL} \Delta_{21} \right) \\
 & + \cos^2 \theta_{23} \sin^2 2\theta_{12} \frac{\sin^2(aL)}{(aL)^2} \Delta_{21}^2,
 \end{aligned}$$

$$\Delta_{ij} = \Delta m_{ij}^2 L / 4E_\nu$$

$$a = G_F N_e / \sqrt{2}$$

CP-violation parameter  $\delta_{CP}$   
 Mass hierarchy (sign of  $\Delta m_{31}^2$ )  
 } Need measure this!

Size of  $\sin^2 \theta_{23}$  Use the results of accelerator muon neutrino oscillation measurements

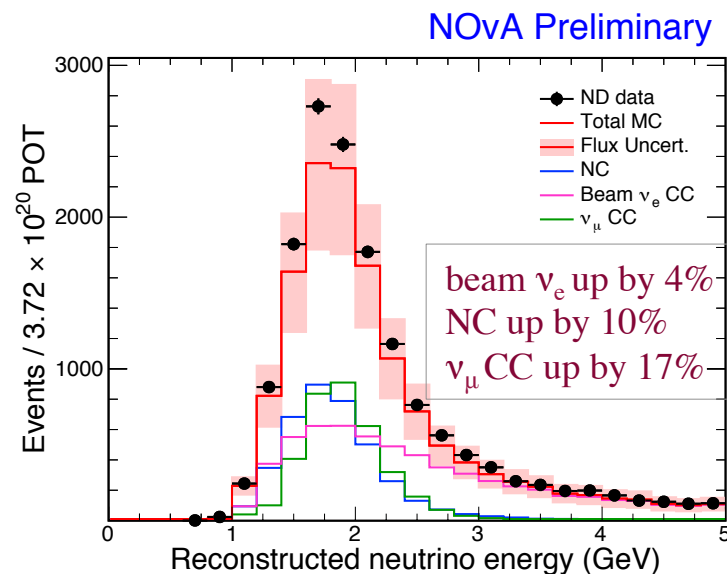
Mixing angle  $\theta_{13}$  Take the value from reactor electron anti-neutrino oscillation experiments



# $\nu_e$ Appearance Search

- Identify contained  $\nu_e$  CC events in both Near and Far Detector
- Use Near Detector Data/MC to predict beam backgrounds in the Far Detector
- Extract oscillation information from Far Detector excess over predicted backgrounds

1<sup>st</sup> Analysis Published in PRL 116 (2016) no.15, 151806

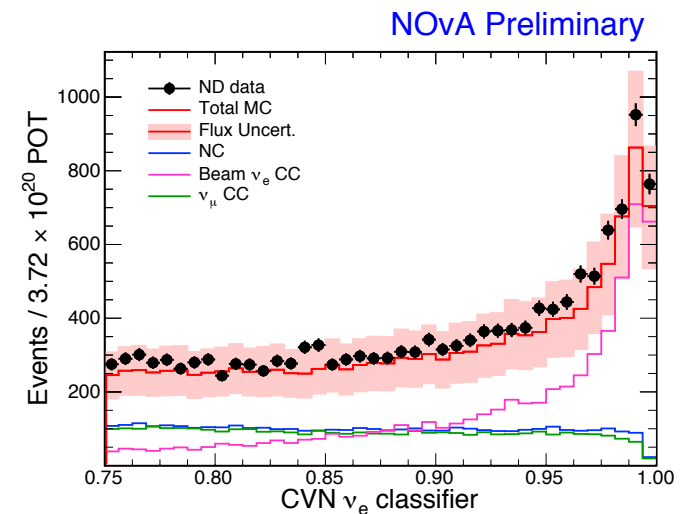


ND data to predict background in FD

- NC, CC, beam  $\nu_e$  each propagate differently
- constrain beam  $\nu_e$  using selected  $\nu_\mu$  CC spectrum
- constrain  $\nu_\mu$  CC using Michel Electron distribution

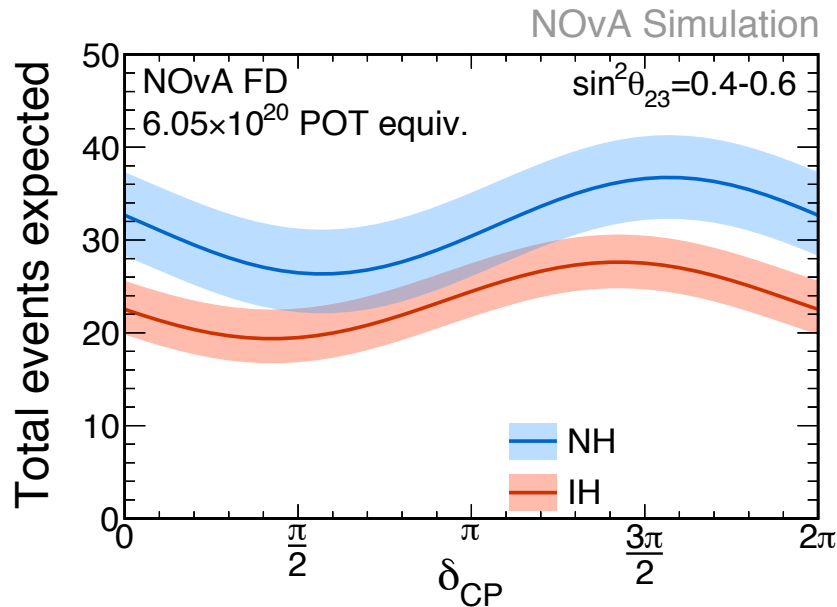
Selection that separates  $\nu_e$  CC events from Backgrounds performed by CVN (Convolutional Neural Network) classifier

CVN technique published in JINST 11 (2016) no.09, P09001.



# Far Detector $\nu_e$ Signal Prediction

- Extrapolate each background component in bins of energy and CVN output
- Expected event counts depend on oscillation parameters

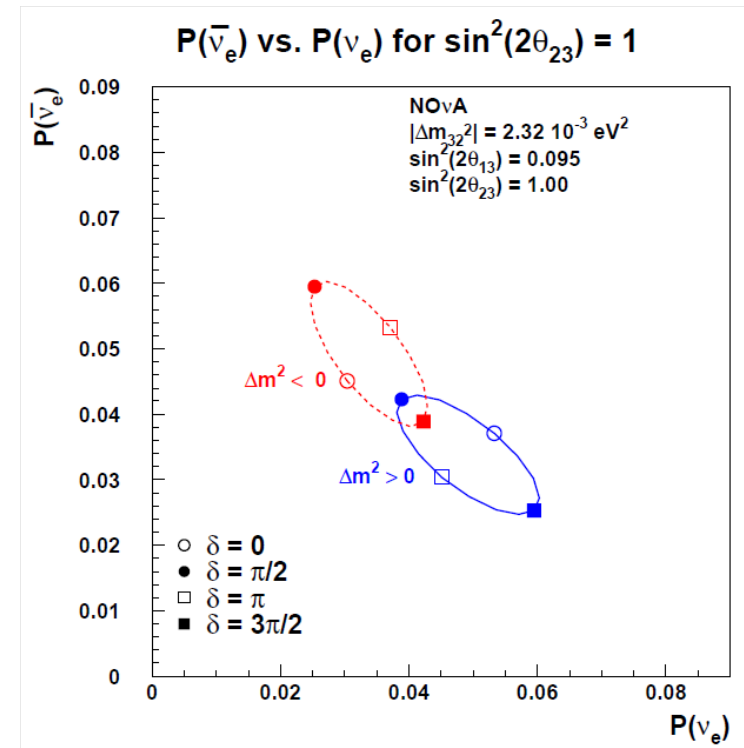


Signal events  
( $\pm 5\%$  systematic  
uncertainty):

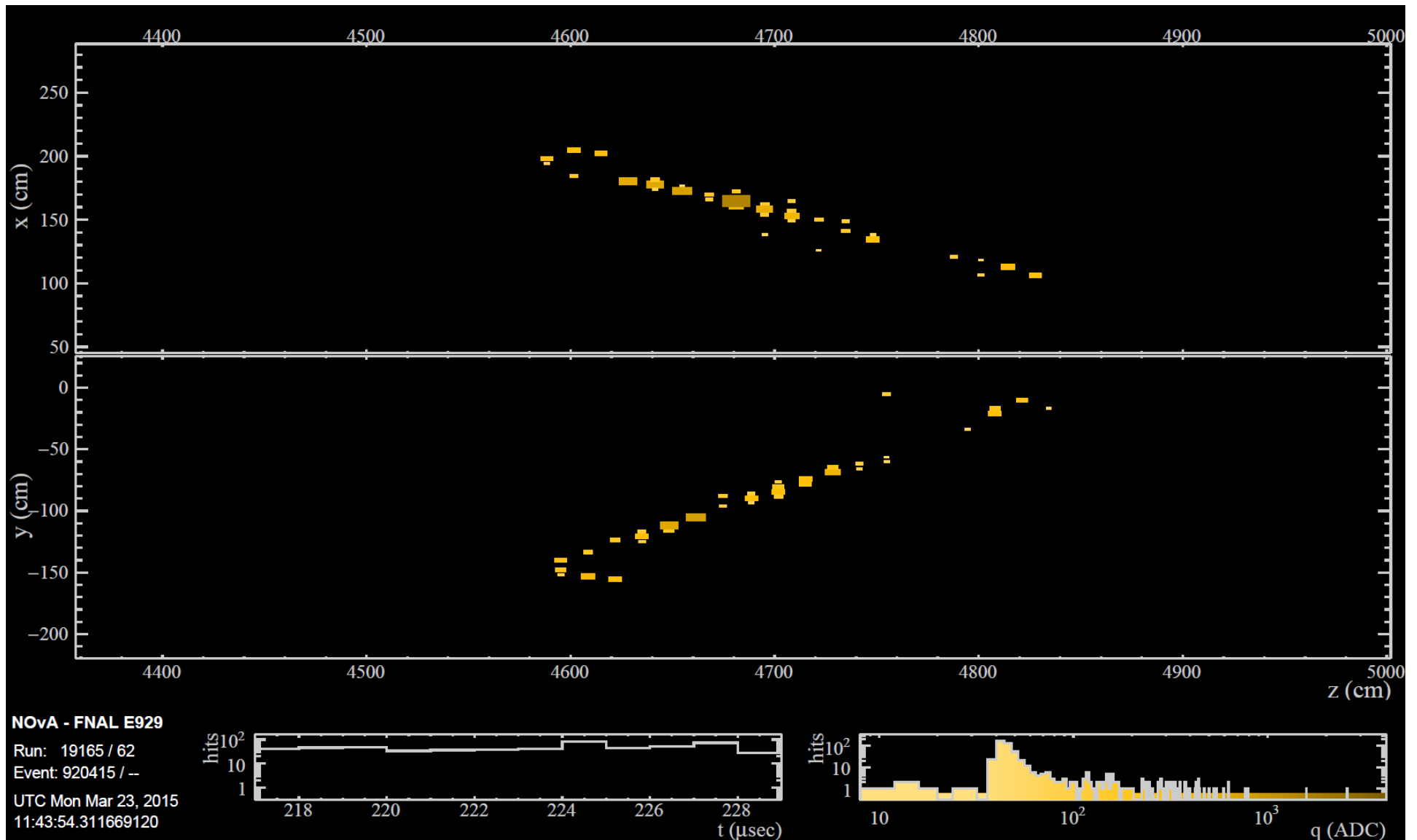
NH, $3\pi/2$ ,	IH, $\pi/2$ ,
28.2	11.2

Background by component ( $\pm 10\%$  systematic uncertainty):

Total BG	NC	Beam $\nu_e$	$\nu_\mu$ CC	$\nu_\tau$ CC	Cosmics
8.2	3.7	3.1	0.7	0.1	0.5

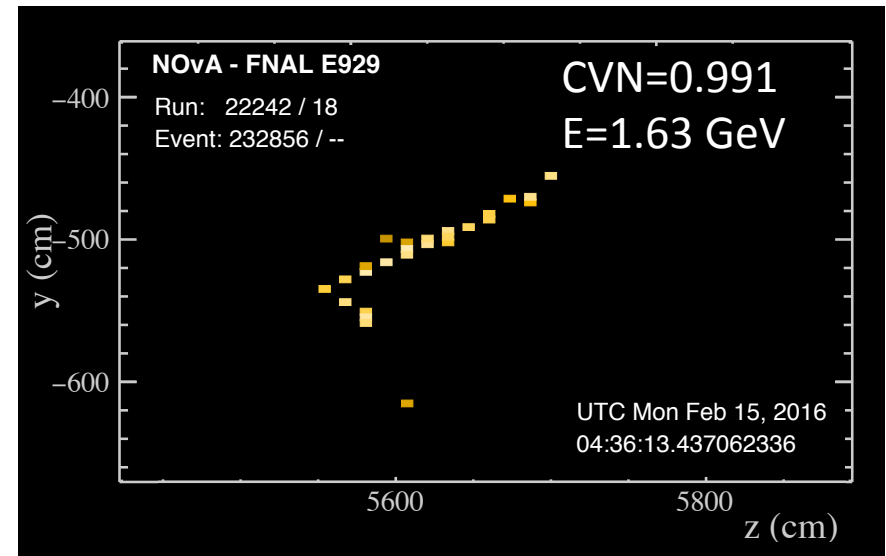
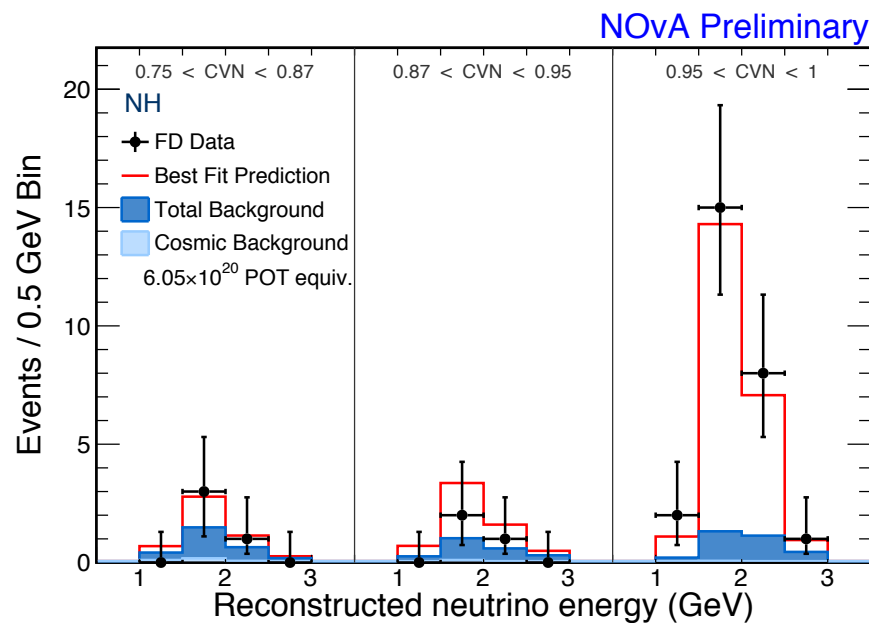


# NOvA Far Detector Selected $\nu_e$ CC Candidate



# Far Detector $\nu_e$ Data vs Prediction

- Observed 33 events in FD
    - Background estimate:  $8.2 \pm 0.8$
- >8 $\sigma$  electron neutrino appearance signal



Alternate PID selection based on 2015 analysis show consistent results

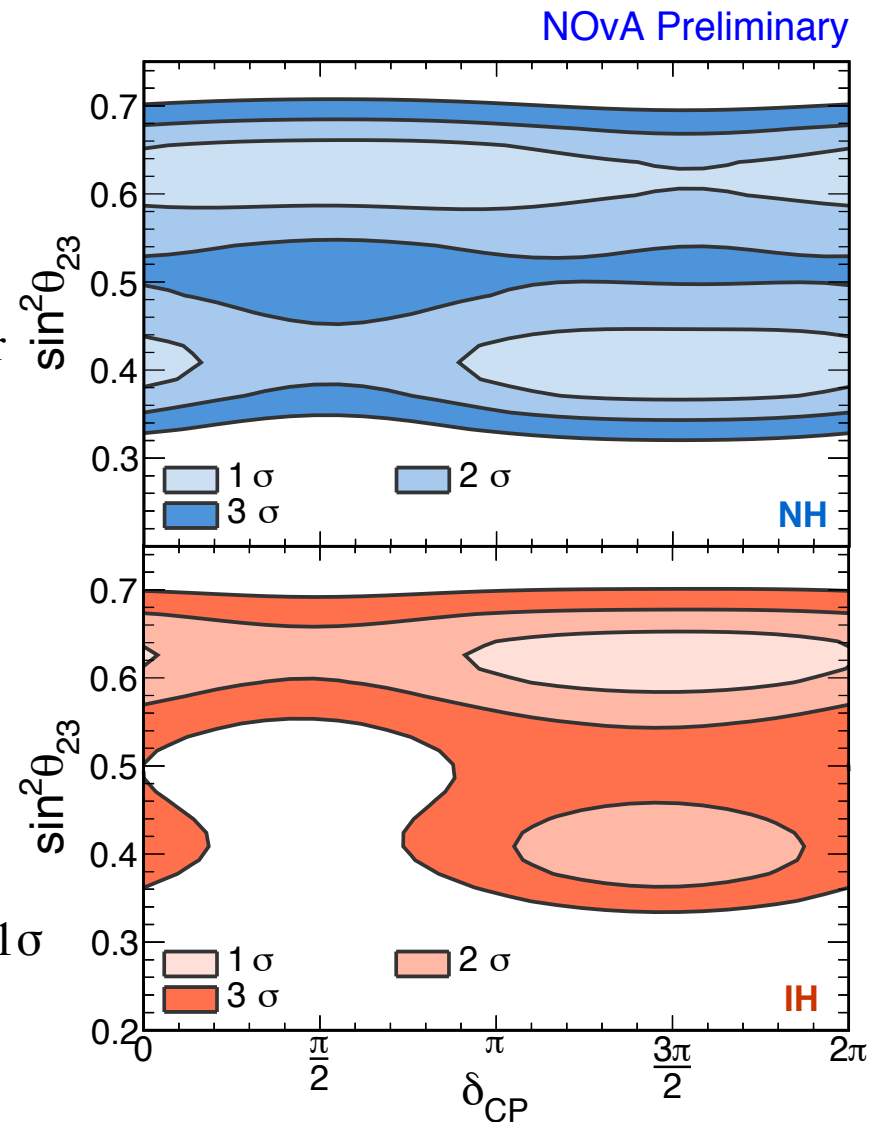
- LID: 34 events,  $12.2 \pm 1.2$  BG expected
- LEM: 33 events,  $10.3 \pm 1.0$  BG expected

# NOvA $\nu_e$ Appearance Results

- Fit for hierarchy,  $\delta_{CP}$ ,  $\sin^2\theta_{23}$ 
  - Constrain  $\Delta m^2$  and  $\sin^2\theta_{23}$  with NOvA disappearance results
  - Not a full joint fit, systematics and other oscillation parameters not correlated between two samples
- Global best fit **Normal Hierarchy**

$$\delta_{CP} = 1.49\pi$$

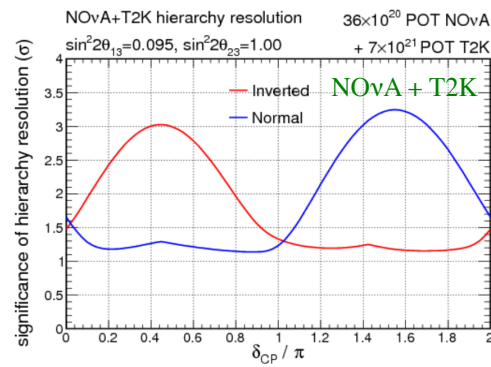
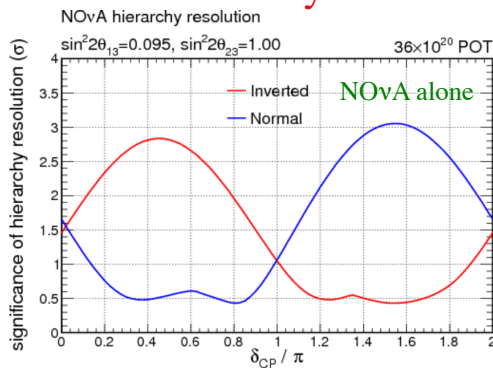
$$\sin^2(\theta_{23}) = 0.40$$
  - best fit IH-NH,  $\Delta\chi^2=0.47$
  - both octants and hierarchies allowed at  $1\sigma$
  - $3\sigma$  exclusion in IH, lower octant around  $\delta_{CP}=\pi/2$



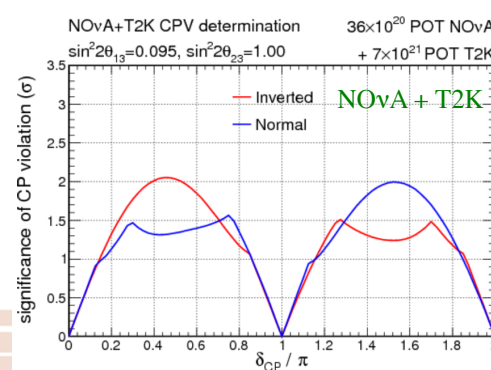
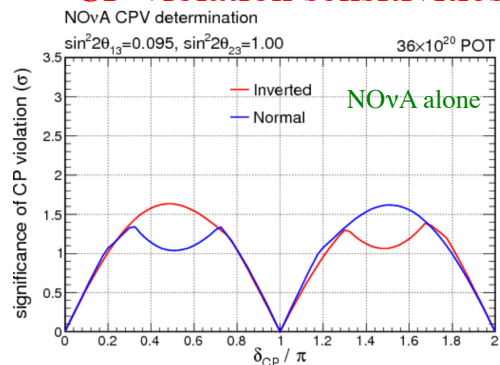
# What to Expect from current NOvA (and T2K) Experiments?

- Current results provide a hint of  $\delta_{CP} \sim 1.5\pi$  and Normal Hierarchy
  - But significance is low
  - Both experiments will continue to operate for another >5 years
- NOvA Sensitivities after 6 x more exposure (alone and with T2K)

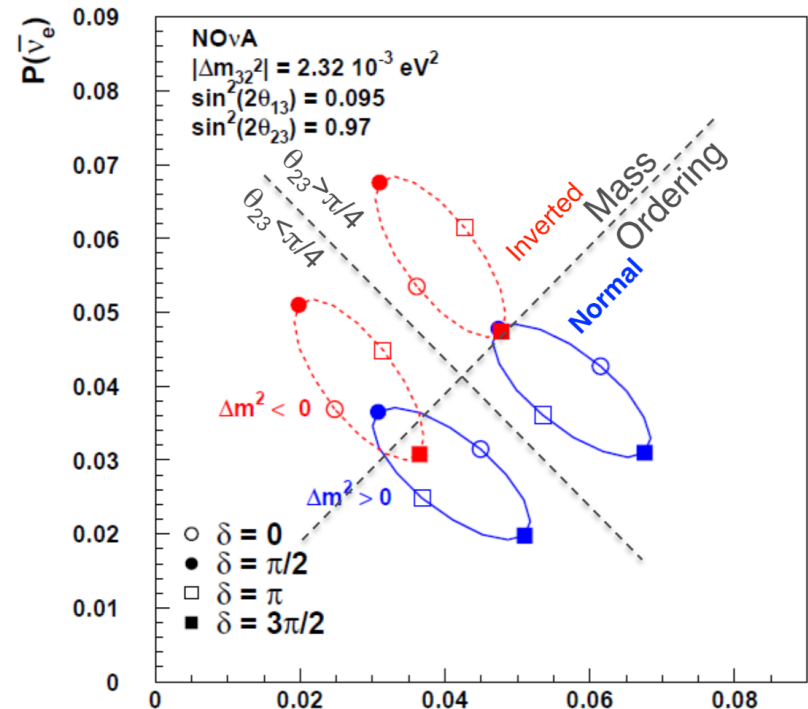
## Mass Hierarchy sensitivities



## CP-violation sensitivities



## $P(\bar{\nu}_e)$ vs. $P(\nu_e)$ for $\sin^2(2\theta_{23}) = 0.97$



-NOvA delivered new results with 6.05 x 10<sup>20</sup> POT exposure

- $\nu_\mu$  Disappearance result

Muon neutrinos disappear

Best fit is non-maximal: Maximal mixing excluded at 2.5 $\sigma$

- $\nu_e$  Appearance result

Electron neutrinos appear at > 8 $\sigma$

Data prefers NH at low significance

Region in IH, lower octant around  $\delta_{CP} = \pi/2$  is excluded

-NOvA prepares to take anti-neutrino data

Short anti-neutrino run taken in Summer 2016

Long anti-neutrino run anticipated to start in Spring 2017

# The NOvA Collaboration

Argonne, Atlantico, Banaras Hindu University, Caltech, Cochin, Institute of Physics and Computer science of the Czech Academy of Sciences, Charles University, Cincinnati, Colorado State, Czech Technical University, Delhi, JINR, Fermilab, Goiás, IIT Guwahati, Harvard, IIT Hyderabad, U. Hyderabad, Indiana, Iowa State, Jammu, Lebedev, Michigan State, Minnesota-Twin Cities, Minnesota-Duluth, INR Moscow, Panjab, South Carolina, SD School of Mines, SMU, Stanford, Sussex, Tennessee, Texas-Austin, Tufts, UCL, Virginia, Wichita State, William and Mary, Winona State

**234 Collaborators**  
**41 institutions**  
**7 countries**

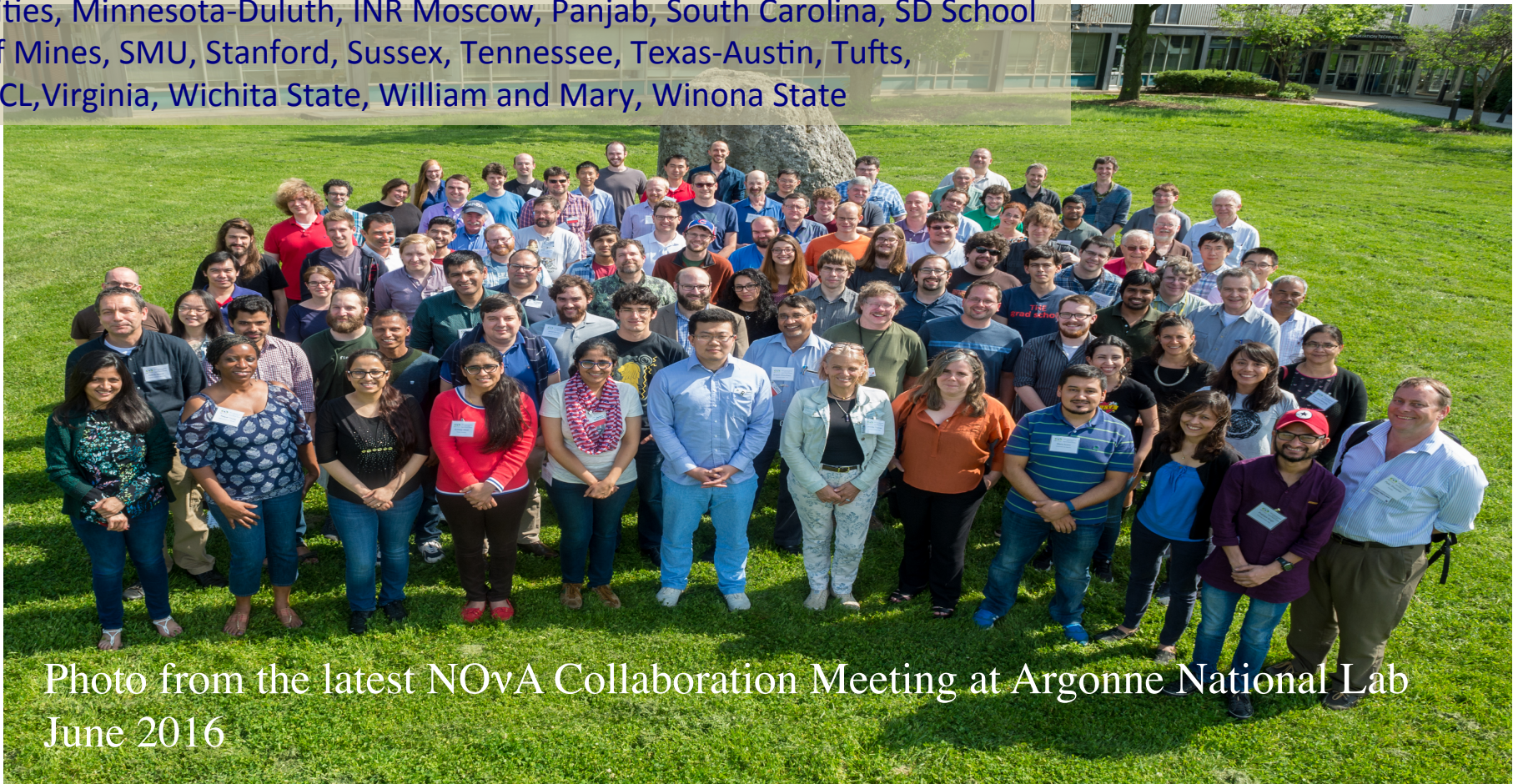
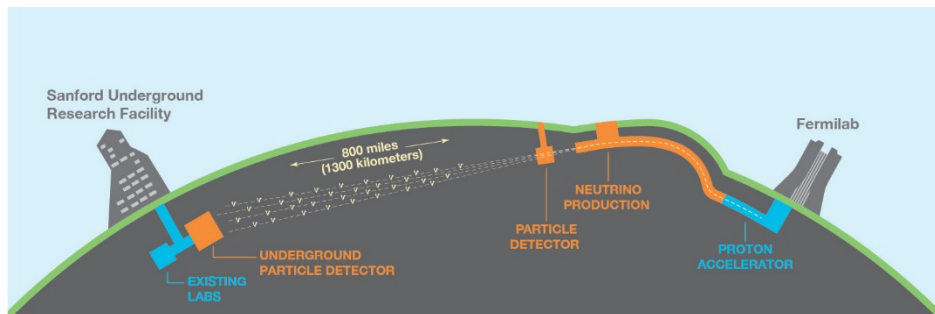


Photo from the latest NOvA Collaboration Meeting at Argonne National Lab  
June 2016

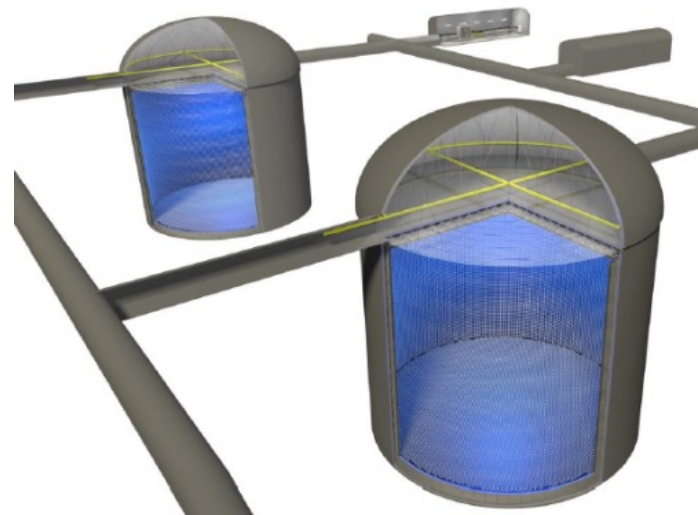
# Next Generation of long-baseline Accelerator Neutrino Experiments

- To get convincing results physicist plan to measure  $\delta_{CP}$  and definitely determine mass hierarchy in new generation of accelerator-based neutrino/antineutrino experiments.
  - DUNE (Deep Underground Neutrino Experiment) approved in US
  - Hyper-Kamiokande proposed in Japan.

**DUNE** DEEP UNDERGROUND  
NEUTRINO EXPERIMENT



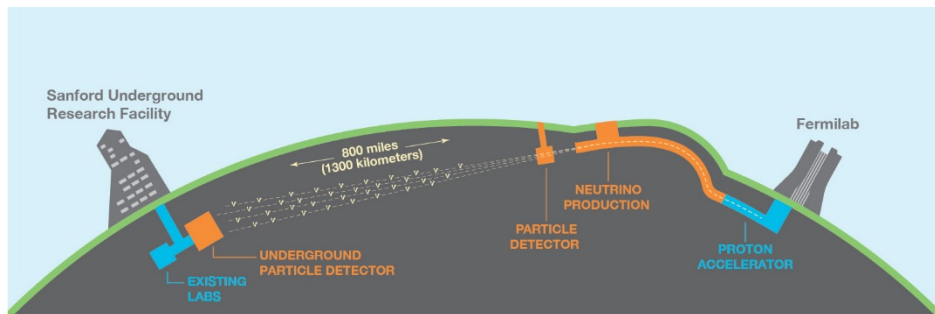
 **Hyper-Kamiokande**



# Next Generation of long-baseline Accelerator Neutrino Experiments

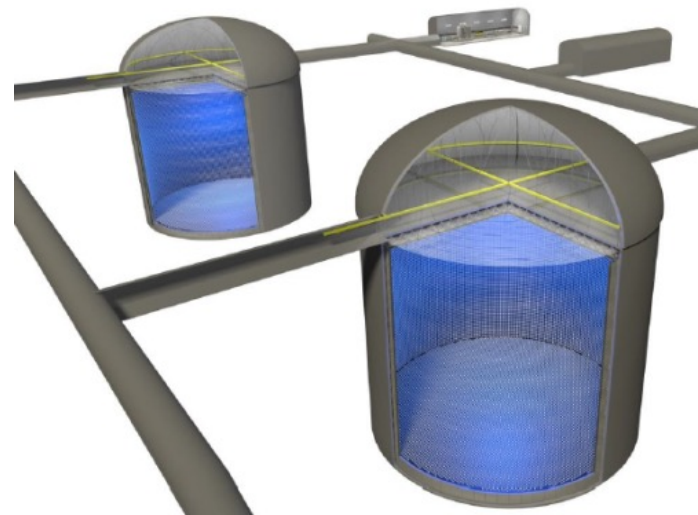
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**DUNE** DEEP UNDERGROUND  
NEUTRINO EXPERIMENT



Will describe DUNE  
✓ My experiment

 **Hyper-Kamiokande**



# Deep Underground Neutrino Experiment (DUNE)



## Major features of the DUNE experiment are:

- A high-intensity wide-band neutrino beam originating at FNAL
  - 1.2 MW proton beam upgradable to 2.4 MW
- A highly capable near detector to measure the neutrino flux
- A ~40 kt fiducial mass liquid argon far detector
  - Located 1300 km baseline at SURF's 1.5 km underground level (2300 mwe)
  - Staged construction of four ~10 kt detector modules. First module to be installed starting in 2021.

# The Goals of DUNE Experiment

- Primary focus of the DUNE science program is on fundamental open questions in particle physics and astro-particle physics:

## 1) Neutrino Oscillation Physics

- CPV in the leptonic sector

“Our best bet for explaining why there is matter in the universe”

- Mass Hierarchy

- Precision Oscillation Physics & testing the 3-flavor paradigm

## 2) Nucleon Decay

- Predicted in beyond the Standard Model theories [but not yet seen]

e.g. the SUSY-favored mode,  $p \rightarrow K^+ \bar{\nu}$

## 3) Supernova burst physics & astrophysics

- Galactic core collapse supernova, sensitivity to  $\nu_e$

Time information on neutron star or even black-hole formation

Any would be a major discovery

- DUNE Ancillary Science Program

- Other LBL oscillation physics with BSM sensitivity

- Oscillation physics with atmospheric neutrinos

- Neutrino Physics in the near detector

- Search for signatures of Dark Matter



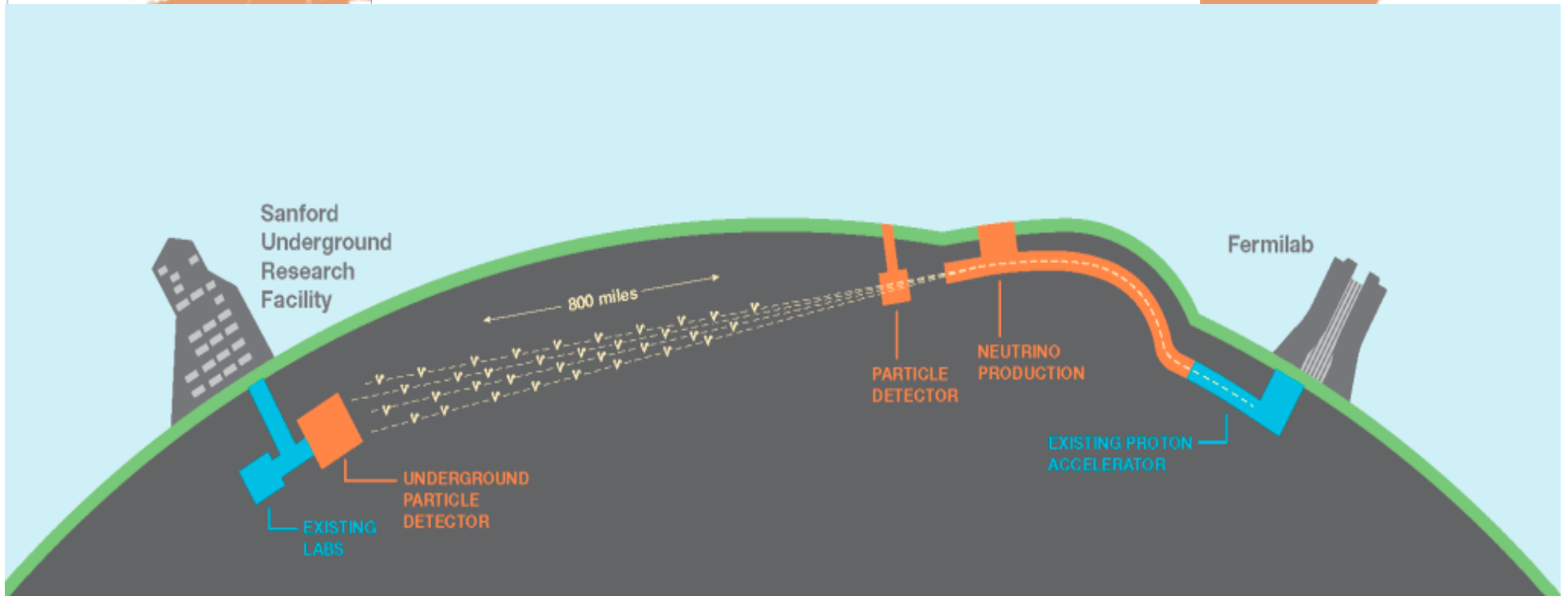
A world map with orange and grey regions. The orange regions include North America, South America, Europe, and parts of Asia and Africa. The grey regions include Russia, China, India, and parts of Africa and Asia.

# The DUNE Collaboration

From Sep/04/2016  
909 Collaborators  
154 Institutions  
29 Nations

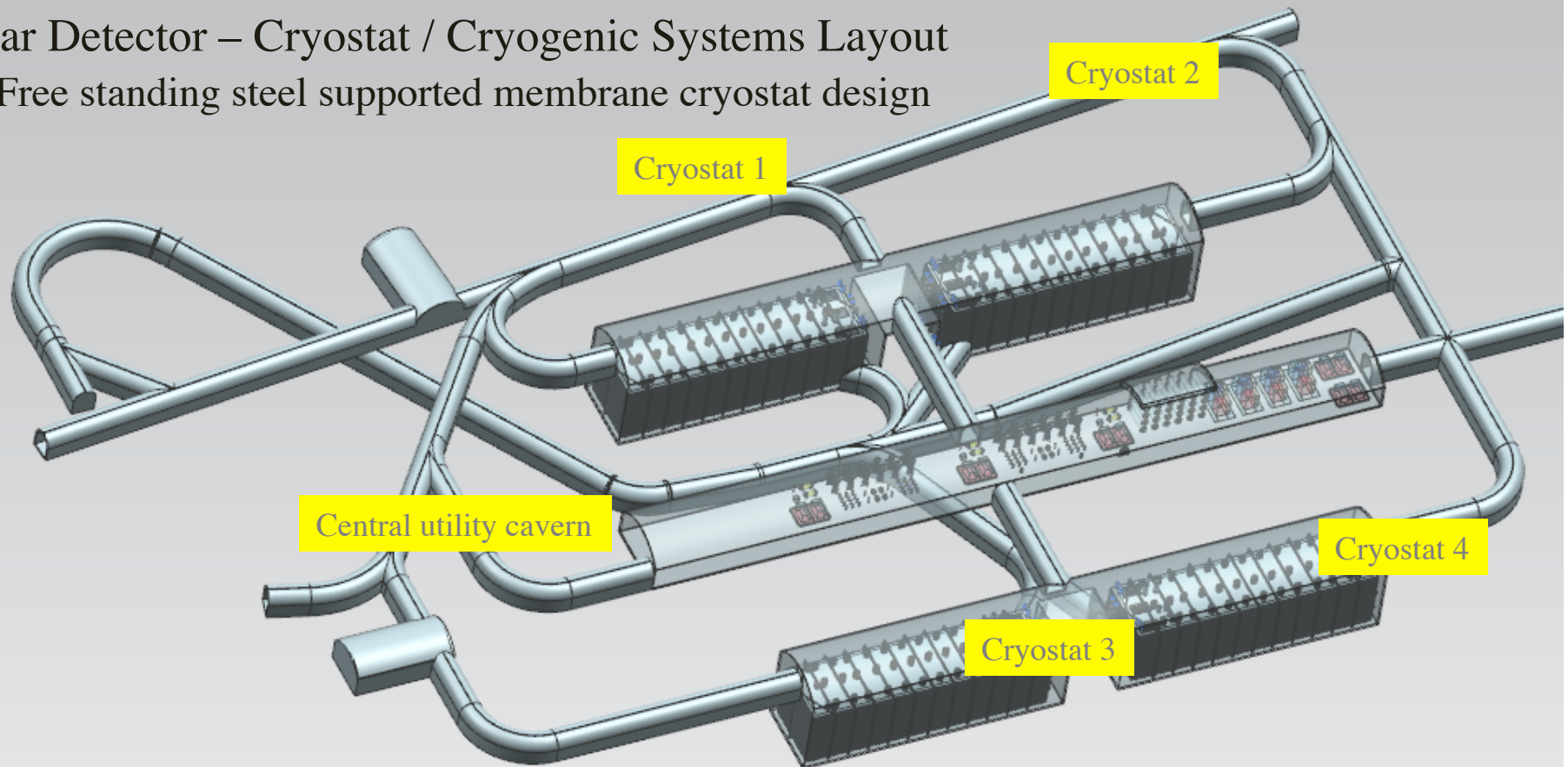


# The DUNE Collaboration



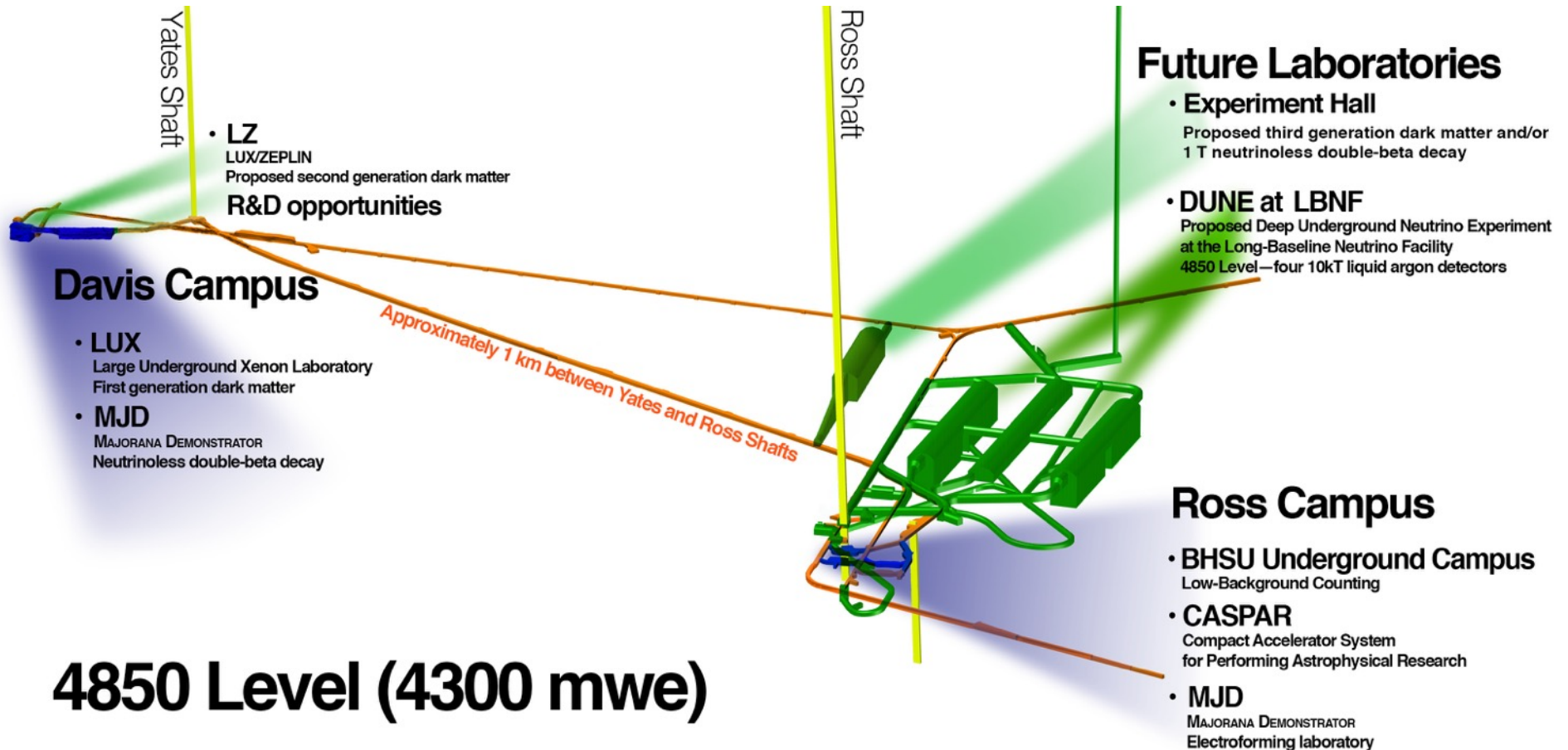
# DUNE Far Detector Staged Approach

- Four-Cavern Layout at the Sanford Underground Research Facility (SURF) at the 4850 foot Level (4300 m.w.e.)
  - Four independent 10-kt (fiducial mass) Far Detector liquid argon TPC modules
  - Allows for staged construction of the Far Detector
  - Gives flexibility for evolution of liquid argon (LAr) TPC technology design
- Far Detector – Cryostat / Cryogenic Systems Layout
  - Free standing steel supported membrane cryostat design



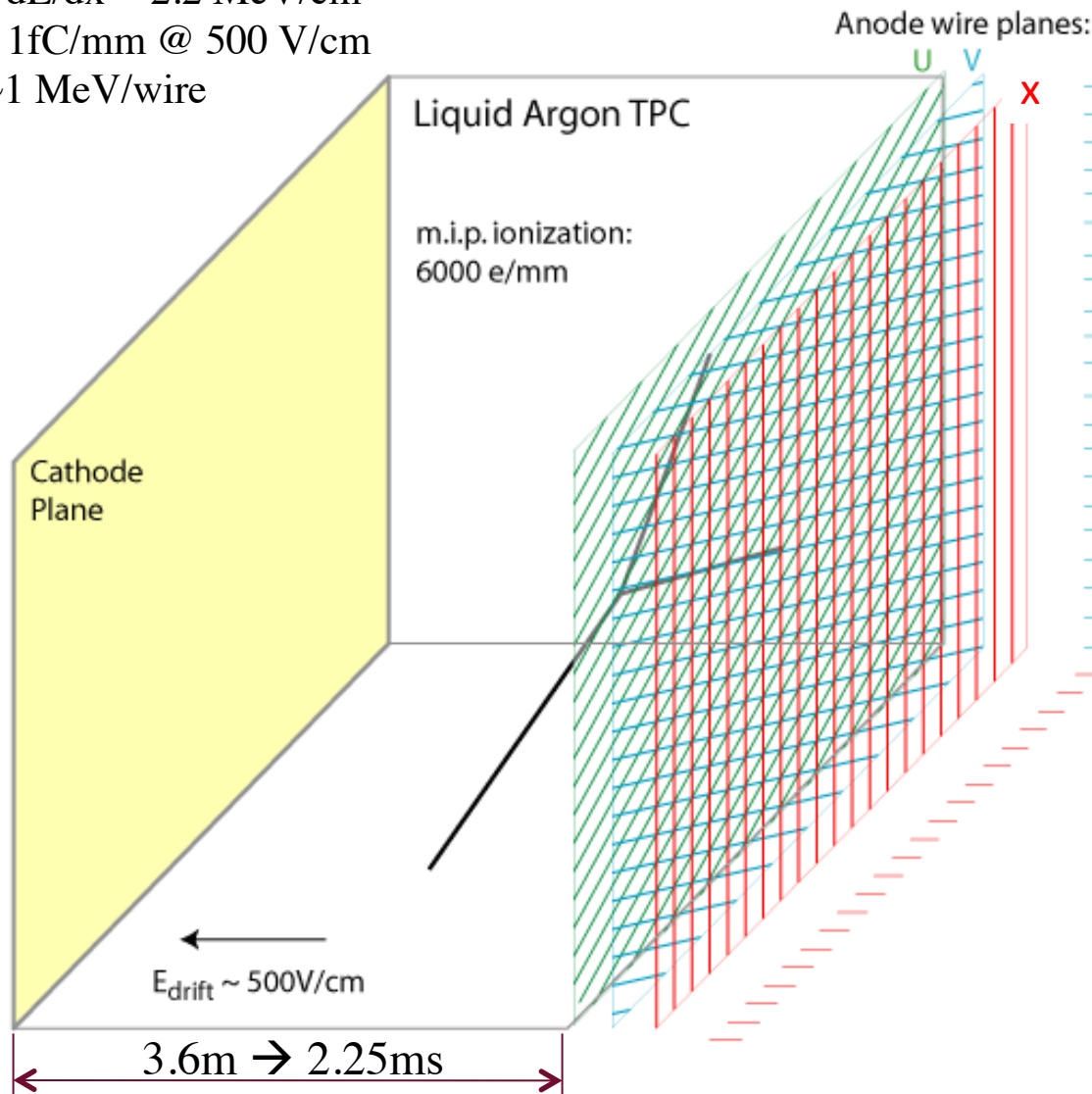
# Sanford Underground Research Facility, Lead, S. Dakota

- Site has long & storied history as home to neutrino experiments
- LBNF scope: 4 detector chambers, utility cavern, connecting drifts
- Extensive preparatory work for LBNF/DUNE already done
- DOE approval pending to begin excavation & surface building construction

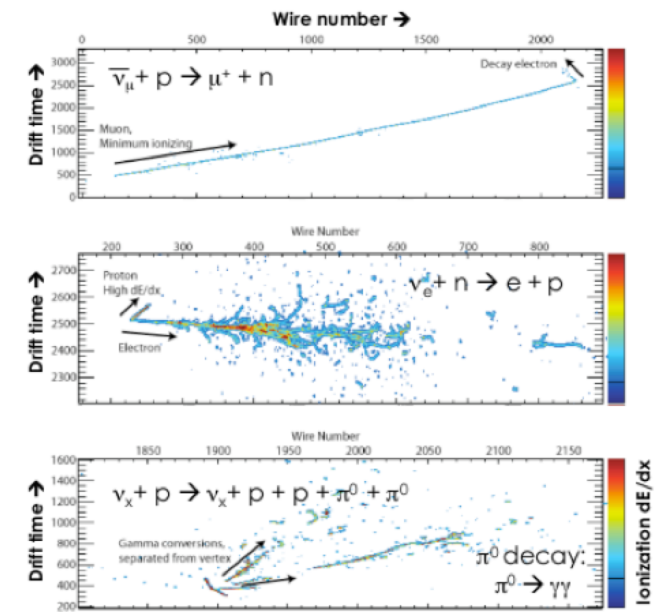


# Liquid Argon Time Projection Chamber (TPC) Operation

MIP  $dE/dx = 2.2 \text{ MeV/cm}$   
 $\rightarrow \sim 1 \text{ fC/mm @ } 500 \text{ V/cm}$   
 $\rightarrow \sim 1 \text{ MeV/wire}$

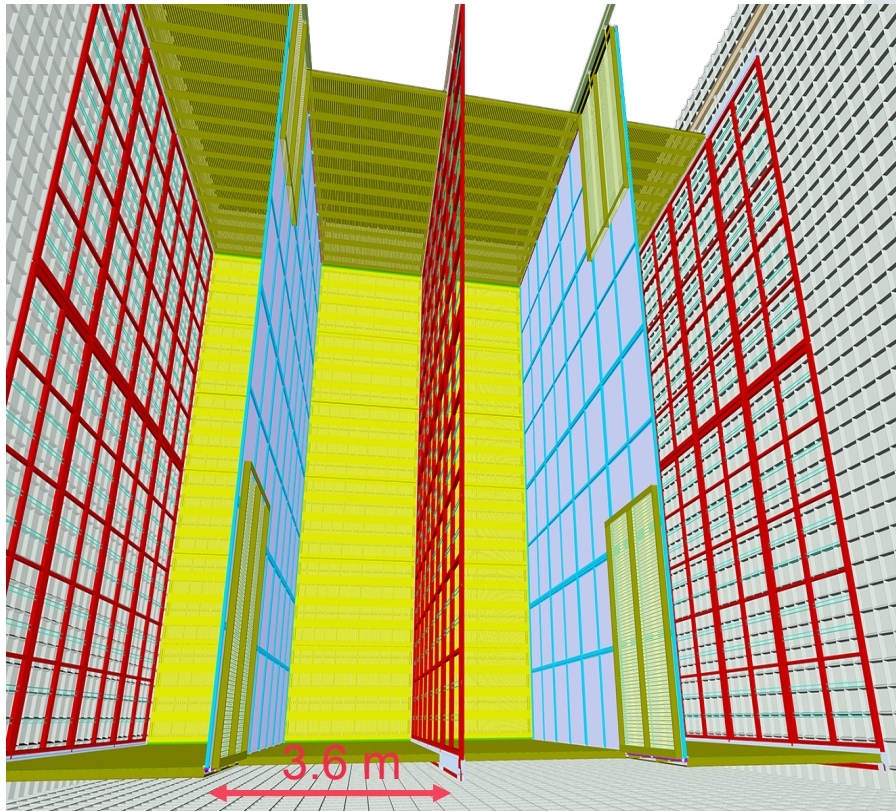
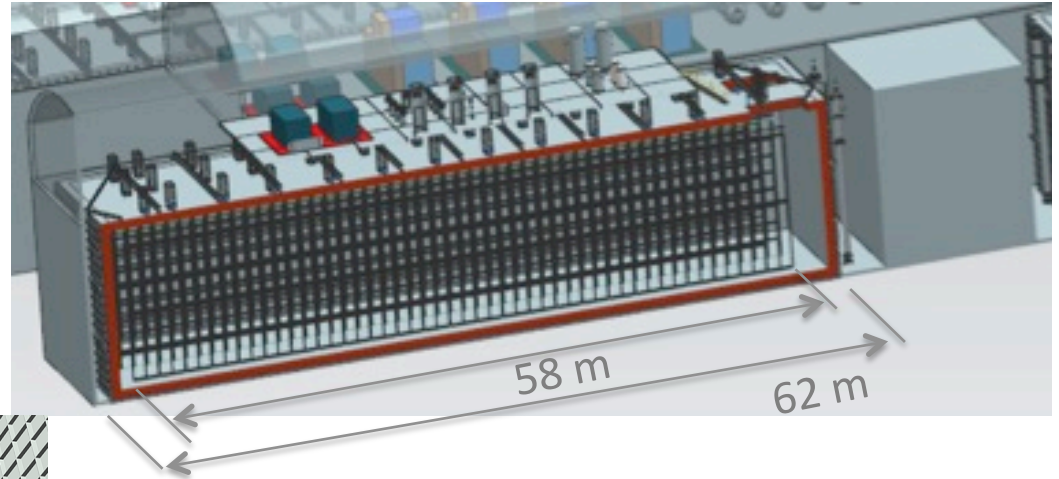


- Ionization charge drifts to finely segmented collection planes.
  - high resolution data
  - high event selection efficiency and efficient background rejection
- Scintillator light detected to determine interaction time.

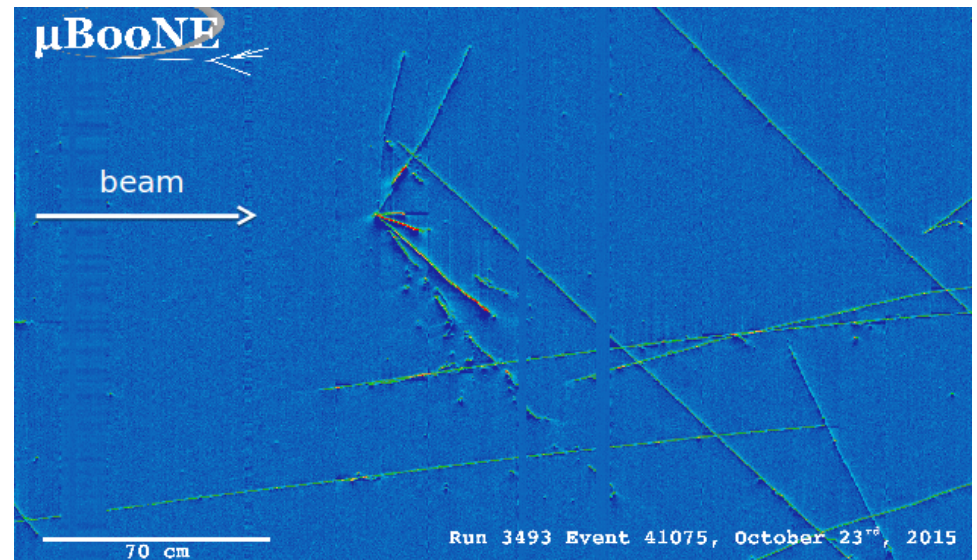


# Far Detector Reference Design: Single-phase LAr TPC

- Liquid Argon Time projection chamber with both charge and optical readout.
- First 10kt detector will be single phase

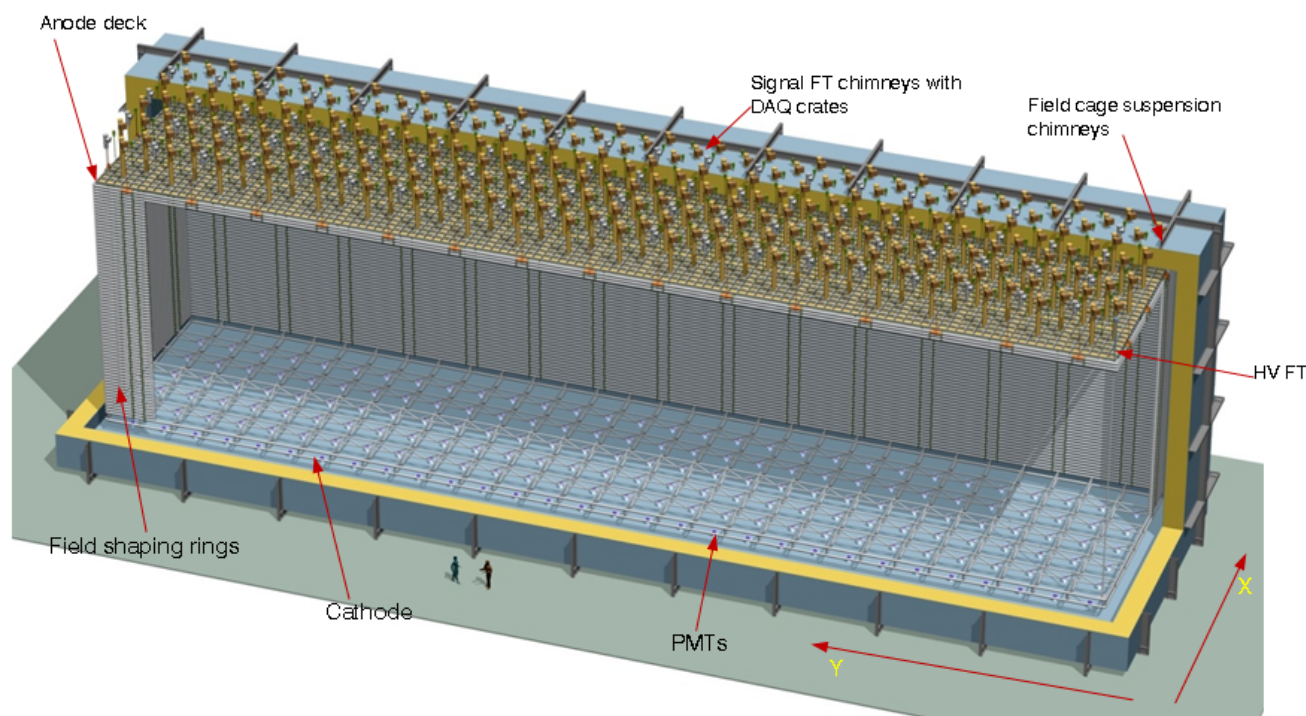


- MicroBooNE example: mm spatial resolution



# Alternative Far Detector Design: Dual-phase LAr TPC

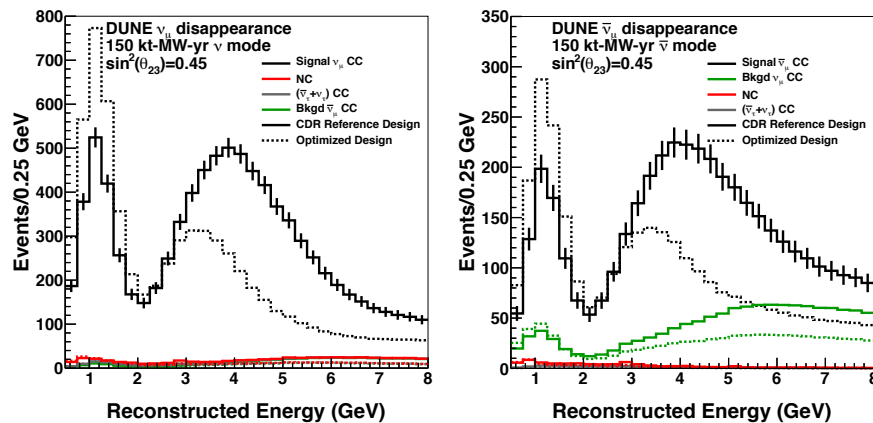
- DUNE collaboration recognizes the potential of the dual-phase technology
  - A dual-phase implementation of the DUNE far detector is presented as an alternative design in the CDR (Conceptual Design Report).
  - DUNE strongly supports the WA105 development program at the CERN neutrino platform
  - If demonstrated, could form basis of second or subsequent 10-kt far detector modules



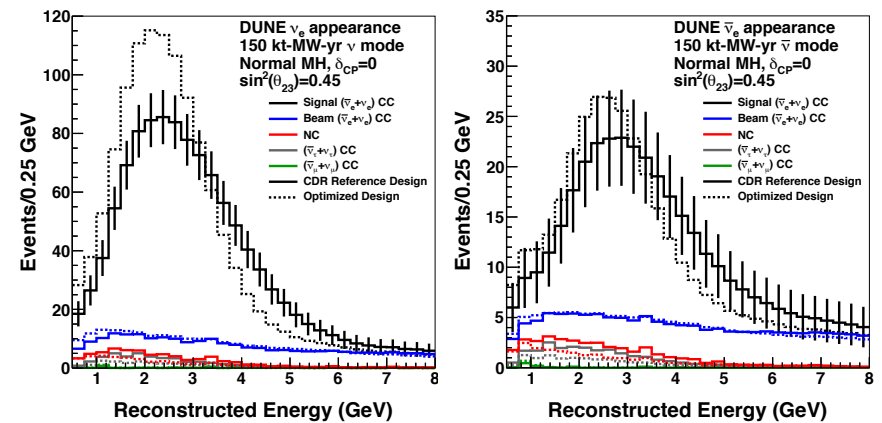
# Neutrino Oscillation Strategy

- Measure neutrino spectra at 1300 km in a wide-band beam
  - Determine MH and  $\theta_{23}$  octant, probe CPV, test 3-flavor paradigm and search for neutrino NSI in a single experiment
- Long baseline:
  - Matter effects are large  $\sim 40\%$
- Wide-band beam:
  - Measure  $\nu_e$  appearance and  $\bar{\nu}_\mu$  disappearance over range of energies
  - MH & CPV effects are separable

$\nu_\mu / \bar{\nu}_\mu$  disappearance

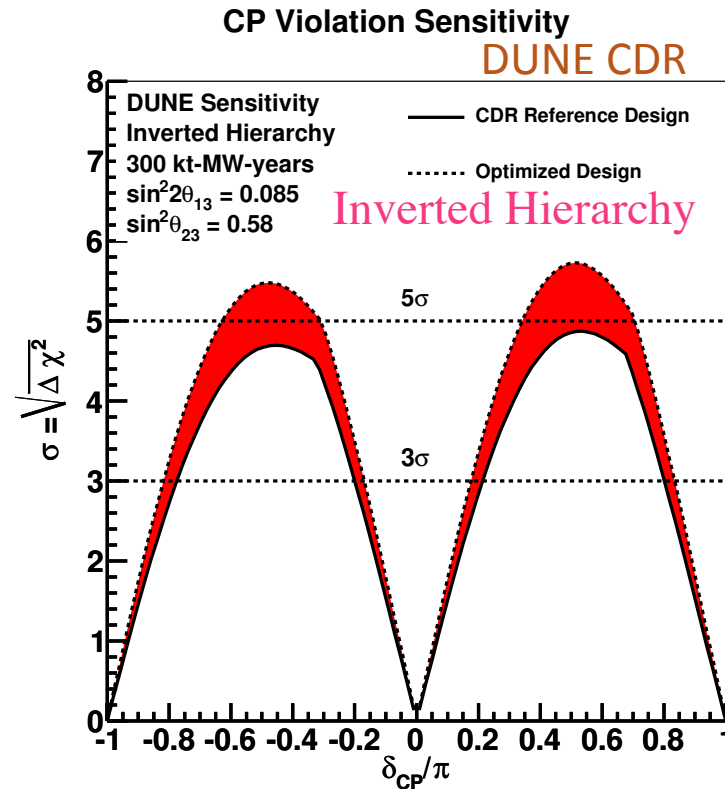
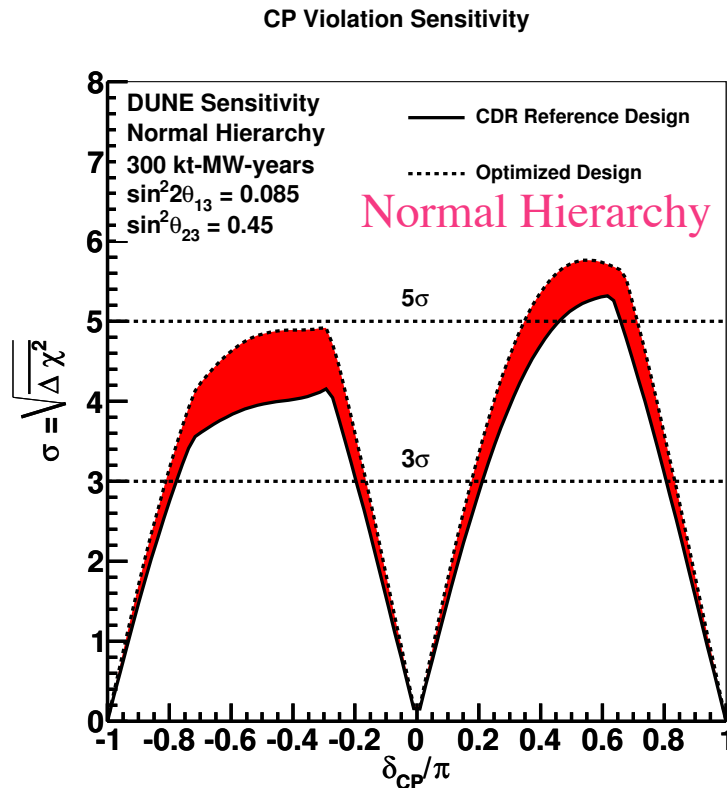


$\nu_e / \bar{\nu}_e$  appearance



# DUNE Sensitivity to CP Violation

- Sensitivity to CP Violation, after 300 kt-MW-yrs (3.5 + 3.5 yrs x 40kt @ 1.07 MW)

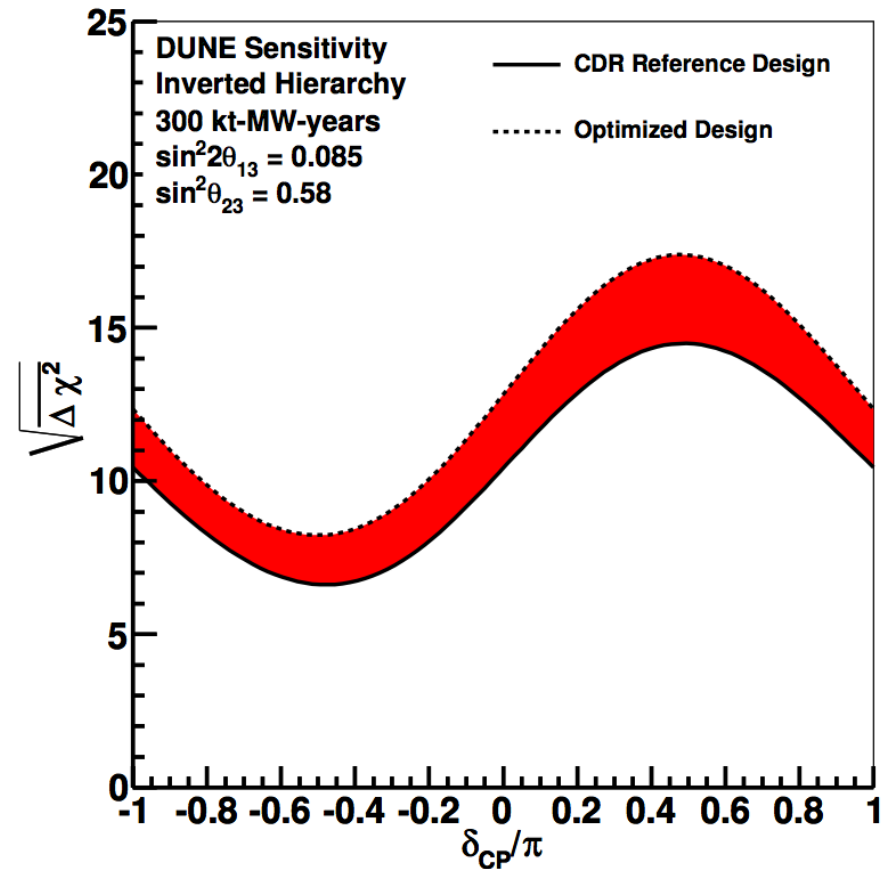
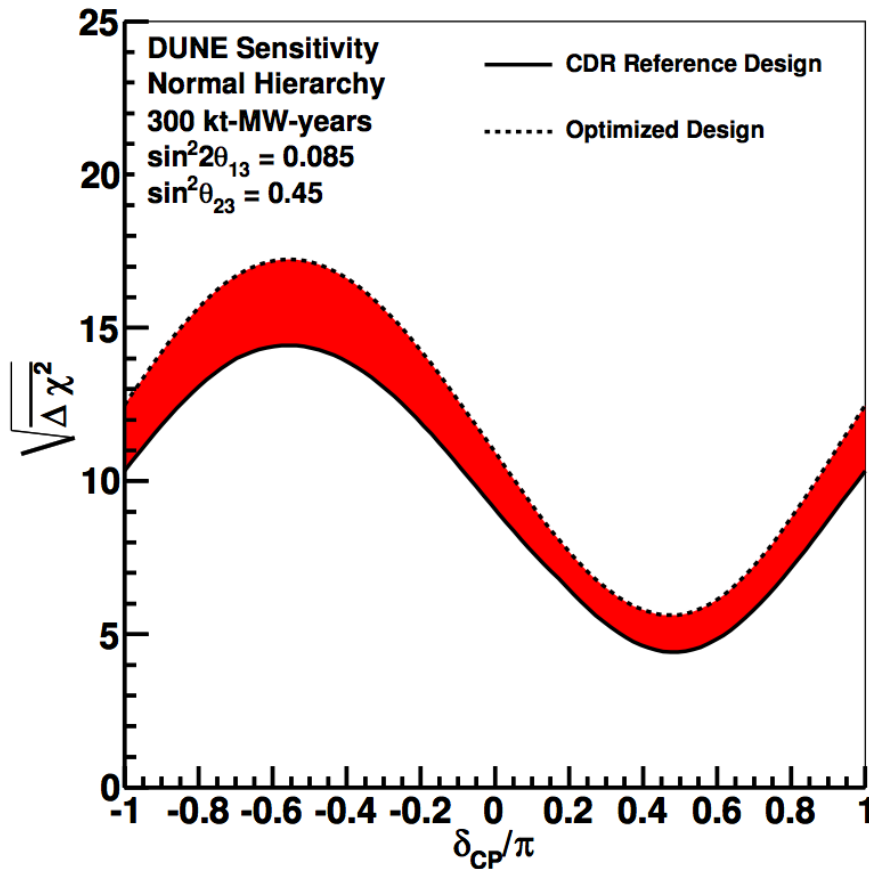


(Bands represent range of beam configurations)

- Experimental configuration (geometry, flux, detector response) used for sensitivity calculations shown here is published in **arXiv:1606.09550**

# DUNE Mass Hierarchy Sensitivity

- Significance with which the mass hierarchy can be determined as a function of the value of  $\delta_{CP}$  for an exposure of 300 kt · MW (3.5 + 3.5 yrs x 40kt @ 1.07 MW)

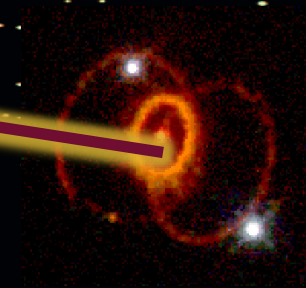


# Neutrinos from Supernovae

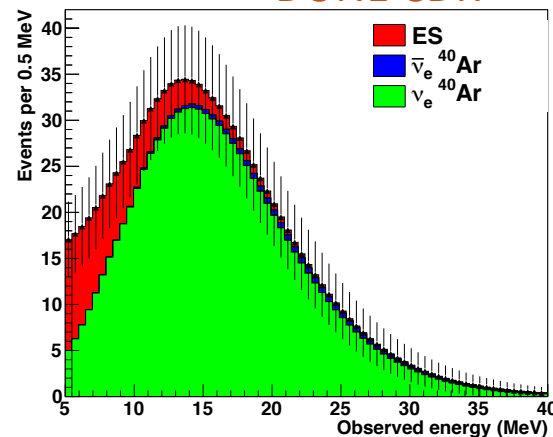
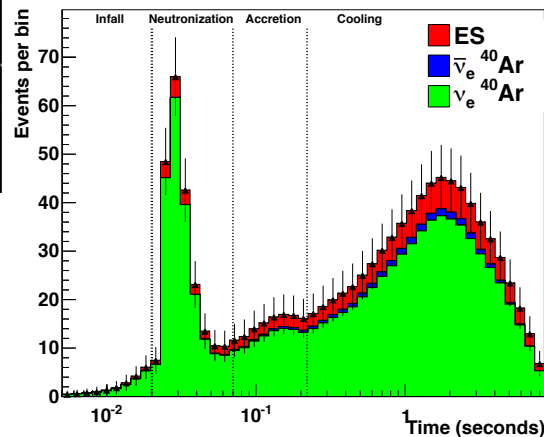
- About 99% of the gravitational binding energy of the proto-neutron star goes into neutrinos.
- Expect 2-3 core-collapse supernovae in the Milky Way per century  $\approx 3000$  neutrinos in 34kt LBNE for SN@10 kpc
- Unique sensitivity through  $\nu_e + {}^{40}\text{Ar} \rightarrow e^- + {}^{40}\text{K}^*$



3000000000000000000 km



DUNE CDR



-Note distinct features in time (left plot) and energy (right plot) spectra

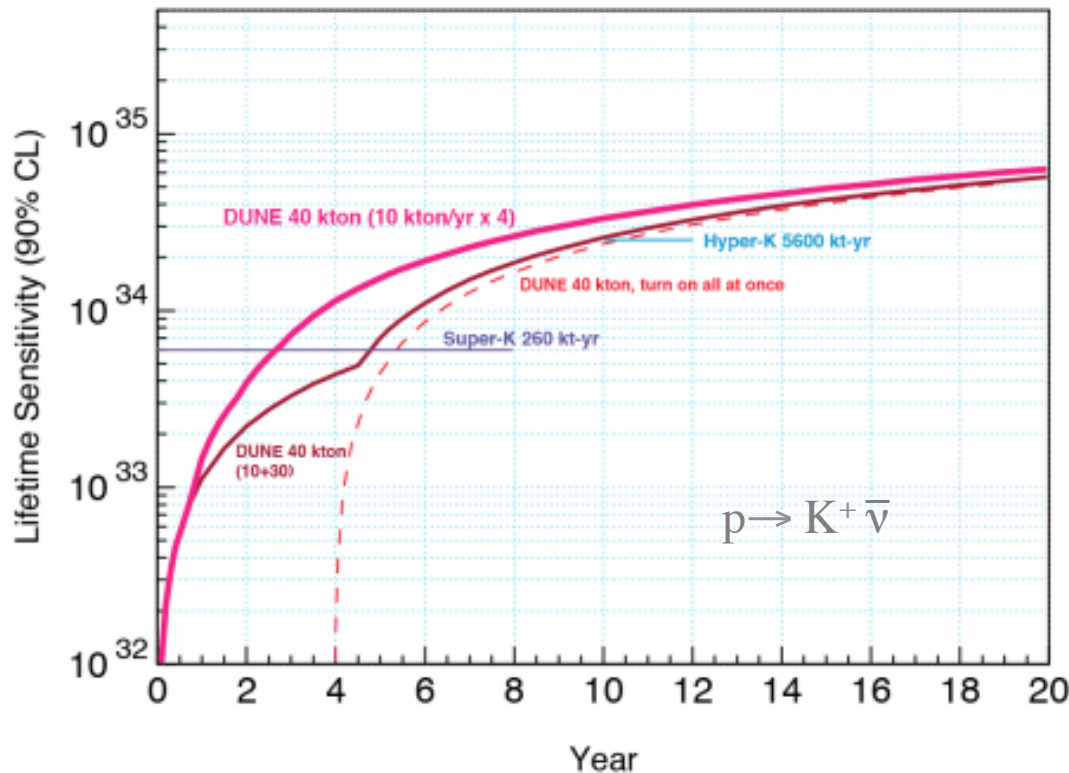
-A large theory effort is underway to understand neutrino related dynamics of the supernova. Both oscillations, mass, and self-interactions have large effects on observables e.g. mass hierarchy could have very distinct effects on the spectrum.

# Nucleon Decay

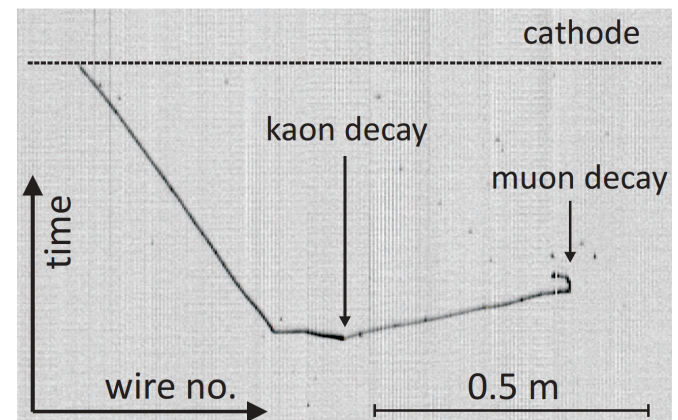
- Imaging, dE/dx, calorimetric capabilities of LArTPC enable sensitive, background-free searches
- Many modes accessible, superior detection efficiency for K production modes:

SUSY-favored  $p \rightarrow K^+ \bar{\nu}$

Decay Mode	Water Cherenkov		Liquid Argon TPC	
	Efficiency	Background	Efficiency	Background
$p \rightarrow K^+ \bar{\nu}$	19%	4	97%	1
$p \rightarrow K^0 \mu^+$	10%	8	47%	< 2
$p \rightarrow K^+ \mu^- \pi^+$			97%	1
$n \rightarrow K^+ e^-$	10%	3	96%	< 2
$n \rightarrow e^+ \pi^-$	19%	2	44%	0.8



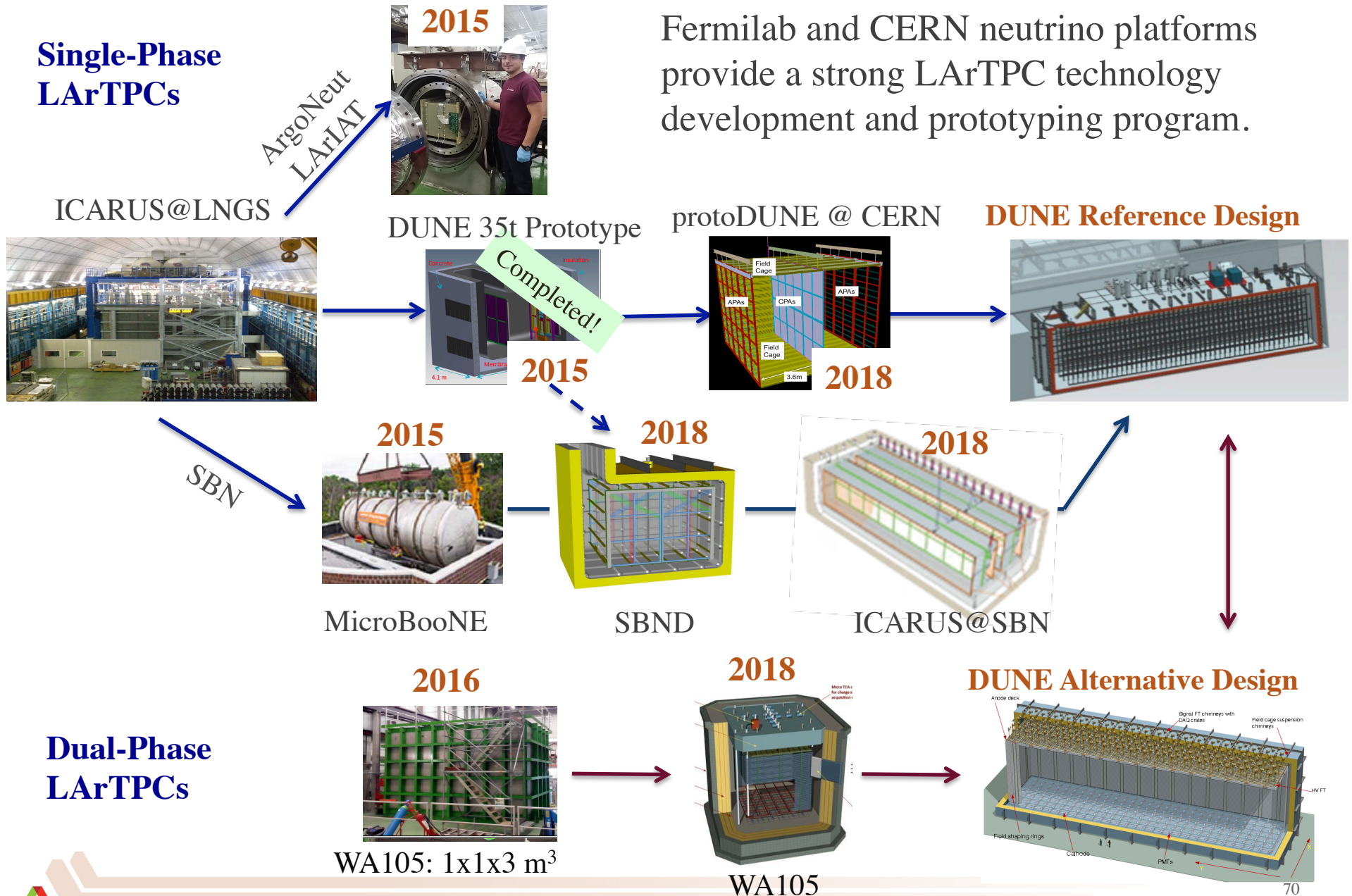
Kaon observed entering ICARUS TPC in CNGS run



# LArTPC Development Path to LBNF/DUNE

## Single-Phase LArTPCs

Fermilab and CERN neutrino platforms provide a strong LArTPC technology development and prototyping program.



# DUNE/LBNF Timeline

- July 2015 “CD-1 Refresh” review. Conceptual design review.
- **Dec. 2015** CD-3a CF Far Site. Needed to authorize far site conventional facilities work including underground excavation and outfitting.
- 2017 Ongoing shaft renovation at SURF complete.
- **2017 Start of far site conventional facilities.**
- 2018 Testing of “full-scale” far detector elements at CERN.
- 2019 Technical Design review.
- 2021 Ready for start of installation of the first far detector module.
- **2024 start of physics** with one detector module.

Additional far detector modules every ~2 years.

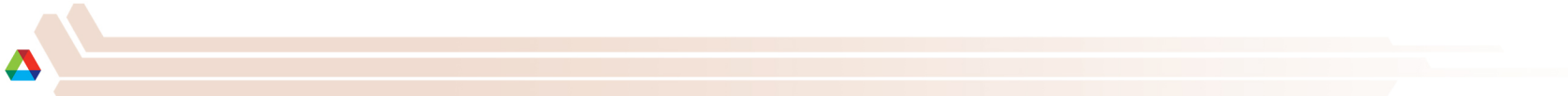
- 2026 Beam available.
- 2026 Near detector available.
- 2028 DUNE construction finished.
- Reach an exposure of **120 kt-MW-yr by 2035.**

Many opportunities for early discoveries!



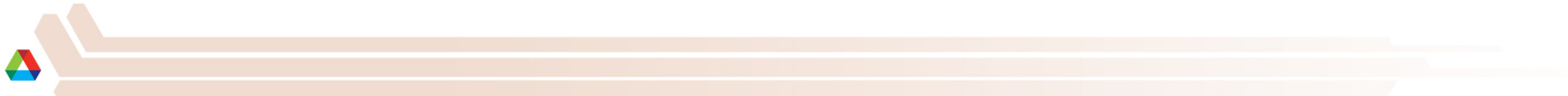
## More About CP-violation

- Why is the Universe as we know it made of matter, with no antimatter present?
- What is the origin of this matter-antimatter asymmetry?
- Are neutrinos connected to the matter-antimatter asymmetry, and if so, how?
- If neutrinos exhibit CP violation, is it related to the CP violation observed in the quark interactions?
  - Already observed CP violation in the quark sector is not enough to explain the matter-antimatter asymmetry.
  - CP violation in the lepton sector could be enough to explain matter-antimatter-asymmetry if  $|\sin\theta_{13}\sin\delta_{CP}| \gtrsim 0.11$  (hep-ph/0611338)  $\Rightarrow |\sin\delta_{CP}| \gtrsim 0.7$  ( $45^\circ \lesssim \delta_{CP} \lesssim 135^\circ$  or  $225^\circ \lesssim \delta_{CP} \lesssim 315^\circ$ ).
- Are neutrinos their own antiparticles (do we need Majorana phases)?
- What role did neutrinos play in the evolution of the universe?



# Summary

- A few years ago the  $\theta_{13}$  was the last unmeasured neutrino mixing angle.
  - Then about three ago it become the most precise measured mixing angle.
  - All experiments, both reactor and accelerator, show a very consistent results.
  - The value of  $\theta_{13}$  is not zero!  $\theta_{13} \approx 9^\circ$ , or  $\sin^2 2\theta_{13} \approx 0.095$ .
- This successful determination of  $\theta_{13}$  positioned us to start with measurement of CP-violation.
- There is a fundamental and practical motivation for the determination of mass-hierarchy.
- This is exciting time: stay tuned for new developments in neutrino sector.



# My List of Important Neutrino Questions

- 1) Precision measurements of oscillation parameters
- 2) Do neutrinos violate CP symmetry and if so by how much?
- 3) What is the hierarchy of neutrino masses?
- 4) Is there a sterile neutrino?
- 5) What are the absolute values of neutrino masses?
- 6) Is neutrino its own anti-particle?
- 7) Can we detect Big-Bang relic neutrinos?
- 8) Is neutrino dark-matter?





## Backup Slides



## Notes

- Read Milind nu paper
- Read CERN Courier "The Neutrino Turns 60" articles
- Finish NOvA "New Trends in HEP Talk"
- Should I talk about "Oscillation and other experiments?"  
(Reactor, NOvA (T2K), DUNE, ... DBD status(?))

- Prvo napisi srz evega a jo veeza iz,edju "neutrino field and mass states"  
Tj stanje slabe interackcije I masenih stanja
- Onda pokaz Nilnky's tip slide gde se simita experimentalna evidencije I  
spominje Nobelova Nagrada

$$P() = \text{suma} ( ) * e^{-iEt} * U_{ij}$$

On charged lepton flavor violation size:

Id lambda is small yhan mu2e can see something but Bilenky personally  
Does not believe lambda is so small



-intro: describe nus in SM

List nu properties:

Say what we have learned

-nu oscillation = mixing

-say we discovered solar + atm, and recently measured  $\theta_{13}$  (DB, DC, RENO)

-npw nova: results

-next: DUNE

-beyond it: NLDBD

-other open questions?



## $\bar{\nu}$ Detection Technique

- The reaction process is inverse  $\beta^-$  decay followed by neutron capture
  - Two part coincidence signal is crucial for background reduction.



$\hookrightarrow n$  capture

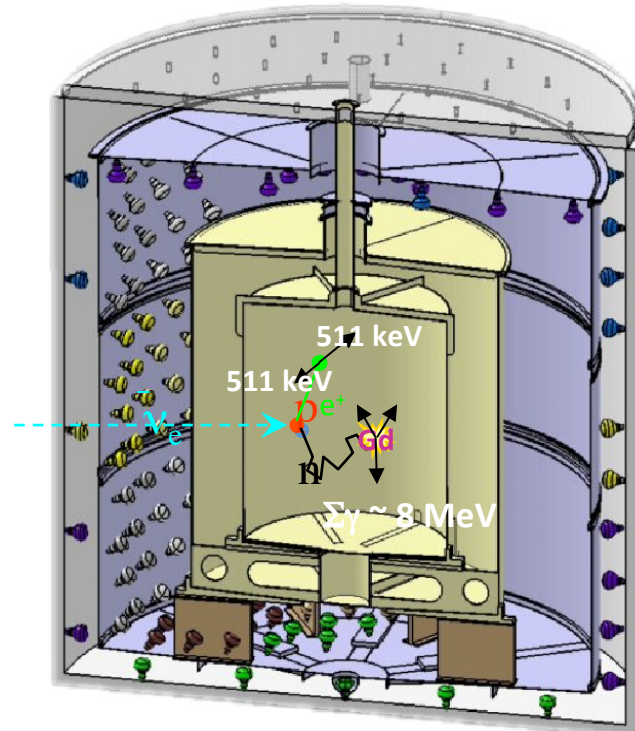
- Positron energy spectrum implies the neutrino spectrum ( $e^+e^- \rightarrow \gamma\gamma$ )

$$E_\nu = E_{vis} + 1.8 \text{ MeV} - 2m_e$$

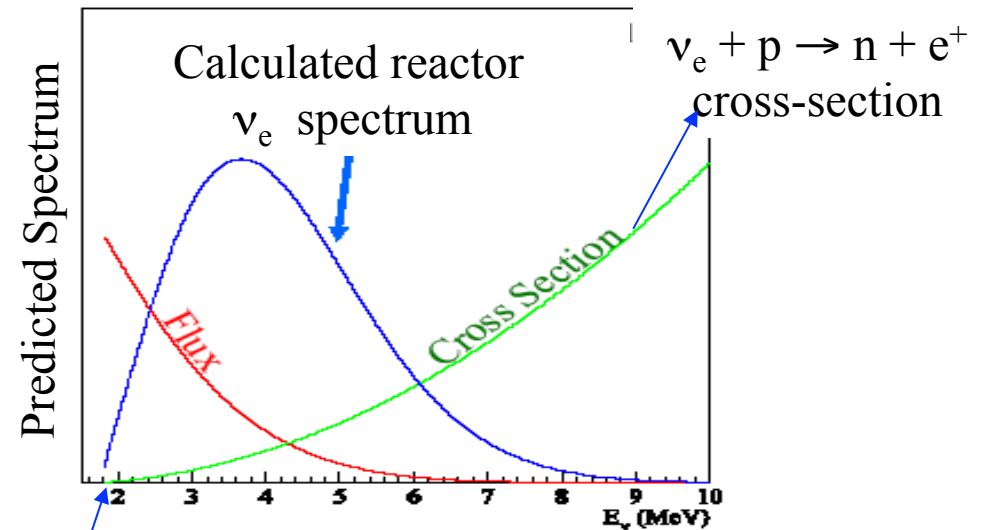
- The scintillator may be doped with gadolinium to enhance capture



- Cross accurate to 0.2%



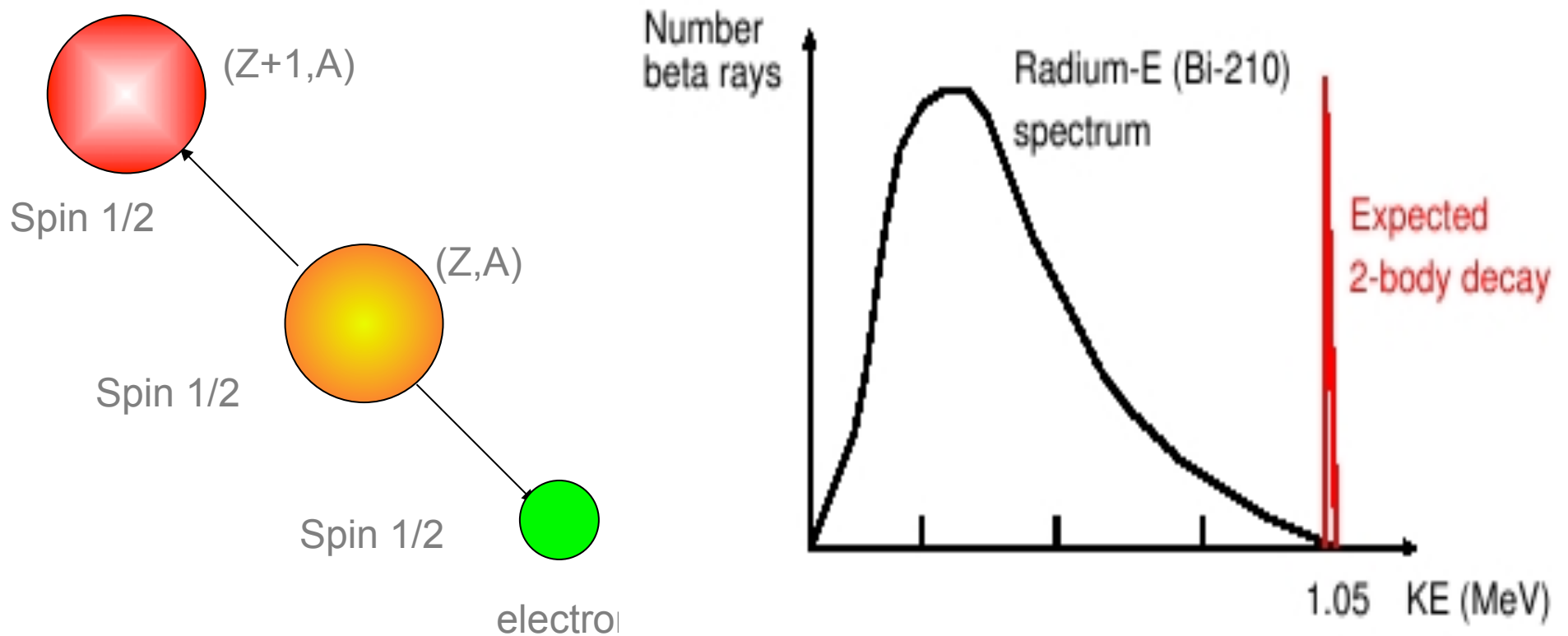
Signal = Positron signal + Neutron Signal (within a few capture times)



Neutrinos with  $E < 1.8 \text{ MeV}$  are not detected.

# Crisis

It is 1914 – the new study of atomic physics is in trouble



$\text{Spin } \frac{1}{2} \neq \text{spin } \frac{1}{2} + \text{spin } \frac{1}{2}$

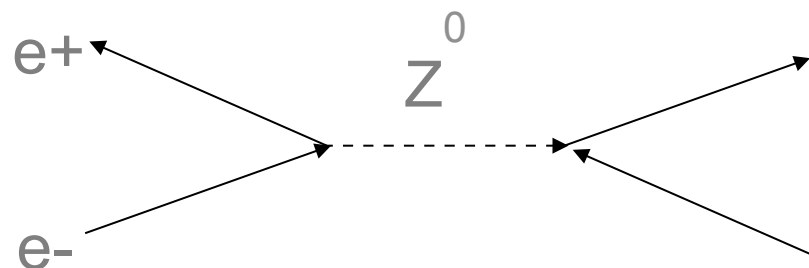
$E \neq E + e$

79

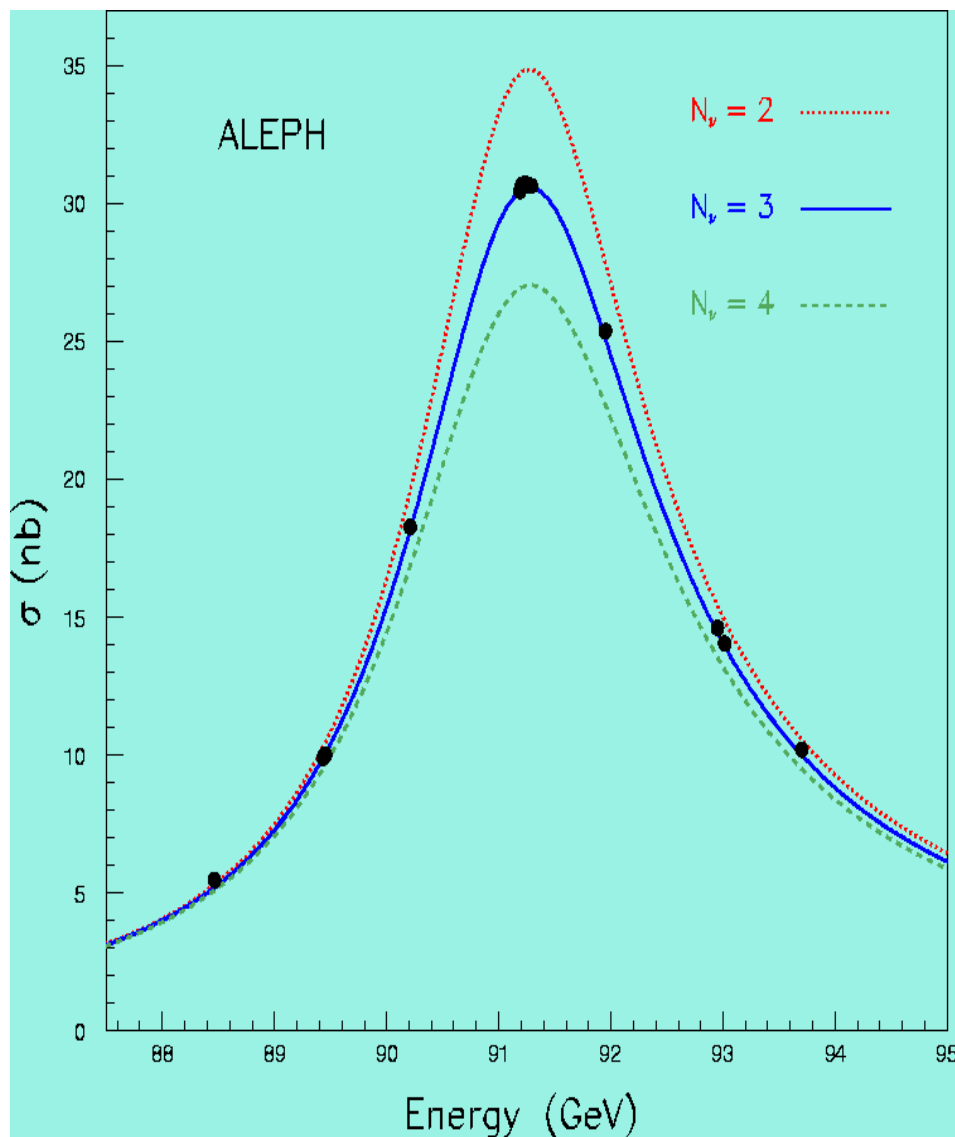
Ra

Bi

# Number of Light Neutrinos



Discovery of  $Z^0$  allowed a measurement of the number of light neutrinos since the  $Z^0$  can decay to a neutrino and antineutrino



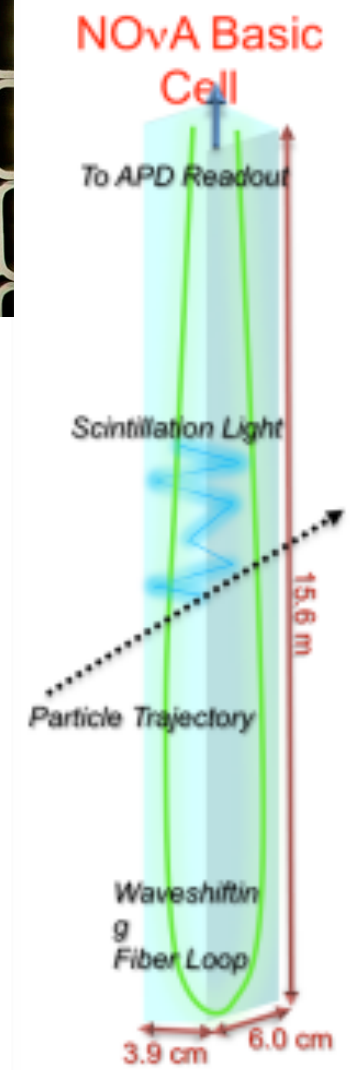
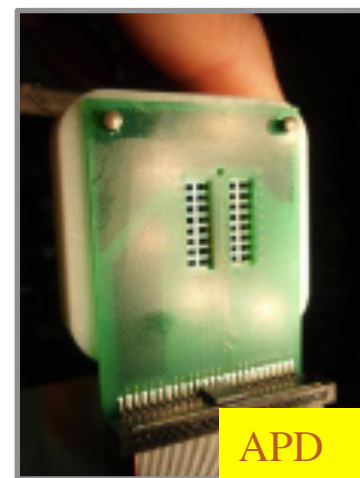
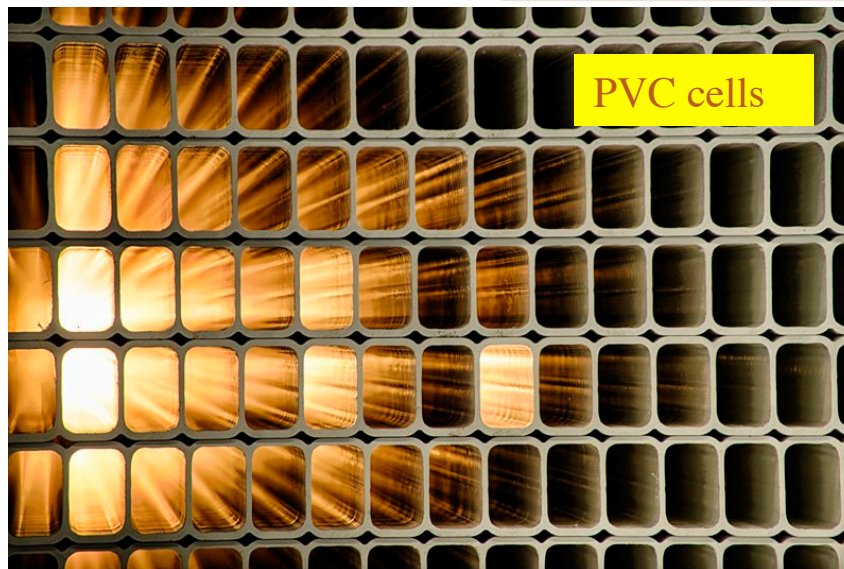
*“The Big Bang Theory” paid attention to it ...*



July 12th, 2016

# NOvA Detectors

- PVC + Liquid Scintillator
  - Mineral Oil
  - 5% pseudocumene
- Read out via WLS fiber to APD
- Layered planes of orthogonal views
  - muon crossing far end  $\sim 40$  PE
  - $0.17 X_0$  per layer
- DAQ runs with zero dead-time
  - triggers for beam, SNEWS, cosmic ray calibration samples, exotic searches
  - 150kHz of cosmic induced events



# $\nu_e$ Appearance Search

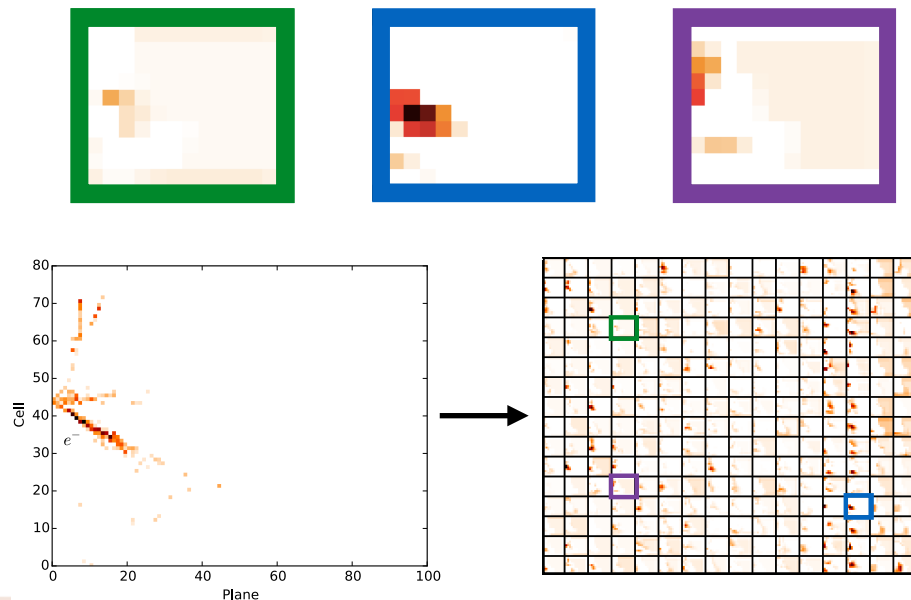
- Identify contained  $\nu_e$  CC events in both Near and Far Detector
- Use Near Detector Data/MC to predict beam backgrounds in the Far Detector
- Extract oscillation information from Far Detector excess over predicted backgrounds

1<sup>st</sup> Analysis Published in PRL 116 (2016) no.15, 151806

## Improved Event Selection

- A new particle ID techniques used to identify  $\nu_e$  candidates: A convolutional neural network neutrino event classifier (CVN)
  - event selection technique based on ideas from computer vision and deep learning

- Calibrated hit maps are inputs to Convolutional Visual Network (CVN)
- Series of image processing transformations applied to extract abstract features
- Extracted features used as inputs to a conventional neural network to classify the event



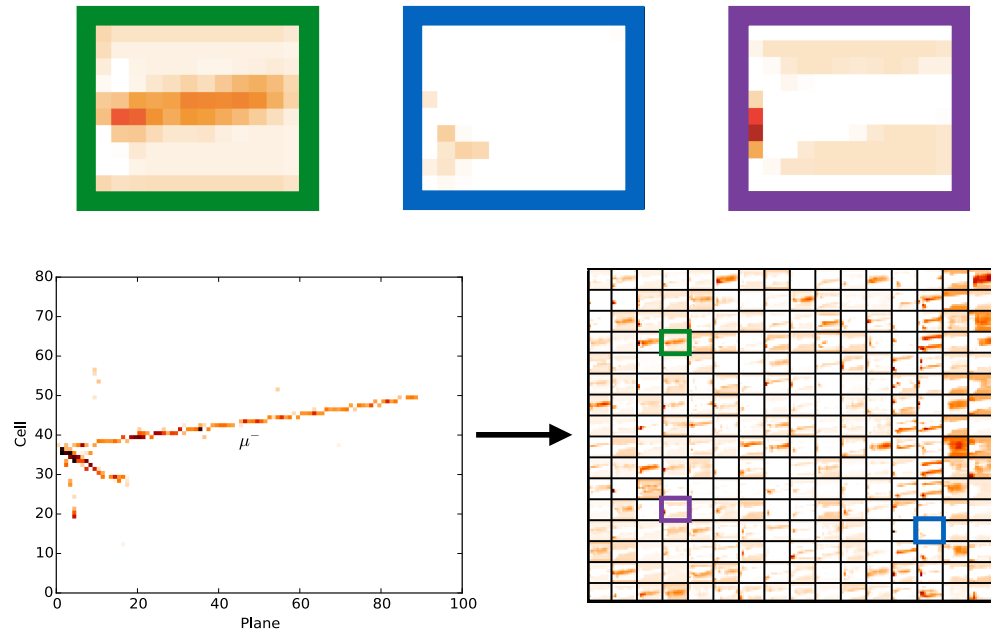
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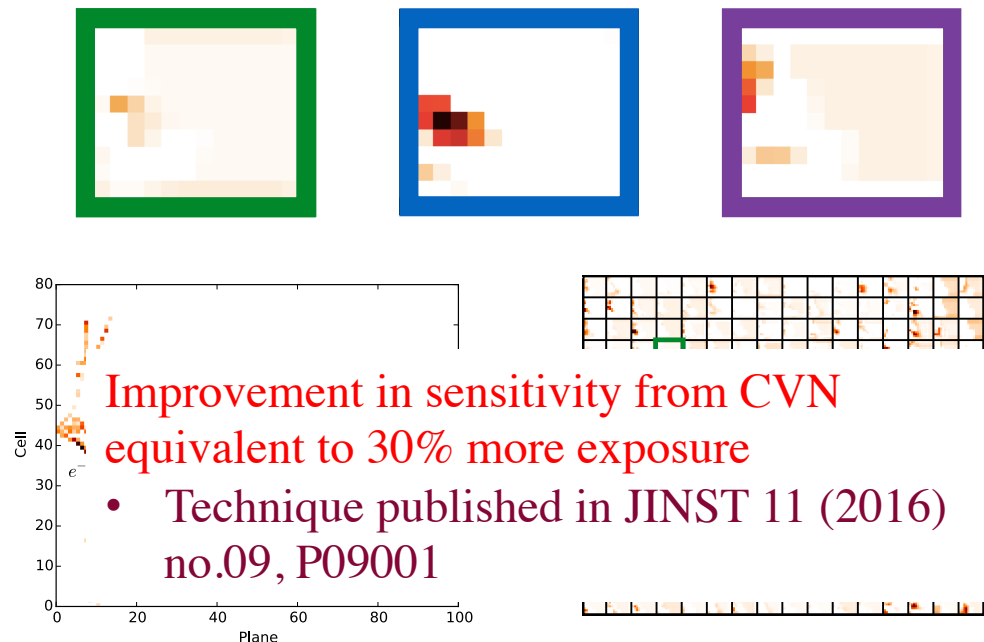
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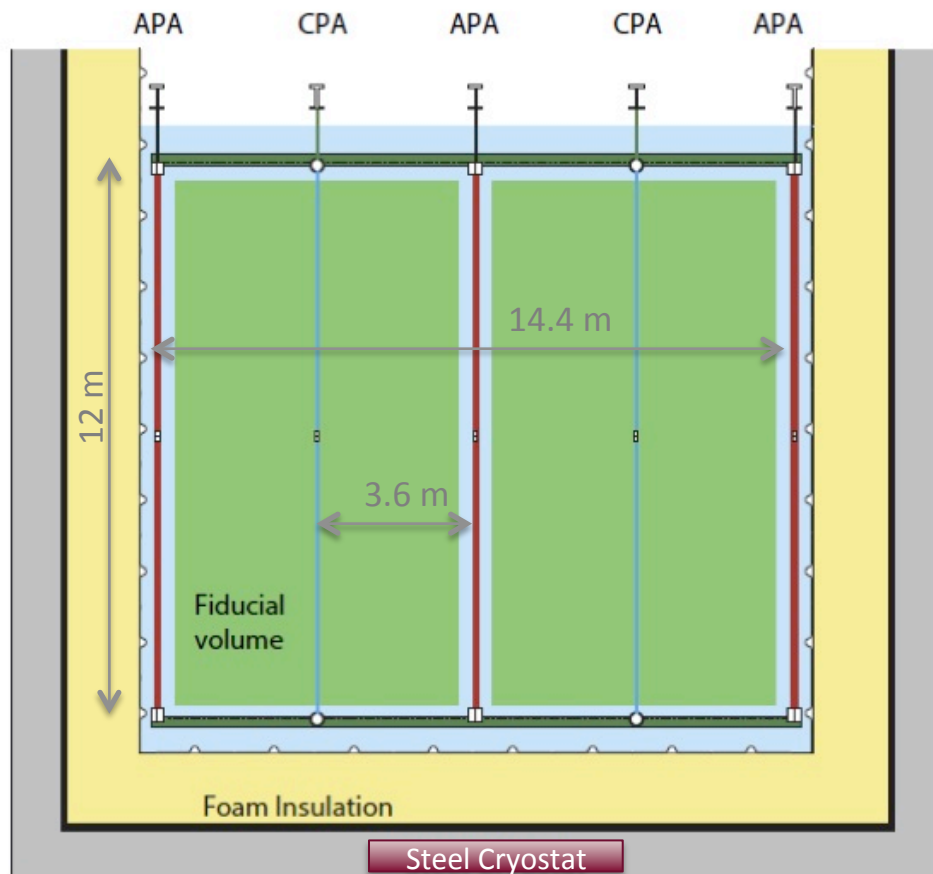
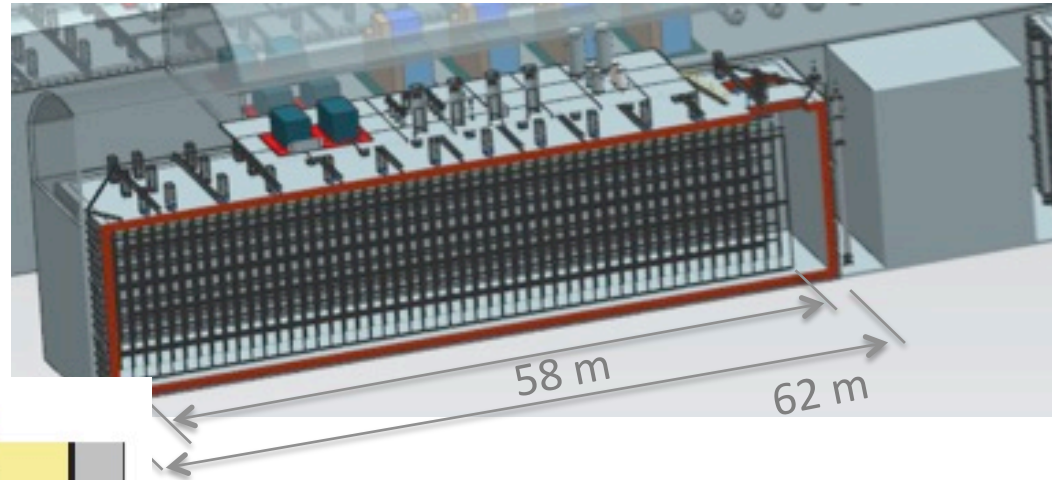
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# Far Detector Reference Design: Single-phase LAr TPC

- Liquid Argon Time projection chamber with both charge and optical readout.
- First 10kt detector will be single phase



- 17.1/13.8/11.6 Total/Active/Fiducial mass
- 3 Anode Plane Assemblies (APA) wide (wire planes)
  - Cold electronics 384,000 channels
- Cathode planes (CPA) at 180kV
  - 3.6 m drift length
- Photon detection for event interaction time determination for underground physics

## Neutrino Oscillation Strategy (cont.)

- Physics ( $\Delta m^2$ ,  $\theta_{23}$ ,  $\theta_{13}$ ,  $\delta$ ) extracted from combined analysis of 4 samples:
  - CDR estimates, assuming: CDR optimized beam, 56% LBNF uptime, FastMC detector response
  - Physics inputs:  $\delta = 0$ ,  $\theta_{23} = 45^\circ$ , others from NuFIT: Gonzalez-Garcia, Maltoni, Schwetz, JHEP 1411 (2014)

$\nu$ mode / 150 kt-MW-yr	$\nu_e$ appearance	$\nu_\mu$ disappearance
Signal events (NH / IH)	945 (521)	7929
Wrong-sign signal (NH /IH)	13 (26)	511
Beam $\nu_e$ background	204	—
NC background	17	76
Other background	22	29

Anti- $\nu$ mode / 150 kt-MW-yr	$\bar{\nu}_e$ appearance	$\bar{\nu}_\mu$ disappearance
Signal events (NH / IH)	168 (438)	2639
Wrong-sign signal (NH /IH)	47 (28)	1525
Beam $\nu_e$ background	105	—
NC background	9	41
Other background	13	18









